

## MODULAR FORMS: EXAMPLE SHEET 2

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### EXERCISES

*Question 1.* Let  $\Gamma$  be an arbitrary finite index subgroup of  $\mathrm{SL}_2(\mathbb{Z})$ . Show that  $\Gamma$  contains a finite index normal subgroup of  $\mathrm{SL}_2(\mathbb{Z})$ . Hence (or otherwise) show that  $\begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} \in \Gamma$  for some  $h \neq 0$ .

*Question 2.* Find a non-constant holomorphic function  $f$  on  $\mathcal{H}$  which is weakly modular of weight 0 and level  $\Gamma_0(2)$  and holomorphic at  $\infty$ . Deduce that the space of functions on  $\mathcal{H}$  which are weakly modular of weight  $k$  for  $\Gamma_0(2)$  and holomorphic on  $\mathcal{H} \cup \{\infty\}$  is infinite-dimensional for any  $k$  for which it is nonzero.

*Question 3.* Is  $\mathcal{H}^*$  compact?

*Question 4.* (a) Show that  $\Gamma_1(5)$  has 4 cusps: the orbits of  $0, \frac{2}{5}, \frac{1}{2}, \infty$ . For each cusp  $x$ , find the width  $h_{\Gamma_1(5)}(x)$  of the cusp and a generator for its stabiliser.

(b) Show that  $\Gamma_0(5)$  has no elliptic points of order 3, and deduce that the same is true of  $\Gamma_0(5)$ . Show that  $\Gamma_0(5)$  has precisely 2 elliptic points of order 2, and for each one, show that none of its four preimages in  $\Gamma_1(5)$  is elliptic.

(c) What is the genus of  $X_1(5) = X(\Gamma_1(5))$ ?

(Hint: the calculations can be shortened somewhat by using the fact that  $\Gamma_1(N) \trianglelefteq \Gamma_0(N)$ .)

*Question 5.* Fill in the details of the proof of Corollary 2.3.5, by checking the formulae given for the sums  $\sum_{x \in \pi^{-1}y} (e_x(\pi) - 1)$  for the three non-ordinary points  $y = \infty, i, \rho$  of  $X(\mathrm{SL}_2(\mathbb{Z}))$ .

*Question 6.* Let  $G$  be any group. Recall that we say subgroups  $H_1, H_2$  of  $G$  are **commensurable** if  $H_1 \cap H_2$  has finite index in either  $H_1$  or  $H_2$ . Let us write this relation by  $H_1 \sim H_2$ .

(a) Show that commensurability is an equivalence relation.

(b) Let  $H$  be any subgroup. Define the **commensurator** of  $H$  in  $G$ ,  $\mathrm{Comm}_G(H)$ , to be the set of  $g \in G$  such that  $g^{-1}Hg$  is commensurable with  $H$ . Show that this is a group.

(c) Show that if  $H_1 \sim H_2$ , then  $\mathrm{Comm}_G(H_1) = \mathrm{Comm}_G(H_2)$ .

(d) What is the commensurator of  $\mathrm{SL}_2(\mathbb{Z})$  in  $\mathrm{GL}_2(\mathbb{R})$ ?

*Question 7.* Let  $U_1, U_2$  be open subsets of  $\mathbb{C}$  and  $\alpha : U_1 \rightarrow U_2$  a holomorphic map. Let  $\mu \in \Omega^1(U_2)$  be a differential that is holomorphic on  $U_2$ , and let  $\gamma$  be a path in  $U_1$  (a continuous map  $[0, 1] \rightarrow U_1$  that is differentiable except at finitely many points). Show that

$$\int_{\gamma} \alpha^* \mu = \int_{\alpha(\gamma)} \mu,$$

where the integrals are defined in the obvious way (so for  $\omega = f(z)dz \in \Omega^1(U)$  and  $\lambda$  a path in  $U$ ,  $\int_{\lambda} \omega = \int_{\lambda} f(z)dz$ ). Show that if  $X$  is a Riemann surface and  $\gamma$  is a path in  $X$ ,  $\gamma$  can be partitioned into finitely many pieces each of which is contained in a single coordinate chart. Deduce that for any  $\omega \in \Omega^1(X)$ , there is a well-defined integral

$$\int_{\gamma} \omega$$

whenever  $\gamma$  does not intersect any pole of  $\omega$ .

*Question 8.* Let  $X$  be a compact Riemann surface.

(a) Let  $f : X \rightarrow \mathbb{C}$  be meromorphic and nonzero. Show that  $f$  has finitely many zeros and poles, so  $\mathrm{div}(f)$  is a finite sum.

- (b) Let  $D$  be a divisor on  $X$ . Show (without using Riemann-Roch) that  $L(D)$  is finite-dimensional, and  $\ell(D) = \dim L(D)$  satisfies  $\ell(D) \leq 1 + \deg D$ .
- (c) Let  $D_1, D_2$  be divisors with  $D_1 - D_2 = \operatorname{div}(f)$  for some meromorphic  $f$ . Show that multiplication by  $f$  gives an isomorphism from  $L(D_1)$  to  $L(D_2)$ , so  $\ell(D_1) = \ell(D_2)$ .

*Question 9.* (a) Let  $\mathcal{H}_3$  be the space  $\{(z, r) \mid z \in \mathbb{C}, r \in \mathbb{R}, r > 0\}$ , sometimes called *hyperbolic 3-space*. Let  $\operatorname{SL}_2(\mathbb{C})$  act on  $\mathcal{H}_3$  by the formula

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} (z, r) = \left( \frac{(az + b)\overline{(cz + d)} + a\bar{c}r^2}{|cz + d|^2 + |c|^2r^2}, \frac{r}{|cz + d|^2 + |c|^2r^2} \right).$$

(You may assume that this is a left action, although you can check it if you like!). Show that any discrete subgroup of  $\operatorname{SL}_2(\mathbb{C})$  acts properly discontinuously on  $\mathcal{H}_3$ , using the same approach we used in lectures for discrete subgroups of  $\operatorname{SL}_2(\mathbb{R})$  acting on  $\mathcal{H}$ .

- (b) Show that if  $K$  is an imaginary quadratic field, the *Bianchi group*  $\operatorname{SL}_2(\mathcal{O}_K)$  is discrete in  $\operatorname{SL}_2(\mathbb{C})$ . Show that  $\operatorname{SL}_2(\mathbb{C})$  acts on the “boundary” of  $\mathcal{H}_3$  – that is,  $\mathbb{P}^1(\mathbb{C})$ , identified with  $\{(z, 0) \mid z \in \mathbb{C}\} \cup \{\infty\}$  – and  $\operatorname{SL}_2(\mathcal{O}_K)$  preserves  $\mathbb{P}^1(K)$ . Does it act transitively?

*Question 10.* (a) Let  $p \neq 2$  be prime. Show that any cusp for  $\Gamma_1(p)$  is equivalent to one and only one of the set

$$\left\{ \frac{d}{p} \mid 1 \leq d \leq \frac{p-1}{2} \right\} \cup \left\{ \frac{1}{d} \mid 1 \leq d \leq \frac{p-1}{2} \right\}.$$

- (b) Show that the genus of  $X_1(31) = X(\Gamma_1(31))$  is 26. (*You may assume that  $X_1(31)$  has no elliptic points.*)
- (c) Show that  $\dim M_k(\Gamma_1(31)) = 40k - 25$  for all even  $k \geq 2$ .
- (d) Assume that there exists a nonzero weight 1 modular function  $f \in \mathcal{A}_1(\Gamma_1(31))$ . By considering the differential attached to  $f^2$ , show that the space of meromorphic functions  $g$  on  $X_1(31)$  such that  $gf$  is a holomorphic modular form of weight 1 is  $\mathcal{L}(E)$  for some divisor  $E$  of degree 40. Deduce that the dimension of  $M_k(\Gamma_1(31))$  is  $40k - 25$  for all  $k > 1$ .
- (e) Why doesn't this work for  $k = 1$ ? Show that  $d = \dim M_k(\Gamma_1(31))$  satisfies  $15 \leq d \leq 41$ . (*In fact  $d = 16$ , but this is quite hard to show.*)

*Question 11.* Let  $\Gamma = \Gamma_0(N)$  or  $\Gamma_1(N)$ , and  $\alpha = \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ . Write  $\Gamma' = \Gamma \cap \alpha^{-1}\Gamma\alpha$ . Show that

$$\Gamma = \begin{cases} \Gamma' \cdot \tau_0 \cup \cdots \cup \Gamma' \cdot \tau_{p-1} & \text{if } p \mid N \\ \Gamma' \cdot \tau_0 \cup \cdots \cup \Gamma' \cdot \tau_{p-1} \cup \Gamma' \cdot \tau_\infty, & \text{if } p \nmid N \end{cases}$$

where  $\tau_i = \begin{pmatrix} 1 & i \\ 0 & 1 \end{pmatrix}$  and  $\tau_\infty$  is any element of the form  $\begin{pmatrix} rp & s \\ N & 1 \end{pmatrix}$  with  $rp - sN = 1$ . Deduce the formulae for the double coset operators  $[\Gamma\alpha\Gamma]$  from Proposition 2.6.5.

#### HARDER PROBLEMS

*Question 12.* Let  $\Gamma \subseteq \operatorname{SL}_2(\mathbb{R})$  be a discrete subgroup. Show that if  $\Gamma \supseteq \operatorname{SL}_2(\mathbb{Z})$ , then  $\Gamma = \operatorname{SL}_2(\mathbb{Z})$ , so  $\operatorname{SL}_2(\mathbb{Z})$  is a maximal discrete subgroup.

*Question 13.* Let  $G = \operatorname{SL}_2(\mathbb{Z})$ .

- (a) Let  $N$  be an integer and  $t \in \operatorname{SL}_2(\mathbb{Z}/m\mathbb{Z})$ . Show that there is some  $\tilde{t} \in G$  such that  $\tilde{t} \bmod m = t$ .
- (b) Let  $J$  be the directed set of normal congruence subgroups of  $G$ . Use part (a) to show that the completion  $\overline{G} = \varprojlim_{\Gamma \in J} G/\Gamma$  is equal to  $\operatorname{SL}_2(\hat{\mathbb{Z}})$ , where the topological ring  $\hat{\mathbb{Z}}$  is the profinite completion of  $\mathbb{Z}$ .
- (c) Let  $I$  be the directed set of all normal finite index subgroups of  $G$ . Let  $\hat{G} = \varprojlim_{\Gamma \in I} G/\Gamma$ . Show that there is a canonical continuous surjective homomorphism  $\hat{G} \rightarrow \overline{G}$ .
- (d) The kernel of the above map is called the *congruence kernel*. Show that the congruence kernel is trivial if and only if every finite index subgroup is a congruence subgroup.

*Question 14 (For those also doing Elliptic Curves).* Let  $\Lambda$  be a lattice in  $\mathbb{C}$ , with basis  $\omega_1, \omega_2$ . Then you will have seen in the Elliptic Curves course that the Weierstrass  $\wp$ -function  $\wp(z) = \wp(z, \omega_1, \omega_2)$  satisfies

$$\wp'(z) = \wp(z)^3 - g_4\wp(z) - g_6$$

for complex numbers  $g_4, g_6$  independent of  $z$ , and the map  $z \mapsto (x, y) = (\wp(z), \wp'(z))$  gives an isomorphism between the quotient  $\mathbb{C}/\Lambda$  and the elliptic curve in  $\mathbb{P}^1$  defined by this equation.

Show that if  $\omega$  is a holomorphic 1-form on an elliptic curve  $E$  over  $\mathbb{C}$ , then integrating with respect to  $\omega$  maps the integral homology  $H^1(E(\mathbb{C}), \mathbb{Z})$  to a lattice  $\Lambda$  in  $\mathbb{C}$ ; and these constructions are mutually inverse, with  $\omega$  corresponding to the differential  $dz$  on  $\mathbb{C}/\Lambda$ .

Show that  $g_4$  and  $g_6$  are (up to normalisation<sup>1</sup>) exactly the functions on lattices associated to the Eisenstein series  $G_4$  and  $G_6$ . Deduce that the definition in this course of the  $j$ -invariant (as a weight 0 modular function) and the definition in the Elliptic Curves course (as an invariant attached to the Weierstrass equation of an elliptic curve) coincide.

Let  $f$  be a modular form of weight  $k$  and level 1, and  $F$  the corresponding function on the space  $\mathcal{L}$  of lattices. Let  $(E, \omega)$  be a pair of an elliptic curve and a holomorphic 1-form. Set  $F(E, \omega)$  to be  $F$  evaluated at the lattice in  $\mathbb{C}$  corresponding to  $(E, \omega)$ . Deduce that  $f(E, \lambda\omega) = \lambda^{-k}f(E, \omega)$  for  $\lambda \in \mathbb{C}^\times$ . (This is the starting point for the algebraic theory of modular forms.)

*Question 15.* Let  $\mathcal{O}$  denote the ring  $\mathbb{Z} + \mathbb{Z}\sqrt{7}$ . For  $x = a + b\sqrt{7} \in \mathcal{O}$ , define  $\bar{x} = a - b\sqrt{7}$ . Show that

$$\Gamma = \left\{ \begin{pmatrix} x & y \\ -\bar{y} & \bar{x} \end{pmatrix} \mid x, y \in \mathcal{O}, x\bar{x} + y\bar{y} = 1 \right\}$$

is a discrete subgroup of  $SL_2(\mathbb{R})$ . Is it maximal?

*Question 16.* If  $z \in SL_2(\mathbb{R})$ , we say  $z$  is *parabolic* if  $z$  is conjugate to  $\pm \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Show that any parabolic element  $z$  generates an infinite discrete subgroup of  $SL_2(\mathbb{R})$  consisting solely of parabolic elements; and  $z$  fixes no points of  $\mathcal{H}$  and a unique point of  $\mathbb{P}^1(\mathbb{R})$ .

Let  $\Gamma$  be a discrete subgroup of  $SL_2(\mathbb{R})$  (a *Fuchsian group*). If  $v \in \mathbb{P}^1(\mathbb{R})$  is a fixed point of a parabolic element of  $\Gamma$ , then we say  $v$  is a *parabolic point* for  $\Gamma$ .

- Show that if  $\Gamma'$  is commensurable with  $\Gamma$ , then the sets of parabolic points for  $\Gamma$  and  $\Gamma'$  coincide.
- Show that the parabolic points for  $SL_2(\mathbb{Z})$  are  $\mathbb{P}^1(\mathbb{Q})$ .
- For the example of question 15, show that there are no parabolic points. Deduce that  $\Gamma$  is not commensurable with any conjugate of  $SL_2(\mathbb{Z})$  in  $SL_2(\mathbb{R})$ . What if  $\sqrt{7}$  is replaced by  $\sqrt{5}$ ? What about  $\sqrt{N}$  for a general integer  $N$ ?
- Show that if  $C(\Gamma)$  is the set of  $\Gamma$ -orbits of parabolic points for  $\Gamma$ , and  $X(\Gamma) = Y(\Gamma) \cup C(\Gamma)$ , then  $X(\Gamma)$  has a natural topology and a complex structure extending that of  $Y(\Gamma)$ .
- Show that  $X(\Gamma)$  is compact if and only if  $C(\Gamma)$  is finite. Describe  $X(\Gamma)$  when  $\Gamma$  is the subgroup generated by  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ .

*Question 17.* Let  $p$  be prime. Show that  $X_0(p) = X(\Gamma_0(p))$  has genus 0 if and only if  $p \in \{2, 3, 5, 7, 13\}$ . If  $N$  is a general integer and  $X_0(N)$  has genus 0, show that all prime factors of  $N$  must be from the above set. Are there infinitely many such  $N$ ?

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<sup>1</sup>I don't know what normalisation Dr Fisher is using.