

# MA3E1 Groups and Representations

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September 23, 2011

## Abstract

Lecture notes for third year maths students at Warwick University, on finite groups, mostly their complex representations. I have shamelessly stolen from Yuri Bazlov's excellent Warwick lecture notes and Martin Isaacs' excellent book *Character theory of finite groups*.

## Contents

<b>1</b>	<b>Groups</b>	<b>3</b>
1.1	Groups . . . . .	3
1.2	Groups of matrices . . . . .	3
1.3	Cyclic groups . . . . .	4
1.4	Symmetric groups and alternating groups . . . . .	4
1.5	Dihedral groups . . . . .	5
1.6	Homomorphisms and isomorphisms . . . . .	5
1.7	Exercises . . . . .	6
<b>2</b>	<b>Representations</b>	<b>7</b>
2.1	Representations of cyclic groups . . . . .	8
2.2	Exercises . . . . .	10
<b>3</b>	<b>Generators and relations</b>	<b>11</b>
3.1	Generating sets . . . . .	11
3.2	Normal subgroups . . . . .	11
3.3	Quotient groups . . . . .	12
3.4	Free groups . . . . .	13
3.5	Presentations of groups . . . . .	17
3.6	Exercises . . . . .	19
<b>4</b>	<b>Modules</b>	<b>22</b>
4.1	Modules . . . . .	22
4.2	Representations afforded by modules . . . . .	23
4.3	Submodules . . . . .	25
4.4	Inner products . . . . .	26
4.5	Exercises . . . . .	28
<b>5</b>	<b>Characters</b>	<b>31</b>
5.1	Characters . . . . .	31
5.2	Schur's lemma and orthogonality . . . . .	34
5.3	Exercises . . . . .	37
<b>6</b>	<b>The regular representation</b>	<b>38</b>
6.1	Exercises . . . . .	41

<b>7</b>	<b>Character tables</b>	<b>42</b>
7.1	Character tables . . . . .	42
7.2	Properties of character tables . . . . .	43
7.3	Characters and normal subgroups . . . . .	45
7.4	Linear characters and the derived subgroup . . . . .	46
7.5	More examples . . . . .	47
7.6	Exercises . . . . .	49
<b>8</b>	<b>Induction and Restriction</b>	<b>51</b>
8.1	Induction and restriction for characters . . . . .	51
8.2	How to compute an induced character in practice . . . . .	52
8.3	Induction and restriction for modules . . . . .	56
8.4	Exercises . . . . .	57
<b>9</b>	<b>Algebraic integers and Burnside's <math>p^a q^b</math> theorem</b>	<b>59</b>
9.1	Introduction . . . . .	59
9.2	Algebraic integers . . . . .	59
9.3	Burnside's theorem . . . . .	60
9.4	Exercises . . . . .	62
<b>10</b>	<b>Tensor products</b>	<b>62</b>
10.1	The universal property for tensor products . . . . .	62
10.2	Existence and uniqueness for tensor products . . . . .	65
10.3	Bases and tensor products . . . . .	67
10.4	Exercises . . . . .	68
<b>11</b>	<b>Appendix: Summary of linear algebra</b>	<b>69</b>
11.1	Introduction . . . . .	69
11.2	Vector spaces . . . . .	70
11.3	Basis, dimension . . . . .	70
11.4	Linear maps, matrices . . . . .	71
11.5	Determinants, characteristic polynomial . . . . .	72
11.6	Eigenvectors, Jordan blocks . . . . .	73
<b>12</b>	<b>Index</b>	<b>73</b>
<b>13</b>	<b>Index of notation</b>	<b>75</b>

# 1 Groups

## 1.1 Groups

**Definition 1.** A **group** consists of a set  $G$  and a binary operation  $G \times G \rightarrow G : (x, y) \mapsto xy$  such that:

- Associativity: We have  $x(yz) = (xy)z$  for all  $x, y, z \in G$ .
- Identity: There exists an element  $1 \in G$  such that  $1x = x = x1$  for all  $x \in G$ . We call  $1$  the **identity element** or **neutral element** of  $G$ .
- Inverses: For all  $x \in G$  there exists  $y$  (usually written  $y = x^{-1}$ ) such that  $xy = 1 = yx$ . We call  $x^{-1}$  the **inverse** of  $x$ .

*Example 2.* (a). Let  $G = \{1\}$  and necessarily  $11 = 1$ . This is a group called the **trivial group** and we simply write  $G = 1$ .

(b). Each of  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$  forms a group with addition for group operation.

(c). The set  $\mathbb{Q}^\times := \mathbb{Q} \setminus \{0\}$  is a group with multiplication for group operation but  $\mathbb{Z} \setminus \{0\}$  is not. In general, if  $R$  is a ring then  $R^\times$  denotes the set of invertible elements in  $R$  and is a group.

**Exercise (1.1)** The product of  $n$  elements of a group is independent of the bracketing; for example  $(ab)(cd) = (a(bc))d$ . State more precisely what this means and prove it.

Let  $G$  be a group. For  $x \in G$  and  $n \geq 0$  we write  $x^n$  instead of  $xx \cdots x$  ( $n$  factors) and  $x^{-n} := (x^n)^{-1}$ .

The number of elements of a set  $A$  is written  $\#A$  or  $|A|$ . It is a positive integer or infinite. It is also known as the **cardinality** of  $A$ . The number of elements of a group is traditionally called its **order**.

**Definition 3.** Let  $x$  be an element of a group  $G$ . The **order** of  $x$  is the least positive integer  $n$  such that  $x^n = 1$ , or  $\infty$  if such  $n$  doesn't exist.

**Definition 4.** Two elements  $x, y$  of a group are said to **commute** if  $xy = yx$ . A group in which every pair of elements commute is called **commutative** or **abelian**.

**Definition 5.** A **subgroup** of a group  $G$  is a nonempty subset  $H \subset G$  such that:

- Closed under multiplication: For all  $x, y \in H$  we have  $xy \in H$ .
- Closed under inverses: For all  $x \in H$  we have  $x^{-1} \in H$ .

Every subgroup of a group is a group in its own right. We write  $H \leq G$  if  $H$  is a subgroup of a group  $G$ .

## 1.2 Groups of matrices

The set of  $n \times n$  matrices with entries in  $\mathbb{C}$  is written  $M(n, \mathbb{C})$ . Two such matrices can be multiplied, for example

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} p & q \\ r & z \end{pmatrix} = \begin{pmatrix} ap + br & aq + bs \\ cp + dr & cq + ds \end{pmatrix}.$$

We say that  $A, B \in M(n, \mathbb{C})$  are inverses of each other if  $AB = 1_n = BA$  where  $1_n$  is the  $n \times n$  identity matrix. If  $A$  has an inverse then  $A$  is called **invertible** or

regular. Now  $M(n, \mathbb{C})$  is not a group under multiplication because inverses don't always exist. The set of invertible elements of  $M(n, \mathbb{C})$  is written  $GL(n, \mathbb{C})$  and is a group.

**Fact 6.** A matrix  $A$  in  $M(n, \mathbb{C})$  is invertible if and only if  $\det(A) \neq 0$ . That is,  $GL(n, \mathbb{C}) = \{A \in M(n, \mathbb{C}) \mid \det(A) \neq 0\}$ .

If  $n \geq 2$  then  $GL(n, \mathbb{C})$  is not abelian. However  $GL(1, \mathbb{C})$  is abelian; it is “the same” as  $\mathbb{C}^\times$ .

### 1.3 Cyclic groups

**Definition 7.** A group  $G$  is **cyclic** if there exists  $g \in G$  such that  $G = \{g^n \mid n \in \mathbb{Z}\}$ . We say that  $g$  is a **generator** of the cyclic group.

Much more on generators of groups will follow later. A generator of a cyclic group may not be unique. For example, 1 is a generator of the cyclic group  $(\mathbb{Z}, +)$  but so is  $-1$ .

**Proposition 8: Classification of cyclic groups.** Let  $G$  be a cyclic group with generator  $g$ .

- (a) Suppose  $\#G = \infty$ . Then  $g^k \neq g^\ell$  whenever  $k \neq \ell$  and  $k, \ell \in \mathbb{Z}$ . Moreover  $g^k g^\ell = g^{k+\ell}$  for all  $k, \ell \in \mathbb{Z}$ .
- (b) Suppose  $\#G = n < \infty$ . Then  $g^0, \dots, g^{n-1}$  are distinct and therefore are all elements of  $G$ . Moreover if  $k, \ell \in \{0, \dots, n-1\}$  then  $g^k g^\ell = g^m$  where  $m = (k + \ell) \bmod n$ , that is,  $m$  is the unique element of  $\{0, \dots, n-1\}$  such that  $n \mid k + \ell - m$ .

*Proof.* Proof of (a). Suppose  $g^k = g^\ell$  for some distinct  $k, \ell \in \mathbb{Z}$ , say  $k > \ell$ . Write  $m = k - \ell$ . Multiplying both sides with  $g^{-\ell}$  we find  $g^m = 1$ .

We claim  $G = \{g^r \mid 0 \leq r < m\}$ . Choose any element of  $G$ , say,  $g^s$ . Then there exist  $q, r \in \mathbb{Z}$  such that  $s = qm + r$  and  $0 \leq r < m$ . It follows that

$$g^s = g^{qm+r} = (g^m)^q g^r = 1^q g^r = g^r$$

which proves our claim that  $G = \{g^r \mid 0 \leq r < m\}$ .

The remaining statement in (a) is obvious.

Proof of (b). Suppose  $g^0, g^1, \dots, g^{n-1}$  are not distinct, say,  $g^k = g^\ell$  with  $0 \leq \ell < k \leq n-1$ . Put  $m = k - \ell$ . As in (a) it follows that  $G = \{g^r \mid 0 \leq r < m\}$ . In particular  $\#G \leq m < n$ , a contradiction. Therefore  $g^0, g^1, \dots, g^{n-1}$  are distinct. The remaining statement in (b) is obvious.  $\square$

In particular, any two cyclic groups of the same order are isomorphic (isomorphic will soon be defined).

**Definition 9.** Let  $C_\infty$  denote a cyclic group of order  $\infty$  and  $C_n$  a cyclic group of order  $n$ .

Notice that cyclic groups are abelian.

### 1.4 Symmetric groups and alternating groups

**Definition 10.** A **permutation** of a set  $A$  is just a bijective map from  $A$  to itself. The **symmetric group**  $S_n$  is the set of permutations of  $\{1, \dots, n\}$ , with composition for

multiplication.

If  $a_1, \dots, a_k \in \{1, \dots, n\}$  are distinct then  $(a_1, \dots, a_k)$  or simply  $(a_1 \cdots a_k)$  is defined to be the element  $g \in S_n$  known as a  **$k$ -cycle** such that  $g(a_i) = a_{i+1}$  for all  $i$  (indices modulo  $k$ ) and  $g(x) = x$  whenever  $x \in \{1, \dots, n\} \setminus \{a_1, \dots, a_k\}$ .

The above choice of  $\{1, \dots, n\}$  is standard but any other set of  $n$  elements is also good. The group of permutations of a set  $A$  is called the symmetric group on  $A$ .

For  $n \geq 2$  there is a unique subgroup of  $S_n$  containing all 3-cycles and different from  $S_n$ . It is known as the **alternating group** and written  $A_n$ .

### 1.5 Dihedral groups

The set of integers modulo  $n$  is denoted  $\mathbb{Z}/n$ . It is a commutative ring.

**Lemma/Definition 11.** We define  $D_{2n}$  to be the set of mappings from  $\mathbb{Z}/n$  to itself of the form  $x \mapsto ax + b$  where  $a \in \{-1, 1\} \subset \mathbb{Z}/n$  and  $b \in \mathbb{Z}/n$ . Then  $D_{2n}$  is a subgroup of the symmetric group on  $\mathbb{Z}/n$ . It is called the **dihedral group**.

*Proof.* It is clear that  $D_{2n}$  is nonempty. Prove yourself that every element of  $D_{2n}$  is a permutation of  $\mathbb{Z}/n$  and that its inverse is also in  $D_{2n}$ . It remains to prove that  $D_{2n}$  is closed under multiplication. Let  $p, q \in D_{2n}$ , say,  $p(x) = ax + b$  and  $q(x) = cx + d$  for all  $x$ . Then for all  $x$

$$pq(x) = p(cx + d) = a(cx + d) + b = (ac)x + (ad + b)$$

which is again of the required form so  $pq \in D_{2n}$ . □

**Definition 12.** We define  $r, s \in D_{2n}$  by  $r(x) = x + 1$  and  $s(x) = -x$ .

**Lemma 13.** We have  $D_{2n} = \{r^k, s r^k \mid 0 \leq k < n\}$ .

Note that  $D_{2n}$  has  $2n$  elements. Therefore the above lemma lists the elements of the dihedral group without repeats.

*Proof.* Since  $\#D_{2n} = 2n$  it is enough to prove  $\subset$  in the statement. Well,  $r^b(x) = x + b$  and  $s r^{-b}(x) = -x + b$ . □

We call  $r^k$  a **rotation** and  $s r^k$  a **reflection**. Therefore every element of the dihedral group is a rotation or a reflection but not both.

These names come from the following geometric definition of the dihedral group which we mention as an aside. The **standard regular  $n$ -gon** is the convex hull of  $\{z \in \mathbb{C} \mid z^n = 1\}$ . Then  $D_{2n}$  can be regarded as the group of bijective  $\mathbb{R}$ -linear maps  $\mathbb{C} \rightarrow \mathbb{C}$  preserving the standard regular  $n$ -gon.

### 1.6 Homomorphisms and isomorphisms

**Definition 14.** Let  $G, H$  be groups. A **homomorphism** is a map  $f: G \rightarrow H$  such that  $f(xy) = f(x)f(y)$  for all  $x, y \in G$ .

**Exercise (1.2)** Let  $f: G \rightarrow H$  be a group homomorphism. Prove:

- (a)  $f(1) = 1$ .
- (b)  $f(x^{-1}) = f(x)^{-1}$  for all  $x \in G$ .
- (c)  $f(x^n) = f(x)^n$  for all  $x \in G, n \in \mathbb{Z}$ .

**Definition 15.** Let  $G, H$  be groups. An **isomorphism**  $G \rightarrow H$  is a bijective homomorphism. If there exists at least one isomorphism  $G \rightarrow H$  then we write  $G \cong H$  and we say that  $G, H$  are **isomorphic**.

The idea is that isomorphic groups are the same for most purposes. Note that the inverse of an isomorphism is again an isomorphism.

*Example 16.*

- (a) Any two cyclic groups of the same order are isomorphic.
- (b)  $C^\times \cong \text{GL}(1, \mathbb{C})$
- (c)  $C_6 \not\cong D_6$
- (d)  $C_6 \cong C_2 \times C_3$
- (e)  $S_3 \cong D_6$

*Definition/Exercise 17.* The **direct product** of two groups  $G, H$  is  $G \times H$  on which multiplication is defined to be entry-wise:  $(a, b)(c, d) = (ac, bd)$ . Prove that this makes  $G \times H$  into a group.

Here is the classification of groups of small order. For example, the classification of the groups of order 4 means finding a list of groups of order 4, such that every group of order 4 is isomorphic to just one group on the list.

#G	1	2	3	4	5	6	7
G	1	$C_2$	$C_3$	$C_4, C_2 \times C_2$	$C_5$	$C_6, D_6$	$C_7$

### 1.7 Exercises

(1.3) True or false? Prove or disprove each statement.

- (a) Let  $a$  be an element of a group  $G$  and  $m, n$  integers. Then  $(a^m)^n = a^{mn}$ .
- (b) Let  $G, H$  be nontrivial cyclic groups (nontrivial means having more than one element). Then  $G \times H$  is not cyclic.

(1.4) Consider the group  $\text{GL}(2, \mathbb{Z}/2\mathbb{Z})$ , the group of invertible  $2 \times 2$  matrices over  $\mathbb{Z}/2\mathbb{Z}$ . How many elements does it have? Is it isomorphic to a group you have seen before?

(1.5) Let  $G$  be a set. Let  $G \times G \rightarrow G: (x, y) \mapsto xy$  be an associative binary operation. Let  $1 \in G$  be such that  $1x = x1 = x$  for all  $x \in G$ . (These define precisely what is known as a **monoid**). Prove or disprove each of the following.

- (a) Let  $e \in G$  be such that  $ex = xe = x$  for all  $x \in G$ . Then  $1 = e$ .
- (b) Suppose that for all  $x \in G$  there exists  $y \in G$  such that  $xy = 1$ . Then for all  $x \in G$  there exists  $z \in G$  such that  $zx = 1$ .
- (c) Suppose that for all  $x \in G$  there exist  $y, z \in G$  such that  $zx = 1 = xy$ . Then  $G$  is a group.

(1.6) Let  $G, H$  be groups. An **anti-homomorphism**  $f: G \rightarrow H$  is a map satisfying  $f(xy) = f(y)f(x)$  for all  $x, y \in G$ .

- (a) Give an example of an anti-homomorphism that is not a homomorphism and vice versa.
- (b) Let  $f: G \rightarrow H$  be a group homomorphism. Prove that  $f$  is also an anti-homomorphism if and only if  $f(G)$  is abelian.

(c) Let  $G$  be a group. Prove that there exists a bijective anti-homomorphism  $f: G \rightarrow G$  (a so-called anti-automorphism).

(1.7) Show that every group of even order contains an element of order 2.

(1.8) Show that the set of non-zero complex numbers under the usual multiplication is a group. Prove that every finite subgroup of this group is cyclic.

(1.9) Prove that  $s$  and  $rs$  are conjugate elements of  $D_{2m}$  (that is,  $gs g^{-1} = rs$  for some  $g \in D_{2m}$ ) if and only if  $m$  is odd.

(1.10) Prove that  $D_{4m} \cong D_{2m} \times C_2$  if  $m$  is odd.

(1.11) We say that a square matrix  $X$  is upper triangular, if all entries below the main diagonal in  $X$  are zero. For example  $\begin{pmatrix} 2 & 1 \\ 0 & -3 \end{pmatrix}$  is upper triangular. Let  $B_n$  be the set of upper triangular matrices in  $\text{GL}(n, \mathbb{C})$ . Prove that  $B_n$  is a subgroup of  $\text{GL}(n, \mathbb{C})$ .

## 2 Representations

**Definition 18.** A **representation** of a group  $G$  is a homomorphism  $\rho: G \rightarrow \text{GL}(n, \mathbb{C})$  with  $n \geq 0$  (by definition,  $\text{GL}(0, \mathbb{C})$  is a trivial group). We call  $n$  the **dimension** or **degree** of the representation.

*Example 19.* (a). For a group  $G$  and  $n \geq 0$  there is the **trivial  $n$ -dimensional representation**  $\rho: G \rightarrow \text{GL}(n, \mathbb{C})$  defined by  $\rho(g) = 1$  for all  $g \in G$ .

(b). There is a representation  $\rho: C_2 = \{1, g\} \rightarrow \text{GL}(2, \mathbb{C})$  defined by  $\rho(g) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ .

**Lemma 20.** Let  $\rho: G \rightarrow \text{GL}(n, \mathbb{C})$  be a representation. Let  $T \in \text{GL}(n, \mathbb{C})$  and define  $\sigma: G \rightarrow \text{GL}(n, \mathbb{C})$  by  $\sigma(x) = T \rho(x) T^{-1}$  for all  $x \in G$ . Then  $\sigma$  is also a representation.

*Proof.* For all  $x, y \in G$

$$\begin{aligned} \sigma(x) \sigma(y) &= (T \rho(x) T^{-1})(T \rho(y) T^{-1}) \\ &= T \rho(x) \rho(y) T^{-1} = T \rho(xy) T^{-1} = \sigma(xy). \end{aligned} \quad \square$$

**Definition 21.** Let  $G$  be a group. Two  $n$ -dimensional representations  $\rho, \sigma$  are **equivalent**, and we write  $\rho \sim \sigma$ , if there exists  $T \in \text{GL}(n, \mathbb{C})$  such that  $\sigma(x) = T \rho(x) T^{-1}$  for all  $x \in G$ .

**Lemma 22.** *Equivalence of representations is an equivalence relation.*

*Proof.* Prove yourself that  $\sim$  is reflexive ( $\rho \sim \rho$  for all  $\rho$ ) and symmetric ( $\rho \sim \sigma \Leftrightarrow \sigma \sim \rho$  for all  $\rho, \sigma$ ).

We now prove that it is transitive. Assume that  $\rho \sim \sigma \sim \tau$ , say,  $T, U \in \text{GL}(n, \mathbb{C})$  are such that

$$\rho(x) = T \sigma(x) T^{-1}, \quad \sigma(x) = U \tau(x) U^{-1} \quad \text{for all } x \in G.$$

It follows that  $\rho(x) = T \sigma(x) T^{-1} = T(U \tau(x) U^{-1})T^{-1} = (TU) \tau(x) (TU)^{-1}$  for all  $x \in G$ . This proves that  $\rho \sim \tau$  and therefore  $\sim$  is transitive.  $\square$

**Reminder from set theory.** Let  $\sim$  be an equivalence relation on a set  $S$ . A  $\sim$ -class or equivalence class with respect to  $\sim$  or just equivalence class is a subset of  $S$  of the form  $\{x \in S \mid x \sim y\}$  where  $y \in S$ . Then  $S$  is the disjoint union of the  $\sim$ -classes. We write  $S/\sim$  for the set of  $\sim$ -classes and if  $y \in S$  we write  $y/\sim$  for the  $\sim$ -class containing  $y$ .

**Definition 23.** For a group  $G$  we define

$$\begin{aligned}\text{Rep}_n(G) &= \{\text{representations } G \rightarrow \text{GL}(n, \mathbb{C})\}/\sim \\ \text{Rep}(G) &= \bigsqcup_{n \geq 0} \text{Rep}_n(G)\end{aligned}$$

( $\sqcup$  denotes disjoint union).

A fixed group  $G$  may have infinitely many  $n$ -dimensional representations. Later in exercise 5.6 we shall see that if  $G$  is finite then  $\text{Rep}_n(G)$  is finite. Most of these notes are about understanding  $\text{Rep}_n(G)$ .

## 2.1 Representations of cyclic groups

Let  $r \in \mathbb{Z}_{>0}$  and consider a cyclic group  $C_r = \{1, g, g^2, \dots, g^{r-1}\}$  of order  $r$  and generator  $g$ . We aim to classify the representations of  $C_r$  up to equivalence. This means listing the elements of  $\text{Rep}_n(C_r)$ .

**Lemma/Definition 24.**

- (a) Let  $A \in \text{GL}(n, \mathbb{C})$  satisfy  $A^r = 1$ . Then there exists a unique representation  $\rho_A: C_r \rightarrow \text{GL}(n, \mathbb{C})$  such that  $\rho_A(g) = A$ . It satisfies  $\rho_A(g^k) = A^k$  for all  $k$ .
- (b) Every representation of  $C_r$  is equivalent to a representation of the form  $\rho_A$ .
- (c) Let  $A, B \in \text{GL}(n, \mathbb{C})$ . Then  $\rho_A \sim \rho_B$  if and only if  $A, B$  are conjugate in  $\text{GL}(n, \mathbb{C})$ .

*Proof.* Prove (a) and (b) yourself. Proof of (c).  $\Rightarrow$  is obvious. Proof of  $\Leftarrow$ . Let  $A, B$  be conjugate, say,  $A = TBT^{-1}$  where  $T \in \text{GL}(n, \mathbb{C})$ . Let  $x \in C_r$ . Then there exists  $k \in \mathbb{Z}$  such that  $x = g^k$ . It follows that

$$\begin{aligned}\rho_A(x) &= \rho_A(g^k) = A^k = (TBT^{-1})^k \\ &= TB^kT^{-1} = T\rho_B(g^k)T^{-1} = T\rho_B(x)T^{-1}.\end{aligned}$$

This proves  $\rho_A(x) = T\rho_B(x)T^{-1}$  for all  $x \in C_r$  and therefore  $\rho_A \sim \rho_B$ . □

**Lemma 25.** Let  $A \in \text{GL}(n, \mathbb{C})$  be of finite order. Then  $A$  is diagonalisable.

*Proof.* Say  $A^r = 1$  with  $r > 0$ .

From linear algebra we know that  $A$  is conjugate to a matrix  $B$  in Jordan normal form. Let  $J_1, \dots, J_u$  be the Jordan blocks of  $B$ .

Note that 0 is not an eigenvalue of  $A$  because  $A^r = 1$ . Therefore the Jordan blocks of  $B$  may be assumed to be of the form

$$J_i = \lambda_i \begin{pmatrix} 1 & 1 & 0 & 0 & \emptyset \\ & 1 & 1 & 0 & \\ & & 1 & 1 & \\ & & & 1 & \\ \emptyset & & & & \dots & \\ & & & & & & 1 \end{pmatrix}.$$



Maybe you are used to a different form of Jordan blocks with  $\lambda_i$  repeatedly on the diagonal and 1 on the second diagonal. Our choice is also possible (because  $\lambda_i \neq 0$ ) and is better!

By a straightforward induction on  $k$  you can prove that  $J_i^k$  is of an upper triangular form

$$J_i^k = \lambda_i^k \begin{pmatrix} 1 & k & * & * & \cdots \\ & 1 & k & * & \cdots \\ & & 1 & k & \cdots \\ & & & 1 & \cdots \\ \emptyset & & & & \cdots & 1 \end{pmatrix}$$

where the stars stand for unspecified numbers. Note the entries on the second diagonal which are  $\lambda_i^k \cdot k$ .

Now  $J_i^r = 1$ . Setting  $k = r$  shows that every entry on the second diagonal is at the same time  $\lambda_i^r \cdot r$  and 0! It follows that the second diagonal has no entries (not even zeroes), that is,  $J_i$  is a  $1 \times 1$  matrix. It follows that  $B$  is a diagonal matrix and the proof is finished.  $\square$

**Exercise (2.1)** Let  $a_1, \dots, a_n \in \mathbb{C}^\times$  and  $s \in S_n$ . Let  $A$  be the diagonal  $n \times n$  matrix whose diagonal reads  $(a_1, \dots, a_n)$ . Let  $B$  be the diagonal  $n \times n$  matrix whose diagonal reads  $(a_{s_1}, \dots, a_{s_n})$ . Prove that  $A, B$  are conjugate in  $GL(n, \mathbb{C})$ .

Let  $\omega = \exp(2\pi i/r)$ . Then a complex number  $z$  satisfies  $z^r = 1$  if and only if it is a power of  $\omega$ .

If  $K = (k_0, \dots, k_{r-1}) \in (\mathbb{Z}_{\geq 0})^r$ , let  $M(K)$  be the unique diagonal matrix such that:

- Its characteristic polynomial is  $\prod_{i=0}^{r-1} (t - \omega^i)^{k_i}$ . Thus  $\omega^i$  appears on the diagonal  $k_i$  times, and no other numbers appear on the diagonal.
- If  $M(K)_{ii} = \omega^s$ ,  $M(K)_{jj} = \omega^t$  (these are two diagonal entries of  $M$ ) and  $0 \leq s < t \leq r - 1$  then  $i < j$ .

We can now prove:

**Proposition 26: Representations of finite cyclic groups.** Let  $D = D(n, r)$  be the set of tuples

$$K = (k_0, \dots, k_{r-1}) \in (\mathbb{Z}_{\geq 0})^r$$

such that  $k_0 + \dots + k_{r-1} = n$ . Then there exists a bijection  $f: D \rightarrow \text{Rep}_n(C_r)$  taking  $K$  to  $\rho_{M(K)}/\sim$ , the equivalence class of  $\rho_{M(K)}$ .

*Proof.* Proof that  $f$  is well-defined. Let  $K \in D$ . Then  $M(K)^r = 1$  and therefore the representation  $\rho_{M(K)}$  of  $C_r$  is defined by lemma 24. Therefore  $f$  is well-defined.

It remains to prove that  $f$  is injective and surjective.

Proof of injectivity. Let  $K, L \in D$  be such that  $f(K) = f(L)$ . Then  $\rho_{M(K)} \sim \rho_{M(L)}$ . By lemma 24 it follows that  $M(K)$  and  $M(L)$  are conjugate in  $GL(n, \mathbb{C})$ . In linear algebra you have learned that then  $M(K)$  and  $M(L)$  have the same characteristic polynomial:

$$\prod_{i=0}^{r-1} (t - \omega^i)^{k_i} = \prod_{i=0}^{r-1} (t - \omega^i)^{\ell_i}$$

where we write  $K = (k_0, \dots, k_{r-1})$  and  $L = (\ell_0, \dots, \ell_{r-1})$ . It follows that  $k_i = \ell_i$  for all  $i$ , and therefore  $K = L$ . This proves that  $f$  is injective.

Proof of surjectivity. Let  $\rho$  be an  $n$ -dimensional representation of  $C_r$ . We must prove that  $\rho$  is equivalent to a representation of the form  $\rho_{M(K)}$  for some  $K \in D$ .

By lemma 24 we have  $\rho \sim \rho_A$  for some  $A \in \text{GL}(n, \mathbb{C})$  such that  $A^r = 1$ . By lemma 25  $A$  is conjugate to a diagonal matrix  $B$ .

Note  $B^r = 1$ . Therefore the entries on the diagonal of  $B$  are  $r$ th roots of unity. Let  $K \in D$  be the unique choice such that  $M(K)$  and  $B$  have the same entries on the main diagonal but possibly in different order.

By exercise 2.1  $M(K)$  and  $B$  are conjugate. Therefore  $M(K)$  is conjugate to  $A$ . It follows that  $\rho_{M(K)} \sim \rho_A \sim \rho$  and the proof is finished.  $\square$

The method of proof of proposition 26 is rather ad hoc and entirely inadequate, for example, for nonabelian groups. Most of the notes are about a more organised approach to determining the representations of a finite group.

*Example 27.* Define  $A \in \text{GL}(r, \mathbb{C})$  by  $A e_i = e_{i+1}$  for all  $i$  (indices modulo  $r$ ). Then  $A^r = 1$  so  $\rho_A$  is a representation of  $C_r$ . In the notation of proposition 26, what is  $f^{-1}(\rho_A/\sim)$ ?

*Solution.* Let  $v_k = \sum_{i=1}^r \omega^{ik} e_i$ . Then

$$A v_k = A \sum_{i=1}^r \omega^{ik} e_i = \sum_{i=1}^r \omega^{ik} e_{i+1} = \sum_{i=1}^r \omega^{(i-1)k} e_i = \omega^{-k} \sum_{i=1}^r \omega^{ik} e_i = \omega^{-k} v_k.$$

This proves that  $v_k$  is an eigenvector of  $A$  with eigenvalue  $\omega^{-k}$ . This is true whenever  $0 \leq k \leq r - 1$ , providing  $r$  distinct eigenvalues of  $A$ . Due to the size of  $A$  the characteristic polynomial of  $A$  is  $t^r - 1 = \prod_{i=0}^{r-1} (t - \omega^i)$  so  $\rho_A/\sim = f(1, \dots, 1)$  ( $r$  ones).  $\square$

## 2.2 Exercises

**(2.2)** Let  $G$  be a group. Let  $\rho: G \rightarrow M(n, \mathbb{C})$  be a map such that  $\rho(xy) = \rho(x)\rho(y)$  for all  $x, y \in G$  and  $\rho(1) = 1$  (the identity matrix). Prove that  $\rho$  is a representation.

**(2.3)** Let  $\rho: G \rightarrow \text{GL}(n, \mathbb{C})$  be a representation. Let  $a \in G$  and define  $\sigma, \tau: G \rightarrow \text{GL}(n, \mathbb{C})$  by  $\sigma(g) = \rho(aga^{-1})$  and  $\tau(g) = \rho(ag)$ . Prove that  $\sigma$  is a representation, and that it is equivalent to  $\rho$ . Prove that  $\tau$  is not necessarily a representation by giving a counterexample.

**(2.4)**

- (a) Prove that there exists a unique representation  $\rho$  of  $C_4 = \{1, g, g^2, g^3\}$  such that  $\rho(g) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ .
- (b) To which tuple  $(k_0, \dots, k_{r-1})$  does  $\rho$  correspond as defined in our classification of representations of  $C_r$ ?

**(2.5)** Let  $G$  be a finite group.

- (a) Prove that every element of  $G$  has finite order.
- (b) Let  $A = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ . What is  $A^n$  for  $n \in \mathbb{Z}$ ? Prove your answer.
- (c) Is it possible that  $G$  has a representation  $\rho$  such that  $\rho(x) = A$  for some element  $x \in G$ ?

**(2.6)** Classify the representations of  $C_\infty$  by mimicking our method for  $C_r$ , giving all details. You may use anything you know about Jordan normal forms and other linear algebra if you state it.

(2.7) Let  $\rho: G \rightarrow \text{GL}(n, \mathbb{C})$  be a representation of a group  $G$ , and let  $\sigma: G \rightarrow \text{GL}(n, \mathbb{C})$  be given by  $\sigma(x) = \rho(x)^2$  for all  $x \in G$ .

- (a) Prove that  $\sigma$  is again a representation if  $G$  is abelian.
- (b) Prove that  $\sigma$  is again a representation if  $n = 1$ .
- (c) Give an example showing that, in general,  $\sigma$  is not again a representation.
- (d) Give an example where  $\sigma$  is again a representation but not equivalent to  $\rho$ .

### 3 Generators and relations

#### 3.1 Generating sets

**Exercise (3.1)** Let  $G$  be a group. If  $\{H_i \mid i \in I\}$  are subgroups of  $G$  then so is their intersection  $\bigcap_{i \in I} H_i$ .

**Definition 28.** Let  $A$  be a subset of a group  $G$ . We define  $\langle A \rangle$  to be the intersection of the subgroups of  $G$  containing  $A$ :

$$\langle A \rangle = \bigcap_{A \subset H \leq G} H. \quad \square$$

For this definition to make sense there must be at least one  $H$  such that  $A \subset H \leq G$ ; it exists because one can take  $H = G$ .

Note that  $\langle A \rangle$  is a group by exercise 3.1. It is called the **subgroup of  $G$  generated by  $A$** . We also say that  $A$  is a **generating set** of the group  $\langle A \rangle$ . Every group  $G$  has a generating set because  $G = \langle G \rangle$  but we try to find fewer generators. We say that a group is **finitely generated** if it has a finite generating set.

Instead of  $\langle \{a_1, \dots, a_n\} \rangle$  we write  $\langle a_1, \dots, a_n \rangle$ . Note  $\langle \emptyset \rangle = 1$ .

Note that  $\langle A \rangle$  is the smallest subgroup of  $G$  containing  $A$ . This can be taken as a second definition of  $\langle A \rangle$ . Here is a third definition:

**Proposition 29.** Let  $A$  be a subset of a group  $G$ . Then

$$\langle A \rangle = \{a_1^{d_1} \cdots a_k^{d_k} \mid k \geq 0 \text{ and } a_i \in A, d_i \in \{-1, 1\} \text{ for all } i\}. \quad (30)$$

*Proof.* Let  $B$  be the right-hand side of (30). We now prove that  $B$  is a subgroup of  $G$ . Clearly  $1 \in B$ . Let  $x, y \in B$ , say,  $x = a_1^{d_1} \cdots a_k^{d_k}$ ,  $y = b_1^{e_1} \cdots b_\ell^{e_\ell}$  where  $a_i, b_j \in A$ ,  $d_i, e_j \in \{-1, 1\}$ . Then  $xy^{-1} = a_1^{d_1} \cdots a_k^{d_k} b_\ell^{-e_\ell} \cdots b_1^{-e_1} \in B$ , proving that  $B \leq G$ . It follows that  $\langle A \rangle \subset B$ .

Conversely, let  $x \in B$ , say,  $x = a_1^{d_1} \cdots a_k^{d_k}$  where  $a_i \in A$  and  $d_i \in \{-1, 1\}$ . Since  $\langle A \rangle \leq G$  it follows that  $x = a_1^{d_1} \cdots a_k^{d_k} \in \langle A \rangle$ . Therefore  $B \subset \langle A \rangle$ .  $\square$

It is now clear that a cyclic group is just a group generated by only one element  $g$ . The language, introduced in definition 7, of calling  $g$  a generator, agrees with what we have learned in the present section.

For another example, the dihedral group of section 1.5 is generated by  $\{r, s\}$ .

#### 3.2 Normal subgroups

*Notation 31.* If  $A, B$  are subsets of a group  $G$  then we write  $AB = \{ab \mid a \in A, b \in B\}$ . We also write  $aB := \{a\}B$  and  $Ab := A\{b\}$ .

**Definition 32.** Let  $H$  be a subgroup of a group  $G$ . A set of the form  $Hx$  (with  $x \in G$ ) is called a **left coset (with respect to  $H$ )** and  $xH$  a **right coset**. Let  $H \backslash G$  be the set of left cosets and  $G/H$  the set of right cosets.

**Exercise (3.2)** Let  $H \leq G$ .

- (a) Prove that  $G$  is the disjoint union of the left cosets with respect to  $H$ .
- (b) Prove that  $G/H$  and  $H \backslash G$  have equal cardinalities. It is called the **index** of  $H$  in  $G$  and written  $[G : H]$ . It is a positive integer or  $\infty$ . Note that  $[G : H]$  may be finite even if  $G$  is infinite.

**Definition 33.** A **normal subgroup** of a group  $G$  is a subgroup  $N \subset G$  such that  $Nx = xN$  for all  $x \in G$ . Notation:  $N \trianglelefteq G$ .

Let  $N$  be a subgroup of a group  $G$ . Another way of saying  $N \trianglelefteq G$  is  $xNx^{-1} = N$  for all  $x$ . Again equivalent is that every left coset is a right coset and vice versa.

**Exercise (3.3)** Let  $G$  be a group. If  $\{H_i \mid i \in I\}$  are normal subgroups of  $G$  then so is their intersection  $\bigcap_{i \in I} H_i$ .

**Definition 34.** Let  $A$  be a subset of a group  $G$ . We define  $\langle\langle A \rangle\rangle_G = \langle\langle A \rangle\rangle$  to be the intersection of the normal subgroups of  $G$  containing  $A$ :

$$\langle\langle A \rangle\rangle = \bigcap_{A \subset H \trianglelefteq G} H. \quad \square$$

Note that  $\langle\langle A \rangle\rangle \trianglelefteq G$  by exercise 3.3. It is called the subgroup of  $G$  **normally generated by  $A$**  or the **normal closure in  $G$  of  $A$** .

Sometimes  $\langle\langle A \rangle\rangle_G$  is a better notation because of the way it depends on  $G$ ; see exercise 3.20.

A second characterisation of  $\langle\langle A \rangle\rangle$  is as the smallest normal subgroup of  $G$  containing  $A$ . See exercise 3.30 for a third characterisation.

A group  $G$  is said to be **simple** if has no other normal subgroup than 1 and  $G$ . One of the greatest achievements of mathematics is the classification of finite simple groups. Originally this took 15,000 pages and it's beyond our scope.

### 3.3 Quotient groups

Assume  $N \trianglelefteq G$ . Recall that then  $N \backslash G = G/N$ . In words: every left coset is a right coset and vice versa. Recall our definition  $AB := \{ab \mid a \in A, b \in B\}$  for subsets  $A, B \in G$ .

Using notation 31 we claim that if  $A, B \in G/N$  then  $AB \in G/N$ . Indeed, writing  $A = Nx, B = Ny$  we have  $AB = NxnNy = NNxy = Nxy \in G/N$ . Prove yourself that this multiplication makes  $G/N$  into a group.

**Definition 35.** Let  $N \trianglelefteq G$ . We call  $G/N$  with the above multiplication the **quotient group** of  $G$  by  $N$ .

*Example 36.* (a).  $G/1 \cong G$ .

(b)  $G/G \cong 1$ .

(c) Let  $C_\infty = \langle g \rangle$  and  $N = \langle g^r \rangle$  ( $r \geq 1$ ). Then  $N$  is a normal subgroup of  $C_\infty$  and  $C_\infty/N \cong C_r$ .

**Exercise (3.4)** Prove that  $\langle r \rangle$  is a normal subgroup of the dihedral group  $D_{2n}$ .

**Definition 37.** Let  $f: G \rightarrow H$  be a homomorphism of groups. The **kernel** of  $f$  is  $\ker(f) = \{x \in G \mid f(x) = 1\}$  and the **image** of  $f$  is  $\text{im}(f) = \{f(x) \mid x \in G\}$ .

An important observation is now:

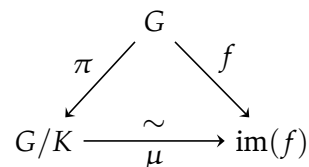
**Exercise (3.5)** Let  $f: G \rightarrow H$  be a homomorphism of groups.

- (a) The kernel of  $f$  is a normal subgroup of  $G$ .
- (b) The image of  $f$  is a subgroup of  $H$ .

**Proposition/Definition 38.** Let  $N \trianglelefteq G$ . The **natural map**  $\pi: G \rightarrow G/N$  is defined by  $\pi(x) = Nx$ . It is a surjective homomorphism of groups and its kernel is  $N$ .

*Proof.* For all  $x \in G$  we have  $Nx = xN$  because  $N$  is a normal subgroup. For all  $x, y \in G$  we have  $\pi(x)\pi(y) = NxNy = NNxy = Nxy = \pi(xy)$  so  $\pi$  is a homomorphism. It is surjective by definition. For all  $x \in G$  we have  $x \in \ker(\pi) \Leftrightarrow \pi(x) = 1 \Leftrightarrow Nx = N \Leftrightarrow x \in N$  which proves that  $\ker(\pi) = N$ . □

**Theorem 39: First isomorphism theorem for groups.** Let  $f: N \rightarrow G$  be a homomorphism of groups with kernel  $K$ . Then there exists a unique isomorphism  $\mu: G/K \rightarrow \text{im}(f)$  such that  $f = \mu \circ \pi$ :



*Proof.* See any textbook on group theory. □

The diagram in theorem 39 is said to commute if  $f = \mu \circ \pi$ . In general, a **diagram** has a bunch of **objects** which could be sets, groups, vector spaces, and so on.

Further there are **arrows**. Each arrow points from its **source object** to its **target object**. Every arrow is labelled by a **map** from its source object to its target object. The source (respectively, target) object of an arrow are also called the source (respectively, target) object of the associated map.

If the objects are groups, the maps are often homomorphisms; for vector spaces they are often linear maps, and so on; but these are by no means necessary.

A diagram is said to **commute** or to be **commutative** if  $f_1 \cdots f_k = g_1 \cdots g_\ell$  whenever there are arrow labels  $f_i, g_j$  such that:

- The source of  $f_i$  is the target of  $f_{i+1}$  for all  $i$ .
- The source of  $g_j$  is the target of  $g_{j+1}$  for all  $j$ .
- $f_k$  and  $g_\ell$  have equal sources.
- $f_1$  and  $g_1$  have equal targets.

### 3.4 Free groups

Let  $A$  be a set. In this setting,  $A$  is often called an **alphabet** and its elements **letters** or **generators**. A **word** over  $A$  is a sequence of pairs

$$\left( \begin{pmatrix} e_1 \\ a_1 \end{pmatrix}, \dots, \begin{pmatrix} e_k \\ a_k \end{pmatrix} \right) \tag{40}$$

such that  $k \geq 0$  and  $a_i \in A$  and  $e_i \in \{-1, 1\}$  for all  $i$ . The above word is said to have length  $k$ . We write  $\ell(u)$  for the length of a word  $u$ . There is precisely one word of length 0; it is called the empty word and written 1. The set of words over  $A$  will be written  $A^*$ .

If  $A$  is a subset of a group  $G$  then a word (40) gives rise to an element

$$a_1^{e_1} \cdots a_k^{e_k} \tag{41}$$

of  $G$ . Confusingly, people usually write (41) when they mean (40). This is a great cause of confusion! But everybody does it and we don't want to get left behind. So from now on we may write (41) when we mean (40). If in doubt, try reverting to the earlier notation.

The **product**  $uv$  of two words

$$u = \left( \begin{pmatrix} d_1 \\ a_1 \end{pmatrix}, \dots, \begin{pmatrix} d_k \\ a_k \end{pmatrix} \right), \quad v = \left( \begin{pmatrix} e_1 \\ b_1 \end{pmatrix}, \dots, \begin{pmatrix} e_\ell \\ b_\ell \end{pmatrix} \right)$$

is defined by concatenation:

$$uv = \left( \begin{pmatrix} d_1 \\ a_1 \end{pmatrix}, \dots, \begin{pmatrix} d_k \\ a_k \end{pmatrix}, \begin{pmatrix} e_1 \\ b_1 \end{pmatrix}, \dots, \begin{pmatrix} e_\ell \\ b_\ell \end{pmatrix} \right).$$

Note that  $\ell(uv) = \ell(u) + \ell(v)$  for all  $u, v \in A^*$ .

We define the **inverse** of the above word  $u$  by

$$u^{-1} = \left( \begin{pmatrix} -d_k \\ a_k \end{pmatrix}, \dots, \begin{pmatrix} -d_1 \\ a_1 \end{pmatrix} \right).$$

Note that this doesn't make  $A^*$  into a group, because  $uu^{-1}$  is not the empty word for all nontrivial words  $u$  over  $A$ .

Associativity  $((uv)w = u(vw)$  for all  $u, v, w \in A^*$ ) is true though and trivial.

**Definition 42.**

- (a) Let  $u, v$  be words over  $A$  and  $a \in A$  a letter. We say that  $uv$  is a **one-step reduction** of both  $uaa^{-1}v$  and  $ua^{-1}av$ . We also write  $u \rightarrow v$  if  $v$  is a one-step reduction of  $u$ .
- (b) Let  $\geq$  be the reflexive transitive closure of  $\rightarrow$ .  
Equivalently,  $u \geq v$  if and only if there exists a sequence of words  $u = w_0 \rightarrow w_1 \rightarrow \cdots \rightarrow w_k = v$  with  $k \geq 0$ .
- (c) Associated with  $\geq$  are three more relations  $\leq, >, <$  in the usual way. For example  $u > v$  is equivalent to  $u \geq v$  and  $u \neq v$ . □

If  $u < v$  then  $\ell(u) < \ell(v)$ . The converse is not true of course. It follows that  $<$  is an ordering.

**Definition 43.** A word  $u$  over  $A$  is said to be **reduced** if there is no smaller word, that is, no word  $v$  over  $A$  such that  $u > v$ . The set of reduced words over  $A$  is written  $F(A)$ . □

Thus a word is reduced if and only if it is not of the form  $uaa^{-1}v$  or  $ua^{-1}av$  for words  $u, v$  over  $A$  and  $a \in A$ .

By a **lower bound** for  $u$  we mean any  $v$  such that  $u \geq v$ . So a reduced lower bound of a word  $u$  is a reduced word  $v$  such that  $u \geq v$ .

*Example 44.* Let  $a, b, c, d \in A$  and  $w = a b^{-1} c c^{-1} b d a^{-1}$ . Then

$$w = a b^{-1} c c^{-1} b d a^{-1} \rightarrow a b^{-1} b d a^{-1} \rightarrow a d a^{-1},$$

and the latter is reduced. Thus  $a d a^{-1}$  is a reduced lower bound of  $w$ .

The following lemma is the key to understanding free groups.

**Lemma/Definition 45: Reduced lower bound.** *Let  $u$  be a word over  $A$ . Then  $u$  has a unique reduced lower bound. It is denoted  $R(u)$ .*

*Proof.* Existence is an easy exercise.

We prove uniqueness by induction on the length of  $u$ . It is true if  $\ell(u) \leq 1$  because then the only possible value for  $v$  is  $v = u$ . Supposing that uniqueness is known if  $\ell(u) \leq k$  we prove it if  $\ell(u) \leq k + 2$ . We may clearly suppose that  $u$  is not itself reduced.

Let  $v, w$  be reduced lower bounds of  $u$ . We must show that  $v = w$ . By definition of the ordering there are words  $v_1, w_1$  such that  $u \rightarrow v_1 \geq v$  and  $u \rightarrow w_1 \geq w$  (since  $u$  is not reduced).

Some letters in  $u$  are removed in the one-step reduction from  $u$  to  $v_1$  and some are from  $u$  to  $w_1$ . Let us say that there is **overlap** if there is a letter that is removed both times.

Suppose first that there is no overlap. After interchanging  $v$  and  $w$  if necessary there are words  $p, q, r, s, t$  over  $A$  such that  $u = pqrst$  and  $v_1 = prst$  and  $w_1 = pqrt$  (of course,  $q$  and  $s$  are nonreduced words of length 2). Then  $v_1 \rightarrow prt$  and  $w_1 \rightarrow prt$ . Let  $x$  be any reduced lower bound of  $prt$ . Then  $v, x$  are two reduced lower bounds of  $v_1$ . But there is only one, by the inductive hypothesis and because  $\ell(v_1) < \ell(v)$ . So  $v = x$ . For the same reason  $w = x$  and so  $v = w$  as required.

Finally suppose that there is overlap. After interchanging  $v$  and  $w$  if necessary there are words  $p, q, a$  over  $A$  with  $\ell(a) = 1$  (that is,  $a$  is a letter or the inverse of a letter) such that  $u = p a a^{-1} a q$  and  $v_1$  (respectively,  $w_1$ ) is obtained from  $u$  by removing the shown  $a a^{-1}$  (respectively,  $a^{-1} a$ ). This implies  $v_1 = p a q = w_1$ . Now  $\ell(v_1) < \ell(v)$  so by the induction hypothesis  $v_1$  has a unique reduced lower bound. But  $v$  and  $w$  are reduced lower bounds of  $v_1$ . Therefore  $v = w$  as required.

This proves the induction step and thereby the lemma. □

**Exercise (3.6)** Prove:

- (a) Let  $u, v \in A^*$  and  $u \leq v$ . Then  $R(u) = R(v)$ .
- (b) Let  $u, v, w, x \in A^*$  be such that  $u \leq v$  and  $w \leq x$ . Then  $uw \leq vx$ .
- (c) Let  $u, v \in A^*$ . Then

$$R(R(u) v) = R(uv) = R(u R(v)). \tag{46}$$

**Definition 47.** Let  $u, v$  be reduced words over  $A$ . We define  $u * v := R(uv)$ .

*Example 48.* Continuing from example 44 we find

$$a b^{-1} c * c^{-1} b d a^{-1} = R(a b^{-1} c c^{-1} b d a^{-1}) = a d a^{-1}.$$

**Theorem/Definition 49.** *The pair  $(F(A), *)$  is a group, called the free group on  $A$ .*

*Proof.* Firstly,  $F(A)$  contains the empty word (written 1) and is hence not empty, even if  $A$  is empty. Secondly, it is clear that  $u * 1 = 1 * u = u$  and  $u * u^{-1} =$

$u^{-1} * u = 1$  for all  $u \in F(A)$ . It remains to prove that the star product is associative. Let  $u, v, w \in A^*$ . Using (46) twice we find

$$\begin{aligned} (u * v) * w &= R((u * v)w) = R(R(uv)w) = R((uv)w) \\ &= R(u(vw)) = R(uR(vw)) = R(u(v * w)) = u * (v * w). \end{aligned} \quad \square$$

*Remark 50.* (a). The group operation in  $F(A)$  is often written  $uv$  instead of  $u * v$ . Watch out not to confuse this with the concatenation of words.

(b). It is common to consider  $A$  as a subset of  $F(A)$ . Then  $F(A)$  is generated by  $A$ .

*Example 51.* (a).  $F(\emptyset) = 1$ .

(b). We claim that a free group on one letter is an infinite cyclic group. To prove this, write  $F = F(\{a\})$ . A sequence  $aa \cdots a$  of  $n$  copies of  $a$  is a reduced word. All of these are elements of  $F$  and therefore  $F$  is infinite. Moreover  $F$  is generated by  $a$  so  $F \cong C_\infty$ .

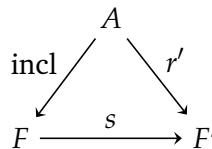
We write  $F(a_1, \dots, a_n)$  instead of  $F(\{a_1, \dots, a_n\})$ .

For sets  $A, B$  we claim  $F(A) \cong F(B) \iff \#A = \#B$ . The implication  $\Leftarrow$  is trivial. We shall not prove  $\Rightarrow$ .

It follows that up to isomorphism  $F(a_1, \dots, a_n)$  depends only on  $n$ . It is often written  $F_n$ .

The famous Nielsen-Schreier theorem (outside our scope) states that every subgroup of a free group is again (isomorphic to) a free group. There exists a wieldy algebraic proof. A different topological proof is based on the observation that free groups are the same as fundamental groups of connected graphs.

**Theorem 52: Universal property for free groups.** *Let  $A$  be a set and write  $F = F(A) \supset A$ . Let  $F'$  be a group and  $r': A \rightarrow F'$  be a map. Then there exists a unique homomorphism  $s: F \rightarrow F'$  such that  $s(a) = r'(a)$  for all  $a \in A$ .*



*Proof.* Unicity is an **exercise**.

Proof of existence. We shall find it convenient to define  $s(u)$  for every word  $u$  over  $A$ , not just the reduced ones. For  $u \in A^*$ , we define  $s(u)$  the obvious way, that is, by replacing each letter  $a \in A$  by  $r'(a)$ . Explicitly:

$$s(a_1^{d_1} \cdots a_k^{d_k}) = (r'(a_1))^{d_1} \cdots (r'(a_k))^{d_k}.$$

It is clear that  $s(a) = r'(a)$  for all  $a \in A$ .

It remains to prove that the restriction  $s|_F$  is a group homomorphism  $F \rightarrow F'$ . First notice that if  $v$  is a one-step reduction of  $u$  then  $s(v) = s(u)$ . It follows that  $s(R(u)) = s(u)$  for all  $u$ . Finally we find

$$s(u * v) = s(R(uv)) = s(uv) = s(u) s(v). \quad \square$$

*Remark 53.* The universal property as stated in theorem 52 **characterises** the free group on  $A$ , that is, up to isomorphism the free group on  $A$  is the only gadget satisfying the universal property. See exercise 3.27.



Proving this is even easier than understanding the free group! What's more, it still works for more complicated identities like  $(ab)c = (cb)(ac)$  instead of associativity.

In this module we hardly ever use any understanding of the free group on  $A$  other than that it is essentially the only gadget satisfying the universal property. You often see this if there is a universal property around.

In a rather different area people study  $\text{Aut}(F_n)$ , the automorphism group of the free group, and then the universal property is not enough.

### 3.5 Presentations of groups

**Definition 54.** (a). A **group presentation** is a pair  $(A, R)$  where  $A$  is a set of **generators** and  $R \subset F(A)$  a subset of **relations**.

(b). Associated with a group presentation  $(A, R)$  is a group  $\langle A \mid R \rangle := F(A)/\langle\langle R \rangle\rangle$ . We say that  $(A, R)$  is a presentation of  $\langle A \mid R \rangle$  and any group isomorphic to it. We also say that  $\langle A \mid R \rangle$  is **defined by generators  $A$  and relations  $R$** .

(c). We allow various equivalent ways to write relations. For example  $xyx^{-1}y^{-1}$  and  $xyx^{-1}y^{-1} = 1$  and  $xy = yx$  are three different ways to write the same relation.

Let  $(A, R)$  be a group presentation. There is a natural map  $A \rightarrow \langle A \mid R \rangle$  which is the composition of the maps  $A \rightarrow F(A) \rightarrow \langle A \mid R \rangle$ . It is common to identify  $A$  with its image in  $\langle A \mid R \rangle$ . Also, one writes down a word over  $A$  and considers it an element of  $\langle A \mid R \rangle$ . This is a great cause of confusion, so watch out!

The natural map  $A \rightarrow \langle A \mid R \rangle$  may not be injective as is shown by the example  $\langle a, b \mid aa = ab \rangle$ .

**Corollary 55.** *Let  $G$  be a group.*

- (a) *There exists a surjective homomorphism from some free group to  $G$ .*
- (b) *There exists a presentation of  $G$ .*

*Proof.* Proof of (a). Let  $A \subset G$  be any generating subset for  $G$  (for example,  $A = G$ ). By the universal property theorem 52 (with  $F' = G$  and  $r'(a) = a$  for all  $a$ ) there exists a unique homomorphism  $s: F(A) \rightarrow G$  such that  $s(a) = a$  for all  $a$ . Then the image of  $s$  contains  $A$  and therefore  $\langle A \rangle$ . Therefore  $s$  is surjective.

Proof of (b). Let  $R$  be the kernel of  $s$ . By the first isomorphism theorem (theorem 39) we have  $F(A)/R \cong G$ . Also  $\langle\langle R \rangle\rangle = R$  so  $\langle A \mid R \rangle = F(A)/\langle\langle R \rangle\rangle = F(A)/R \cong G$ .  $\square$

*Example 56.* For  $r \geq 1$  we have  $C_r \cong \langle a \mid a^r \rangle$ . Indeed, by example 51b and example 36c and we have  $\langle a \mid a^r \rangle = F(a)/\langle\langle a^r \rangle\rangle = F(a)/a^r \cong C_r$ .

**Definition 57.** Let  $(A, R)$  be a group presentation and write  $A = \{a(i) \mid i \in I\}$ . Let  $H$  be a group and let  $\{h(i) \mid i \in I\}$  be elements of  $H$  indexed by the same index set  $I$ . We say that  $\{h(i) \mid i \in I\}$  **satisfy  $R$**  if

$$h(i_1)^{d_1} \cdots h(i_n)^{d_n} = 1 \tag{58}$$

whenever

$$a(i_1)^{d_1} \cdots a(i_n)^{d_n} \in R, \quad i_k \in I \text{ and } d_k \in \{-1, 1\} \text{ for all } k. \tag{59}$$

We now come to a very useful result generalising the universal property for free groups.

**Theorem 60.** Let  $(A, R)$  be a group presentation and write  $A = \{a(i) \mid i \in I\}$ . Let  $H$  be a group and let  $\{h(i) \mid i \in I\}$  be elements of  $H$  indexed by the same index set  $I$ . Then the following are equivalent.

- (1) There exists a homomorphism  $f: \langle A \mid R \rangle \rightarrow H$  such that  $f(a(i)) = h(i)$  for all  $i \in I$ .
- (2) The elements  $\{h(i) \mid i \in I\}$  satisfy  $R$ .

If these are satisfied then  $f$  is unique.

*Proof.* Unicity of  $f$  follows immediate from the fact that  $G$  is generated by  $A$ .

Write  $N = \langle\langle R \rangle\rangle$  and  $G = \langle A \mid R \rangle = F(A)/N$ . Let  $p$  denote the natural map  $F(A) \rightarrow G$ . By theorem 52 there exists a unique homomorphism  $s: F(A) \rightarrow H$  such that  $s(a(i)) = h(i)$  for all  $i$ . Then

$$\begin{aligned} (2) &\iff s(r) = 1 && \text{for all } r \in R \\ &\iff s(r) = 1 && \text{for all } r \in N \\ &\iff s(x) = s(y) && \text{whenever } p(x) = p(y). \end{aligned} \tag{61}$$

In this notation we should write  $f(pa(i))$  instead of  $f(a(i))$ .

Proof of (1)  $\Rightarrow$  (2). We have  $f(px) = sx$  for all  $x \in A$  and therefore for all  $x \in F(A)$ . Write  $r$  instead of  $x$  where  $r \in R$ . Then  $p(r) = 1$  so  $s(r) = 1$  as required.

Proof of (2)  $\Rightarrow$  (1). Define  $f(px) := s(x)$  for all  $x \in F(A)$ . For this to be well-defined we need two observations:

- $p: F(A) \rightarrow G$  is surjective.
- $s(x) = s(y)$  whenever  $p(x) = p(y)$ .

The first is clearly true and the second is true by (61).

It is clear that  $f(px) = s(x)$  for all  $x \in A$ . We prove that  $f$  is a homomorphism as follows: for all  $x, y \in F(A)$  we have

$$f(p(x)p(y)) = f(p(xy)) = s(xy) = s(x)s(y) = f(px)f(py). \quad \square$$

*Example 62.* Prove that  $D_{2n} \cong \langle x, y \mid x^n, y^2, (xy)^2 \rangle$ .

*Solution.* Let  $G$  be the group on the right-hand side. It is straightforward to prove that  $r, s$  satisfy the relations  $x^n, y^2, (xy)^2$ , that is,  $r^n = 1$  and so on. By theorem 60 there is a unique homomorphism  $f: G \rightarrow D_{2n}$  such that  $f(x) = r$  and  $f(y) = s$ . Also  $f$  is surjective because  $D_{2n}$  is generated by  $r, s$  by lemma 13. We are left to prove that  $f$  is injective.

Let  $g \in G$ . Then  $g$  can be represented by a word consisting of powers of  $x$  and  $y$ , alternating between the two. More precisely, by a word over  $\{x, y\}$  of the form  $u_1 \cdots u_n$  where  $u_i \in \langle x \rangle \cup \langle y \rangle$  and  $u_i \neq 1$  for all  $i$ , and  $u_i \in \langle x \rangle \Leftrightarrow u_{i+1} \in \langle y \rangle$  for all  $i$ . We aim to replace the word by simpler words.

We have

$$yx = x^{-1}y, \quad yx^{-1} = xy, \quad y^{-1}x = x^{-1}y^{-1}, \quad y^{-1}x^{-1} = xy^{-1}.$$

Using these we can push the  $y$ 's to the right, which proves that  $g$  can be represented by a word of the form  $x^k y^\ell$ .

But  $x^n = 1$  and  $y^2 = 1$  so  $G = \{x^k, x^k y \mid 0 \leq k < n\}$ . Therefore  $\#G \leq 2n = D_{2n}$ . It follows that  $f$  is an isomorphism.  $\square$

*Example 63.* There exists a unique representation  $\rho: D_8 \rightarrow \text{GL}(2, \mathbb{C})$  such that  $\rho(r) = A$ ,  $\rho(s) = B$  where  $A = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ ,  $B = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$ .

*Solution.* By example 62  $D_8 = \langle r, s \mid r^4, s^2, (rs)^2 \rangle$ . The result now follows from theorem 60 and the observation (do this yourself) that  $A, B$  satisfy the relations  $r^4, s^2, (rs)^2$ .  $\square$

For integers  $p, q, r \geq 2$  let us define the **triangle groups**

$$T(p, q, r) = \langle x, y \mid x^p, y^q, (xy)^r \rangle.$$

It is known that:

- $T(p, q, r)$  is finite if and only if  $\frac{1}{p} + \frac{1}{q} + \frac{1}{r} > 1$ .
- $T(p, q, r)$  contains a finite index subgroup isomorphic to  $\mathbb{Z}^2$  if and only if  $\frac{1}{p} + \frac{1}{q} + \frac{1}{r} = 1$ .

There is a beautiful geometric proof of these which is outside our scope. For example,

$$\begin{aligned} T(n, 2, 2) &\cong D_{2n}, \\ T(2, 3, 3) &\cong A_4 \quad (\text{see exercise 3.32}), \\ T(2, 3, 5) &\cong A_5. \end{aligned}$$

### 3.6 Exercises

**(3.7)** Let  $S_4$  denote the symmetric group on  $\{1, 2, 3, 4\}$ . Consider the elements  $a = (12)(34)$ ,  $b = (13)(24)$ ,  $c = (14)(23)$  of  $S_4$  and write  $V = \{1, a, b, c\} \subset S_4$ .

(a) Prove that  $V$  is a normal subgroup of  $S_4$ . You may reduce the amount of calculations by using the following observations which you don't need to prove:

- (1) If  $x, y \in \{a, b, c\}$  and  $x \neq y$  then there exists  $g \in S_4$  such that  $gxg^{-1} = a$  and  $gyg^{-1} = b$ .
- (2) If  $x \in V$  and  $g \in S_4$  then  $gxg^{-1} \in V$ .

(b) Which well-known groups are isomorphic to  $V$  and  $S_4/V$ ? In your proof you may assume the classification of groups of small order. Give explicit isomorphisms without proof.

**(3.8)** Show that if  $G$  is an abelian group which is simple, then  $G$  is cyclic of prime order.

**(3.9)** Suppose that  $G$  is a subgroup of  $S_n$  and that  $G$  is not contained in  $A_n$ . Prove that  $G \cap A_n$  is a normal subgroup of  $G$  and that  $G/(G \cap A_n) \cong C_2$ .

**(3.10)** Prove existence in the proof of lemma 45: Every word has a unique reduced lower bound.

**(3.11)** Prove unicity in the proof of theorem 52: Universal property for free groups.

**(3.12)** True or false? Prove or disprove each statement.

- (a) Let  $a$  be an element of a group  $G$ . Then the order of  $a$  is the order of  $\langle a \rangle$ .
- (b)  $\mathbb{Z} \setminus \{17\}$  is a subgroup of  $\mathbb{Z}$ .
- (c) Every subgroup of a cyclic group is cyclic.
- (d) Let  $A, B$  be two subgroups of a group  $G$ . Then  $A \cap B$  is also a subgroup of  $G$ .
- (e) Let  $A, B$  be two subgroups of a group  $G$ . Then  $A \cup B$  is also a subgroup of  $G$ .

- (f) Let  $A, B$  be two subgroups of an abelian group  $G$ . Then  $\{ab \mid a \in A, b \in B\}$  is also a subgroup of  $G$ .
- (g) Let  $A, B$  be two subgroups of a group  $G$ . Then  $\{ab \mid a \in A, b \in B\}$  is also a subgroup of  $G$ .
- (h) Let  $a$  be an element of a group  $G$  such that  $\langle\langle a \rangle\rangle = G$ . Then  $G$  is cyclic.

**(3.13)** Let  $G$  be a group and  $H$  a subgroup such that  $\#G/H = 2$ , that is, there are precisely 2 cosets  $xH$  in  $G$ . Prove that  $H$  is normal in  $G$ .

**(3.14)** Prove that  $D_{2m}$  is presented by  $\langle x, y \mid x^2, y^2, (xy)^m \rangle$ .

**(3.15)** Find all subgroups of  $S_3$ . Which are normal subgroups? For all normal subgroups  $N$  of  $S_3$ , find a standard group which is isomorphic to  $S_3/N$ .

**(3.16)** Write  $G = \langle x, y \mid x^2, y^2, (xy)^2 \rangle$ .

(a) Prove that  $G \cong C_2 \times C_2$ .

(b) Prove that there are unique representations  $\rho, \sigma, \tau$  of  $G$  such that

$$\begin{aligned} \rho(x) &= \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, & \rho(y) &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, & \sigma(x) &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \\ \sigma(y) &= \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, & \tau(x) &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, & \tau(y) &= \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}. \end{aligned}$$

(c) Which among  $\rho, \sigma, \tau$  are equivalent?

**(3.17)** Find an example of a nontrivial cyclic group  $G$ , a generating subset  $A$  of  $G$ , and two inequivalent representations  $\rho, \sigma$  of  $G$  such that for all  $a \in A$  the restrictions  $\rho|_{\langle a \rangle}$  and  $\sigma|_{\langle a \rangle}$  are equivalent.

**(3.18)** True or false?

- (a) Let  $Q, N$  be groups. Then there exists a surjective homomorphism  $f: Q \times N \rightarrow Q$  whose kernel is isomorphic to  $N$ .
- (b) Let  $f: G \rightarrow Q$  be a surjective group homomorphism and let  $N$  denote its kernel. Then  $G \cong Q \times N$ .

**(3.19)** Let  $\rho$  be a representation of a group  $G$  of degree 1. Prove that  $G/\ker \rho$  is abelian.

**(3.20)** Let  $A$  be a subset of  $G$ . The subgroup of  $G$  generated by  $A$  will be denoted  $\langle A \rangle_G$ , and the normal subgroup  $\langle\langle A \rangle\rangle_G$ . This more informative notation is necessary in this exercise.

Let  $H \subset G$  be groups and  $A$  a subset of  $H$ .

- (a) Prove that  $\langle A \rangle_H = \langle A \rangle_G$ .
- (b) Give an example where  $\langle\langle A \rangle\rangle_G \neq \langle\langle A \rangle\rangle_H$ .
- (c) Prove or disprove the following. Suppose that  $H$  is a normal subgroup of  $G$ . Then  $\langle\langle A \rangle\rangle_G = \langle\langle A \rangle\rangle_H$ .

**(3.21)** Prove that  $\langle a, b, c, d \mid ab = c, bc = d, cd = a, da = b \rangle$  is cyclic and find its order.

**(3.22)** Prove that  $\langle x, y \mid y^{-1}x^ny = x^{n+1}, x^{-1}y^nx = y^{n+1} \rangle$  is a trivial group, for all  $n \in \mathbb{Z}$ .

**(3.23)** Prove that  $\langle x, y \mid xyx = y, yxy = x \rangle$  and  $\langle a, b \mid a^2 = b^2, a^{-1}ba = b^{-1} \rangle$  are

isomorphic groups. You're not supposed to use anything about group presentations that we didn't learn in the lectures.

**(3.24)** Let  $A$  be a generating subset of a group  $G$ . Suppose that every two elements of  $A$  commute. Prove that  $G$  is abelian.

**(3.25)** Classify the representations of  $D_{2n}$  of degree 1.

**(3.26)** Let  $G$  be the group presented by  $\langle a, b \mid aba = bab \rangle$ .

(a) Find all homomorphisms  $G \rightarrow S_3$ .

(b) Find all 1-dimensional representations of  $G$ .

**(3.27)** Let  $A$  be a set. A **universally free group** on  $A$  is a pair  $(F, r)$  of a group  $F$  and a map  $r: A \rightarrow F$  with the following property. Let  $F'$  be a group and  $r': A \rightarrow F'$  be a map. Then there exists a unique homomorphism  $s: F \rightarrow F'$  such that  $s(r(a)) = r'(a)$  for all  $a \in A$ .

(a) Prove directly from the definition that universally free groups are unique. More precisely, if  $(F_1, r_1)$  and  $(F_2, r_2)$  are universally free groups then there exists a homomorphism  $s: F_1 \rightarrow F_2$  such that  $r_2 = s r_1$ .

(b) Prove that universally free groups exist directly from the definition. The group  $F(A)$  is one of them by theorem 52 but you're not supposed to use that here.

**(3.28)** In this exercise, you should prove things directly from the definition of universally free group as defined in exercise 3.27.

(a) The universally free group on 2 generators is not abelian.

(b) If  $\#A = \#B$  then the universally free group on  $A$  is isomorphic to the universally free group on  $B$ .

**(3.29)** Let  $A$  be an alphabet of  $k$  elements. How many words  $u$  of length  $2n$  over  $A$  are there such that  $R(u) = 1$ ?

**(3.30)** Let  $A$  be a subset of a group  $G$  and  $B = \{gag^{-1} \mid g \in G, a \in A\}$ . Prove that  $\langle\langle A \rangle\rangle = \langle B \rangle$ .

**(3.31)** Let  $G, H$  be groups. Suppose we have a map  $H \times G \rightarrow H: (x, a) \mapsto x^a$  which is an **action** (that is,  $x^{ab} = (x^a)^b$  for all  $x \in H, a, b \in G$ ) by **group automorphisms** (that is,  $(xy)^a = x^a y^a$  for all  $x, y \in H, a \in G$ ). On the set  $G \times H$  we define the binary operation

$$(a, x)(b, y) := (ab, x^b y).$$

(a) Prove that this binary operation makes  $G \times H$  into a group. It is called an **external semi-direct product** and written  $G \ltimes H$ .

Let  $G, H$  be subgroups of a group  $P$  and write  $GH := \{gh \mid g \in G, h \in H\}$ . We say that  $P$  is an **internal semi-direct product** of  $G, H$  if  $H \trianglelefteq P$ ,  $G \cap H = 1$ ,  $P = GH$ . We also say that  $(P, G, H)$  is an internal semi-direct product.

(b) Prove that an external semi-direct product  $G \ltimes H$  is an internal semi-direct product of two subgroups, one isomorphic to  $G$ , one to  $H$ .

(c) (Not for credit). Prove the following converse. Let  $(P, G, H)$  be an internal semi-direct product. Then there exists an action by automorphisms  $H \times G \rightarrow H: (x, a) \mapsto x^a$  such that  $G \ltimes H \cong P$ .

(d) Let  $G, H$  be subgroups of a finite group  $P$ . Suppose  $H \trianglelefteq G$  and  $G \cap H = 1$ . Prove that  $(P, G, H)$  is an internal semi-direct product if and only if  $\#P = \#G \cdot \#H$ .

- (e) Give an example where the group  $G \ltimes H$  (internal or external as you prefer) is not isomorphic to  $G \times H$ .
- (f) Let  $G$  be a group. We define an action by automorphisms  $G \times G \rightarrow G: (x, a) \mapsto x^a := a^{-1} x a$ . Prove that  $G \ltimes G \cong G \times G$  as groups.

**(3.32)** (Adapted from the 2011 exam). Put  $G = \langle x, y \mid x^2, y^3, (xy)^3 \rangle$  and consider the elements  $a = (12)(34)$  and  $b = (123)$  of the alternating group  $A_4$ .

- (a) Prove that there exists a unique homomorphism  $f: G \rightarrow A_4$  such that  $f(x) = a, f(y) = b$ .
- (b) Consider the subgroup  $H = \langle y \rangle$  of  $G$  and the set of cosets

$$A = \{H, xH, yxH, y^2xH\}.$$

Prove  $xyxH \in A$ .

- (c) Justify that  $zC \in A$  for all  $z \in \{x, y\}$  and  $C \in A$  by writing down, without proof, a table which for all  $z \in \{x, y\}$  and  $C \in A$  gives an element  $g \in \{1, x, yx, y^2x\}$  such that  $zC = gH$ .
- (d) Prove  $gH \in A$  for all  $g \in G$ .
- (e) Prove that  $f: G \rightarrow A_4$  is an isomorphism. You may assume that it is surjective.

## 4 Modules

### 4.1 Modules

Throughout these notes, a vector space is always over  $\mathbb{C}$ .

**Definition 64.** Let  $G$  be a group and  $V$  a complex vector space. An **action** of  $G$  on  $V$  (by linear maps) is a map

$$\begin{aligned} G \times V &\longrightarrow V \\ (g, v) &\longmapsto gv \end{aligned}$$

such that:

- (1)  $g(hv) = (gh)v$  for all  $g, h \in G, v \in V$ .
- (2)  $1v = v$  for all  $v \in V$ .
- (3)  $g(au + bv) = a(gu) + b(gv)$  for all  $u, v \in V, a, b \in \mathbb{C}, g \in G$ .

Note that (1) and (2) say that  $G$  acts on  $V$  as a set; (3) says that  $v \mapsto gv$  is a linear map, for all  $g \in G$ .

*Example 65.* Let  $C_r = \langle g \mid g^r \rangle$ . Let  $\{v_i \mid i \in \mathbb{Z}/r\}$  be a basis of a vector space  $V$ . We define a  $C_r$ -action on  $V$  by  $g^k v_i = v_{i+k}$  (extended by linearity). We claim that this is a  $C_r$ -action on  $V$ . Axioms (2) and (3) are clearly satisfied. Furthermore  $g^k(g^\ell v_i) = g^k v_{i+\ell} = v_{i+k+\ell} = g^{k+\ell} v_i = (g^k g^\ell) v_i$  for all  $v_i$  and hence by linearity  $g^k(g^\ell v) = (g^k g^\ell)v$  for all  $v \in V$ , thus proving axiom (1).

**Definition 66.** Let  $G$  be a group. A **CG-module** is a vector space  $V$  together with a  $G$ -action on it.

*Remark 67.* Throughout the notes we ignore the connection with modules over rings to keep things simple. Here it is in a nutshell. There is a ring  $\mathbb{C}G$  known as the group algebra of  $G$ . Its modules in the sense of ring theory are exactly what we call  $\mathbb{C}G$ -modules. For us however, “ $\mathbb{C}G$ ” has no meaning, only “ $\mathbb{C}G$ -module” has.

**Definition 68.** Let  $V, W$  be  $\mathbb{C}G$ -modules. A  **$\mathbb{C}G$ -homomorphism**  $V \rightarrow W$  is a linear map  $f: V \rightarrow W$  such that  $f(gv) = g(fv)$  for all  $v \in V$  and  $g \in G$ . A  **$\mathbb{C}G$ -isomorphism** is a bijective  $\mathbb{C}G$ -homomorphism. We write  $V \cong W$  if there exists a  $\mathbb{C}G$ -isomorphism  $V \rightarrow W$ .

*Example 69.* Let  $G = \{1, g\} \cong C_2$ . Prove yourself that the following defines two  $\mathbb{C}G$ -modules  $V, W$ :  $V = \mathbb{C}^2$ ,  $g(x, y) = (y, x)$ ,  $W = \mathbb{C}$ ,  $g(x) = -x$ .

Define  $f: V \rightarrow W$  by  $f(x, y) = x - y$ . We claim that  $f$  is a  $\mathbb{C}G$ -homomorphism  $V \rightarrow W$ . First of all,  $f$  is linear. Moreover,

$$fg(x, y) = f(y, x) = y - x = g(x - y) = gf(x, y)$$

for all  $x, y \in \mathbb{C}$  and therefore  $f$  is a  $\mathbb{C}G$ -homomorphism  $V \rightarrow W$ .

## 4.2 Representations afforded by modules

First a reminder on linear algebra. Let  $A = (v_1, \dots, v_p)$  be a basis of a vector space  $V$  and  $B = (w_1, \dots, w_q)$  a basis of a vector space  $W$ . Let  $f: W \rightarrow V$  be a linear map. We define  $\langle A, f, B \rangle$  to be the matrix of  $f$  with respect to bases  $A, B$ , that is,  $(c_{ij})$  where

$$f(w_j) = \sum_i c_{ij} v_i \quad \text{for all } j.$$

In linear algebra you have learned:

- (a) The map  $f \mapsto \langle A, f, B \rangle$  is a bijection from  $\text{Hom}(W, V) := \{\text{linear maps } W \rightarrow V\}$  to the set of  $p \times q$  matrices.
- (b)  $\langle A, f, B \rangle \langle B, g, C \rangle = \langle A, fg, C \rangle$  whenever this makes sense.
- (c) If  $A$  is the standard basis of  $\mathbb{C}^n$  then  $\langle A, f, A \rangle v = fv$  for all linear maps  $f: \mathbb{C}^n \rightarrow \mathbb{C}^n$ .

**Exercise (4.1)** Deduce from this that  $\langle A, f^{-1}, B \rangle = \langle B, f, A \rangle^{-1}$  if  $f$  is bijective.

*Notation 70.* If  $V$  is a  $\mathbb{C}G$ -module and  $g \in G$  we write  $t_g^V$  or just  $t_g$  for the linear map  $V \rightarrow V: v \mapsto gv$ .

**Lemma 71.** Let  $V$  be a  $\mathbb{C}G$ -module and  $A$  a basis for  $V$ . Then the map  $\rho: G \rightarrow \text{GL}(n, \mathbb{C})$  defined by

$$\rho(g) = \langle A, t_g, A \rangle$$

is a representation.

*Proof.* Let  $g, h \in G$ . We have  $t_g t_h = t_{gh}$  because for all  $v \in V$  we have

$$(t_g t_h)v = t_g(hv) = g(hv) = (gh)v = t_{gh}v.$$

We have  $\rho(g)\rho(h) = \rho(gh)$  because

$$\rho(g)\rho(h) = \langle A, t_g, A \rangle \langle A, t_h, A \rangle = \langle A, t_g t_h, A \rangle = \langle A, t_{gh}, A \rangle = \rho(gh).$$

Note that  $t_1 = \text{id}_V$  and therefore  $\rho(1) = 1$  (the identity matrix). It follows that  $\rho(g)\rho(g^{-1}) = \rho(gg^{-1}) = \rho(1) = 1$ . Therefore  $\rho(g) \in \text{GL}(n, \mathbb{C})$ . The proof is finished.  $\square$

**Definition 72.** In the notation of lemma 71, we call  $\rho$  the representation **afforded by  $(V, A)$** .

**Lemma 73.** *Every representation is afforded by some  $(V, A)$ .*

*Proof.* Let  $\rho: G \rightarrow \text{GL}(n, \mathbb{C})$  be a representation. Put  $V = \mathbb{C}^n$ . At this point,  $V$  is just a vector space; we turn it into a  $\mathbb{C}G$ -module by putting  $gv = (\rho(g))v$  for all  $g \in G$ ,  $v \in V = \mathbb{C}^n$ . Note that  $(\rho(g))v$  is the product of a square matrix with a column vector.

In order to prove that this makes  $V$  into a  $\mathbb{C}G$ -module we need to prove:

- (a) For all  $g \in G$ , the map  $v \mapsto gv$  is linear.
- (b) We have  $g(hv) = (gh)v$  for all  $g, h \in G, v \in V$ .
- (c)  $1v = v$  for all  $v \in V$ .

As to (a), this is a well-known property of multiplication of matrices of any sizes:  $(\rho g)(v + bw) = (\rho g)v + b(\rho g)w$ . We prove (b) by

$$g(hv) = (\rho g)((\rho h)v) = ((\rho g)(\rho h))v = (\rho(gh))v = (gh)v.$$

Part (c) is obvious. This proves that  $V$  with the above structure is a  $\mathbb{C}G$ -module.

Define  $A$  to be the standard basis  $(e_1, \dots, e_n)$ . Our definition  $gv = (\rho g)v$  for all  $v \in V$  is equivalent to  $\rho(g) = \langle A, t_g, A \rangle$  as we know from linear algebra. Therefore  $\rho$  is afforded by  $(V, A)$ .  $\square$

**Lemma 74.** *The representations afforded by  $(V, A)$  and  $(V, B)$  are equivalent.*

*Proof.* Let  $\rho, \sigma$  be the representations afforded by  $(V, A)$  and  $(V, B)$ . Put  $T = \langle A, \text{id}_V, B \rangle$ , the matrix of the identity with respect to  $A$  and  $B$ . For all  $g, h \in G$  we have

$$T \sigma(g) T^{-1} = \langle A, \text{id}_V, B \rangle \langle B, t_g, B \rangle \langle B, \text{id}_V, A \rangle = \langle A, t_g, A \rangle = \rho(g)$$

so  $\rho$  and  $\sigma$  are equivalent.  $\square$

**Lemma 75.** *The representations afforded by  $(V, A)$  and  $(W, B)$  are equivalent if and only if  $V \cong W$ .*

*Proof.* Proof of  $\Rightarrow$ . Let  $\rho$  be the representation afforded by  $(V, A)$  and  $\sigma$  by  $(W, B)$ . We know they are equivalent; let  $T \in \text{GL}(n, \mathbb{C})$  be such that  $\sigma(g) = T\rho(g)T^{-1}$  for all  $g \in G$ . There exists a linear map  $f: V \rightarrow W$  such that  $T = \langle B, f, A \rangle$ . Note that  $f$  is bijective because  $T$  is invertible. Then for all  $g \in G$

$$\begin{aligned} \langle B, t_g^W, B \rangle &= \sigma(g) = T\rho(g)T^{-1} \\ &= \langle B, f, A \rangle \langle A, t_g^V, A \rangle \langle A, f^{-1}, B \rangle = \langle B, f t_g^V f^{-1}, B \rangle \end{aligned}$$

that is,  $t_g^W f = f t_g^V$ . Thus  $g(fv) = f(gv)$  for all  $v \in V$ . This shows that  $f$  is a homomorphism of  $\mathbb{C}G$ -modules. It is an isomorphism because  $f$  is bijective.

Proof of  $\Leftarrow$ . This is essentially the proof of  $\Rightarrow$  read backwards; do this yourself. Note also that the case  $V = W$  is just lemma 74.  $\square$

**Exercise (4.2)** Let  $\rho$  be afforded by  $(V, A)$  and  $\sigma$  by  $(W, B)$ . Let  $f: W \rightarrow V$  be a linear map and  $T = \langle A, f, B \rangle$ . Prove that  $f$  is a homomorphism of  $\mathbb{C}G$ -modules if and only if

$$\rho(x) T = T \sigma(x) \quad \text{for all } x \in G. \tag{76}$$

**Definition 77.** Let  $\rho$  and  $\sigma$  be representations of  $G$ . Let  $T$  be a  $p \times q$  matrix where  $p = \text{deg}(\rho)$  and  $q = \text{deg}(\sigma)$ . We call  $T$  an **intertwining matrix** or **intertwiner** or from  $\sigma$  to  $\rho$  if (76) holds.



This section can be summarised as follows: representations of  $G$  and finite-dimensional  $\mathbb{C}G$ -modules are essentially the same thing. There is a bijection between  $\text{Rep}(G)$  and the set of isomorphism classes of finite-dimensional  $\mathbb{C}G$ -modules. The one language is more suited for calculations, the other for abstract mathematics. See (90) for a dictionary between the two languages.

### 4.3 Submodules

**Definition 78.** Let  $G$  be a group. A **submodule** of a  $\mathbb{C}G$ -module  $V$  is a linear subspace  $W \subset V$  such that  $gW \subset W$  for all  $g \in G$ .

*Example 79.* Let  $G = C_\infty = \langle g \mid - \rangle$  act on  $\mathbb{C}^2$  by  $g(x, y) = (x + y, y)$ . We shall prove that  $\mathbb{C} \cdot (1, 0)$  is the only 1-dimensional  $\mathbb{C}G$ -submodule of  $\mathbb{C}^2$ .

We have  $g(1, 0) = (1, 0)$  so that  $\mathbb{C} \cdot (1, 0)$  is a  $\mathbb{C}G$ -submodule of  $\mathbb{C}^2$ .

Suppose there is another 1-dimensional  $\mathbb{C}G$ -submodule. It is necessarily of the form  $\mathbb{C} \cdot (a, 1)$ . But  $g(a, 1) = (a + 1, 1)$  so that  $\mathbb{C} \cdot (a, 1)$  is not a  $\mathbb{C}G$ -submodule. This proves our claim.

**Definition 80.** A  $\mathbb{C}G$ -module is said to be **simple** if it is nonzero and it has no submodules other than 0 and itself.

Every 1-dimensional  $\mathbb{C}G$ -module is simple.

**Internal direct sums.** Let  $V$  be a vector space and  $X, Y \subset V$  linear subspaces. We say that  $V$  is the **(internal) direct sum** of  $X$  and  $Y$ , and write  $V = X \oplus_i Y$ , if the following equivalent properties hold:

- Every element of  $V$  can uniquely be written  $x + y$  with  $x \in X$  and  $y \in Y$ .
- We have  $X \cap Y = 0$  and  $V = X + Y := \{x + y \mid x \in X, y \in Y\}$ .
- There exist a basis  $A$  of  $X$  and a basis  $B$  of  $Y$  such that  $A \cap B = \emptyset$  and such that  $A \cup B$  is a basis of  $V$ .

If  $V$  is finite-dimensional then the following are also equivalent:

- $V = X + Y$  and  $\dim(V) = \dim(X) + \dim(Y)$ .
- $X \cap Y = 0$  and  $\dim(V) = \dim(X) + \dim(Y)$ .

More generally, we write  $V = X_1 \oplus_i \cdots \oplus_i X_n$  and say that  $V$  is a **direct sum** of the subspaces  $X_1, \dots, X_n$  if every element of  $V$  can uniquely be written  $x_1 + \cdots + x_n$  where  $x_i \in X_i$  for all  $i$ .

**External direct sums.** Let two vector spaces  $X, Y$  be given. It may well happen for formal reasons that an internal direct sum of  $X$  and  $Y$  doesn't exist, for example because  $0_X \neq 0_Y$ .

However the Cartesian product  $X \times Y$  is an internal direct sum of  $X \times \{0\}$  and  $\{0\} \times Y$  which are isomorphic to (respectively)  $X$  and  $Y$ . Abusing notation we identify  $X$  with  $X \times \{0\}$  and  $Y$  with  $\{0\} \times Y$  whenever it seems convenient. We call  $X \times Y$  the **(external) direct sum** of  $X$  and  $Y$  and it is written  $X \oplus_e Y$ . Note that it is an internal direct sum as well.

It is common to write  $\oplus$  instead of  $\oplus_i, \oplus_e$ . Wherever you read  $\oplus$  find out if they mean internal or external!

**Theorem 81: Maschke's theorem.** *Let  $G$  be a finite group and  $V$  a  $\mathbb{C}G$ -module of finite dimension. For every submodule  $W \subset V$  there is a submodule  $X \subset V$  such that  $V = W \oplus X$ .*

We will prove Maschke's theorem later on (page 27).

#### 4.4 Inner products

**Definition 82.** Let  $V$  be a vector space over  $\mathbb{C}$ . An **inner product** on  $V$  is a map

$$\begin{aligned} V \times V &\longrightarrow \mathbb{C} \\ (v, w) &\longmapsto \langle v, w \rangle \end{aligned}$$

such that

- (1) Linear in first argument:  $\langle au + bv, w \rangle = a\langle u, w \rangle + b\langle v, w \rangle$  for all  $u, v, w \in V$ ,  $a, b \in \mathbb{C}$ .
- (2) Hermitian:  $\langle v, w \rangle = \overline{\langle w, v \rangle}$  for all  $v, w \in V$ .
- (3) Positive definite:  $\langle v, v \rangle > 0$  if  $v \neq 0$ .

*Remark 83.* (a). Axiom (2) implies that  $\langle v, v \rangle \in \mathbb{R}$  for all  $v \in V$ . This is why axiom (3) makes sense.

(b). Axioms (1) and (2) imply that  $\langle w, au + bv \rangle = \bar{a}\langle w, u \rangle + \bar{b}\langle w, v \rangle$  for all  $u, v, w \in V$ ,  $a, b \in \mathbb{C}$ .

Every finite-dimensional vector space can be equipped with an inner product as follows. Let  $(v_1, \dots, v_n)$  be a basis and put

$$\langle \sum a_i v_i, \sum b_i v_i \rangle = \sum a_i \bar{b}_i.$$

It can be shown that every inner product on a finite-dimensional vector space is of this form; we won't need or prove this.

**Definition 84.** Let  $\langle \cdot, \cdot \rangle$  be an inner product on a vector space  $V$ . Let  $W$  be a subspace of  $V$ . The **orthogonal complement** of  $W$  is

$$W^\perp := \{v \in V \mid \langle v, w \rangle = 0 \text{ for all } w \in W\}.$$

**Lemma 85.** *Let  $\langle \cdot, \cdot \rangle$  be an inner product on a finite-dimensional vector space  $V$  and let  $W$  be a subspace of  $V$ . Then  $V = W \oplus W^\perp$ .*

*Proof.* Firstly,  $W \cap W^\perp = 0$  because if  $v \in W \cap W^\perp$  then  $\langle v, v \rangle = 0$  whence  $v = 0$ . It remains to prove  $\dim W + \dim W^\perp \geq \dim V$ .

Let  $(w_1, \dots, w_k)$  be a basis for  $W$  and define a linear map  $L: V \rightarrow \mathbb{C}^k$  by

$$L(v) = (\langle v, w_1 \rangle, \dots, \langle v, w_k \rangle).$$

Then  $\ker L = W^\perp$  so

$$\begin{aligned} \dim W + \dim W^\perp &= k + \dim W^\perp \geq \dim \operatorname{im} L + \dim W^\perp \\ &= \dim \operatorname{im} L + \dim \ker L = \dim V. \end{aligned} \quad \square$$

**Definition 86.** Let  $V$  be a  $\mathbb{C}G$ -module. An inner product  $\langle \cdot, \cdot \rangle$  is said to be **G-invariant** if  $\langle gv, gw \rangle = \langle v, w \rangle$  for all  $g \in G$ ,  $v, w \in V$ .

**Proposition 87.** *Let  $G$  be a finite group and  $V$  a finite-dimensional  $\mathbb{C}G$ -module. Then there exists a  $G$ -invariant inner product on  $V$ .*

*Proof.* We know that an inner product  $\langle \cdot, \cdot \rangle_0$  on  $V$  exists. Define

$$\langle v, w \rangle = \sum_{h \in G} \langle hu, hv \rangle_0.$$

Prove yourself that  $\langle \cdot, \cdot \rangle$  is also an inner product on  $V$ . To finish we shall prove that it is  $G$ -invariant. Let  $g \in G$  and  $v, w \in V$ . Then

$$\langle gv, gw \rangle = \sum_{h \in G} \langle hgv, hgw \rangle_0 \stackrel{*}{=} \sum_{h \in G} \langle hv, hw \rangle_0 = \langle v, w \rangle$$

where the starred equality follows from the bijection  $G \rightarrow G: H \mapsto hg$ . □

In the above proof we see two arguments that we shall often meet again: a sum over a finite group  $G$ ; and a change of index in such a sum according to a permutation of  $G$  such as  $h \mapsto hg$ .

**Proof of Maschke's theorem (theorem 81).** Let  $G$  be a finite group. Let  $V$  be a  $\mathbb{C}G$ -module and  $W \subset V$  a submodule. We must show that  $V = W \oplus X$  for some submodule  $X$ .

By proposition 87 there exists a  $G$ -invariant inner product  $\langle \cdot, \cdot \rangle$  on  $V$ . Put  $X = W^\perp$ . By lemma 85  $V = W \oplus X$ . We will be done if we prove that  $X$  is a submodule of  $V$ . It is clearly a linear subspace.

Let  $x \in X$ ,  $w \in W$  and  $g \in G$ . Then  $g^{-1}w \in W$  because  $W$  is a submodule and so

$$\begin{aligned} \langle w, gx \rangle &= \langle g^{-1}w, x \rangle && \text{because } \langle \cdot, \cdot \rangle \text{ is } G\text{-invariant} \\ &= 0 && \text{because } g^{-1}w \in W \text{ and } x \in X = W^\perp. \end{aligned}$$

This holds for all  $w \in W$  so  $gx \in W^\perp = X$ . This is true for all  $g \in G$ ,  $x \in X$  so  $X$  is a submodule as promised. □

See exercise 4.22 for a different proof of Maschke's theorem.

**Corollary 88.** *Let  $G$  be a finite group. Then every finite-dimensional  $\mathbb{C}G$ -module is a direct sum of simple submodules.*

*Proof.* Let  $V$  be a  $\mathbb{C}G$ -module of dimension  $n$ . We argue by induction on  $n$ . If  $n \leq 1$  then the result is clear. Let  $n > 1$ .

If  $V$  is simple, there is nothing to prove, so assume otherwise; let  $W \subset V$  be a submodule different from 0 and  $V$ . By theorem 81 (Maschke) there exists a submodule  $X \subset V$  such that  $V = W \oplus X$ .

Now  $W$  and  $X$  have smaller dimensions than  $V$ . By the induction hypothesis we can write

$$W = W_1 \oplus \cdots \oplus W_k, \quad X = X_1 \oplus \cdots \oplus X_\ell$$

for some submodules  $W_i$  and  $X_j$ . It follows that

$$V = W \oplus X = W_1 \oplus \cdots \oplus W_k \oplus X_1 \oplus \cdots \oplus X_\ell. \quad \square$$

**Definition 89.** Let  $\rho, \sigma$  be representations of a group  $G$  and write  $k = \deg \rho$ ,  $\ell = \deg \sigma$ . The **diagonal sum**  $\rho \oplus \sigma$  is the  $(k + \ell)$ -dimensional representation of  $G$

defined by the block matrices

$$(\rho \oplus \sigma)(g) = \begin{pmatrix} \rho(g) & 0 \\ 0 & \sigma(g) \end{pmatrix}.$$

A representation is said to be **irreducible** if it is not equivalent to a diagonal sum of two representations of positive dimension.  $\square$

Note that if  $(V, (a_1, \dots, a_k))$  affords  $\rho$  and  $(W, (a_{k+1}, \dots, a_\ell))$  affords  $\sigma$  then  $(V \oplus W, (a_1, \dots, a_\ell))$  affords  $\rho \oplus \sigma$ .

We can now give the dictionary between the languages of  $\mathbb{C}G$ -modules and representations:

$\mathbb{C}G$ -module	representation	
$\mathbb{C}G$ -homomorphism	intertwiner	
simple	irreducible	(90)
direct sum	diagonal sum	

### 4.5 Exercises

(4.3) Let  $V, W$  be  $\mathbb{C}G$ -modules. Let  $L$  be the set of linear maps  $V \rightarrow W$ ; it is a vector space by putting  $(af + bg)(x) = a \cdot f(x) + b \cdot g(x)$  ( $a, b \in \mathbb{C}, f, g \in L, x \in V$ ). Let  $H$  be the set of homomorphisms  $V \rightarrow W$  of  $\mathbb{C}G$ -homomorphisms. Prove that  $H$  is a linear subspace of  $L$ .

(4.4) Let  $V$  and  $W$  be  $\mathbb{C}G$ -modules. Prove that  $V \times W$  equipped with the map  $G \times (V \times W) \rightarrow (V \times W): (g, (v, w)) \mapsto (gv, gw)$  is also a  $\mathbb{C}G$ -module.

(4.5) Let  $V$  be a  $\mathbb{C}G$ -module and  $W$  a  $\mathbb{C}H$ -module. Prove that  $V \times W$  equipped with the map  $(G \times H) \times (V \times W): ((g, h), (v, w)) \mapsto (gv, hw)$  is a  $\mathbb{C}(G \times H)$ -module.

(4.6) Let  $V$  be a  $\mathbb{C}G$ -module. Let  $W = \text{End}(V)$  be the set of linear maps  $V \rightarrow V$  with the obvious structure of vector space. Prove that  $W$  equipped with the map  $G \times W \rightarrow W: (g, f) \mapsto g \circ f \circ g^{-1}$  is also a  $\mathbb{C}G$ -module.

(4.7) Let  $U, V, W$  be  $\mathbb{C}G$ -modules. Let  $p: U \rightarrow V$  and  $q: V \rightarrow W$  be homomorphisms of  $\mathbb{C}G$ -modules. Prove that  $q \circ p: U \rightarrow W$  is also a homomorphism.

(4.8) Write  $C_\infty = \langle x \mid - \rangle$  and define  $\rho: C_\infty \rightarrow \text{GL}(3, \mathbb{C})$  by

$$\rho(x) = \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

Find all submodules of  $\rho$  or, more precisely, of a  $\mathbb{C}G$ -module  $V$  where  $\rho$  is afforded by  $(V, A)$ .

(4.9) Let  $V$  be a  $\mathbb{C}G$ -module. Prove that if  $A, B$  are submodules of  $V$  then so are  $A + B$  and  $A \cap B$ .

(4.10) Let  $G \times A \rightarrow A: (g, a) \mapsto g(a)$  be an action of a group  $G$  on a set  $A$ . Let  $\mathbb{C}^A$  be the set of functions  $A \rightarrow \mathbb{C}$ . It is a vector space with pointwise vector space operations.

- (a) Prove that  $\mathbb{C}^A$  becomes a  $\mathbb{C}G$ -module by putting  $(gf)a = f(g^{-1}a)$  for all  $f \in \mathbb{C}^A, g \in G, a \in A$ .
- (b) If  $2 \leq \#A < \infty$ , prove that  $\mathbb{C}^A$  is not simple as  $\mathbb{C}G$ -module.
- (c) If  $A$  is infinite, prove again that  $\mathbb{C}^A$  is not simple.

(4.11) Prove that  $\mathbb{C}^2$  is simple as  $\mathbb{C}G$ -module where  $G = \text{GL}(2, \mathbb{C})$ .

(4.12) Let  $V$  be a complex vector space. True or false?

- (a) If  $\langle \cdot, \cdot \rangle$  is an inner product on  $V$  then so is  $(v, w) \mapsto \langle iv, iw \rangle$ .
- (b) If  $\langle \cdot, \cdot \rangle$  is an inner product on  $V$  then so is  $(v, w) \mapsto \langle v, -w \rangle$ .
- (c) If  $\langle \cdot, \cdot \rangle$  is an inner product on  $V$  then so is  $(v, w) \mapsto \overline{\langle v, w \rangle}$ .
- (d) If  $\langle \cdot, \cdot \rangle_1, \langle \cdot, \cdot \rangle_2$  are inner products on  $V$  then so is  $(v, w) \mapsto \langle v, w \rangle_1 + \langle v, w \rangle_2$ .
- (e) If  $\langle \cdot, \cdot \rangle_1, \langle \cdot, \cdot \rangle_2$  are inner products on  $V$  then so is  $(v, w) \mapsto \langle v, w \rangle_1 \langle v, w \rangle_2$ .

(4.13) For all  $i \in \{1, 2\}$ , let  $\langle \cdot, \cdot \rangle_i$  be an inner product on a complex vector space  $V_i$ . True or false?

- (a) Then  $((u, v), (w, x)) \mapsto \langle u, v \rangle_1 + \langle w, x \rangle_2$  is an inner product on  $V_1 \times V_2$ .
- (b) Then  $((u, v), (w, x)) \mapsto \langle u, w \rangle_1 + \langle v, 3x \rangle_2$  is an inner product on  $V_1 \times V_2$ .
- (c) Then  $((u, v), (w, x)) \mapsto \langle u, w \rangle_1$  is an inner product on  $V_1 \times V_2$ .

(4.14) Let  $\langle \cdot, \cdot \rangle$  be an inner product on a complex vector space  $V$ . Let  $W \subset V$  be a subspace. Prove that the orthogonal complement  $W^\perp$  is also a subspace of  $V$ .

(4.15) Prove  $\Leftarrow$  in lemma 75: if  $V \cong W$  then the representations afforded by  $(V, A)$  and  $(W, B)$  are equivalent.

(4.16) Let  $G$  be a finite group and  $V$  a nonzero finite-dimensional  $\mathbb{C}G$ -module. We know that  $V$  is a direct sum of simple submodules. In this exercise, we find all such decompositions.

- (a) Prove that the following are equivalent: (1) Any two simple submodules of  $V$  are isomorphic; (2) There exists a simple  $\mathbb{C}G$ -module  $U$  and an integer  $k \geq 0$  such that  $V$  is isomorphic to  $kU := U \times \cdots \times U$  ( $k$  factors).

If these hold then  $V$  is called **isotypical** of  $k$  factors.

Prove also that  $U$  (up to isomorphism) and  $k$  depend only on  $V$ .

- (b) Suppose that  $V$  is isotypical of  $k$  factors. Let  $\text{End}(V)$  be the set of homomorphisms  $V \rightarrow V$  of  $\mathbb{C}G$ -modules. Prove that if  $e, f \in \text{End}(V)$  and  $a, b \in \mathbb{C}$  then  $ae + bf: u \mapsto a \cdot e(u) + b \cdot f(u)$  and  $ef: u \mapsto e(f(u))$  are also in  $\text{End}(V)$ . Prove that this makes  $\text{End}(V)$  into a ring isomorphic to  $M_k(\mathbb{C})$ .

- (c) Prove that there are nonzero isotypical submodules  $U_1, \dots, U_k \subset V$  such that  $V = U_1 \oplus \cdots \oplus U_k$  and  $U_i \oplus U_j$  is not isotypical if  $i \neq j$ . Moreover, the  $U_i$  are unique up to permutation.

The  $U_i$  are called the **isotypical components** of  $V$ .

(4.17) Let  $G$  be a group, not necessarily finite. Let  $V$  be a  $\mathbb{C}G$ -module with the property that for every submodule  $X \subset V$  there exists a submodule  $Y \subset V$  such that  $V = X \oplus Y$ .

- (a) If  $V$  is finite-dimensional, prove that  $V$  is semi-simple (that is, a direct sum of simple modules).
- (b) Give an example showing that this fails if  $V$  is not finite-dimensional.

(4.18) Show that Maschke's theorem for finite-dimensional  $\mathbb{C}G$ -modules is false if the group  $G$  is not assumed to be finite.

(4.19) Find an example of a group  $G$ , a generating set  $A$  of  $G$ , and two inequivalent representations  $\rho, \sigma: G \rightarrow \mathrm{GL}(n, \mathbb{C})$  such that for all  $a \in A$  there exists  $T_a \in \mathrm{GL}(n, \mathbb{C})$  with  $\rho(a) T_a = T_a \sigma(a)$ .

(4.20) Consider the permutation representation  $\rho$  of  $S_3$  which we shall write as follows:

$$\rho((12)) = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \rho((23)) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}.$$

Find all intertwiners from  $\rho$  to itself in explicit form.

(4.21) Let  $(v_1, \dots, v_n)$  be a basis of a complex vector space  $V$  with  $n \geq 1$ . For  $s \in S_n$  (the symmetric group) and  $a_1, \dots, a_n \in \mathbb{C}$  we define

$$s \left( \sum_{i=1}^n a_i v_i \right) := \sum_{i=1}^n a_i v_{s(i)}.$$

- (a) Prove that this makes  $V$  into a  $\mathbb{C}S_n$ -module. It is called the **permutation module**.
- (b) Let  $X = \{ \sum_{i=1}^n a_i v_i \in V \mid \sum_{i=1}^n a_i = 0 \}$ . Prove that  $X$  is a submodule of  $V$ .
- (c) In order to prove that  $X$  is simple, assume from now on that  $Y$  is a nonzero submodule of  $X$ . Prove that there exists  $y \in Y$  of the form

$$y = \sum a_i v_i, \quad a_n = 1.$$

- (d) Put  $w = v_1 + \dots + v_n$  and  $S_{n-1} = \{ g \in S_n \mid g(n) = n \}$ . Define

$$z = \frac{1}{(n-2)!} \sum_{g \in S_{n-1}} g y.$$

Prove  $gz = z$  for all  $g \in S_{n-1}$ . Prove  $z = n v_n - w$ .

- (e) Prove  $n v_i - w \in Y$  for all  $i$ .
- (f) Prove  $v_n - v_i \in Y$  for all  $i$ . Prove  $Y = X$ , that is,  $X$  is simple.
- (g) Find an explicit submodule  $W$  of  $V$  such that  $V = W \oplus X$ . Prove that  $W$  is simple.

(4.22) An alternative proof of Maschke's theorem. Let  $G$  be a finite group and  $U$  a  $\mathbb{C}G$ -module. Let  $V \subset U$  be a submodule and  $p: U \rightarrow V$  any linear map such that  $p(v) = v$  for all  $v \in V$ .

- (a) (Not for credit). Why does such a  $p$  exist? Show by an example that  $p$  is not necessarily a homomorphism of  $\mathbb{C}G$ -modules (if  $p$  is chosen as above).
- (b) Define  $q: U \rightarrow V$  by

$$q(v) = \frac{1}{\#G} \sum_{g \in G} g^{-1} p g(v).$$

Prove that  $q: U \rightarrow V$  is a homomorphism of  $\mathbb{C}G$ -modules.

- (c) Prove that  $q(v) = v$  for all  $v \in V$ .
- (d) Deduce that there exists a submodule  $W \subset U$  such that  $U = V \oplus W$ .
- (e) (Not for credit). The present proof has many advantages over the proof from the lectures. List as many as you can think of.

## 5 Characters

### 5.1 Characters

**Reminder on the trace.** The **trace** of a square matrix  $A = (a_{ij})_{ij} \in M_n(\mathbb{C})$  is defined to be  $\text{tr}(A) = a_{11} + \cdots + a_{nn}$ , the sum of the elements on the diagonal. It is not hard to show that

$$\text{tr}(AB) = \text{tr}(BA), \quad \text{tr}(TAT^{-1}) = \text{tr}(A) \quad (91)$$

for all  $A, B \in M(n, \mathbb{C})$ ,  $T \in \text{GL}(n, \mathbb{C})$ .

If  $V$  is a finite-dimensional vector space with basis  $C$  and  $f: V \rightarrow V$  is a linear map, we define  $\text{tr}(f)$ , the **trace** of  $f$ , to be the trace of  $\langle C, f, C \rangle$ , the matrix of  $f$  with respect to  $C$ . This doesn't depend on  $C$  by (91) and the fact from linear algebra that if  $D$  is another basis then

$$\langle D, f, D \rangle = \langle D, 1, C \rangle \langle C, f, C \rangle \langle D, 1, C \rangle^{-1}.$$

**Definition 92: Characters.** Let  $G$  be a group.

- (a) Let  $\rho$  be a representation of  $G$ . Its **character**  $\chi_\rho: G \rightarrow \mathbb{C}$  ( $\chi$  is the Greek letter chi) is defined by  $\chi_\rho(g) = \text{tr} \rho(g)$  for all  $g \in G$ .
- (b) A **character of  $G$**  is by definition a character of some representation of  $G$ .
- (c) Let  $V$  be a finite-dimensional  $\mathbb{C}G$ -module. Its **character**  $\chi_V: G \rightarrow \mathbb{C}$  is defined by  $\chi_V(g) = \text{tr}(t_g^V)$  for all  $g \in G$ .

Note that if  $\rho$  is afforded by  $(V, A)$  then  $\chi_V = \chi_\rho$ .

**Proposition 93.** Let  $\rho, \sigma$  be representations of a group  $G$ . If  $\rho \sim \sigma$  then  $\chi_\rho = \chi_\sigma$ .

*Proof.* Write  $n = \text{deg } \rho$ . The assumption  $\rho \sim \sigma$  means that there exists  $T \in \text{GL}(n, \mathbb{C})$  such that  $\sigma(g) = T \rho(g) T^{-1}$  for all  $g \in G$ . It follows that

$$\chi_\sigma(g) = \text{tr} \sigma(g) = \text{tr}(T \rho(g) T^{-1}) = \text{tr} \rho(g) = \chi_\rho(g)$$

for all  $g \in G$  as required.  $\square$

The converse of proposition 93 is also true: if  $\chi_\rho = \chi_\sigma$  then  $\rho \sim \sigma$ . This is much harder and will be proved in theorem 111.

Recall the diagonal sum  $\rho \oplus \sigma$  of two representations  $\rho, \sigma$  of  $G$ . It is clear that

$$\chi_{\rho \oplus \sigma} = \chi_\rho + \chi_\sigma.$$

Therefore, the sum of two characters of  $G$  is again a character.

*Remark 94.* The following is beyond our scope (exercise 7.13 and chapter 10). For any two representations  $\rho, \sigma$  of a group  $G$  there is another written  $\rho \otimes \sigma$  and known as the **tensor product** or **Kronecker product** of  $\rho$  and  $\sigma$ . It has the property that  $\chi_{\rho \otimes \sigma}(g) = \chi_\rho(g) \chi_\sigma(g)$  for all  $g \in G$ .  $\square$

**Proposition 95.** Let  $\chi: G \rightarrow \mathbb{C}$  be a character. Then  $\chi(gxg^{-1}) = \chi(x)$  for all  $g, x \in G$ .

*Proof.* By definition there exists a representation  $\rho$  such that  $\chi = \chi_\rho$ . Then

$$\begin{aligned} \chi(gxg^{-1}) &= \text{tr } \rho(gxg^{-1}) && \text{by definition of } \chi_\rho \\ &= \text{tr}(\rho(g) \rho(x) \rho(g)^{-1}) && \text{because } \rho \text{ is a representation} \\ &= \text{tr } \rho(x) && \text{by (91)} \\ &= \chi(x) && \text{by definition of } \chi_\rho. \quad \square \end{aligned}$$

**Reminder on conjugacy classes.** Two elements  $x, y$  of a group  $G$  are said to be **conjugate** in  $G$  if there exists  $g \in G$  such that  $x = gyg^{-1}$ .

Being conjugate is an equivalence relation, or more precisely, the binary relation  $\{(x, gxg^{-1}) \mid x, g \in G\}$  is an equivalence relation. The corresponding equivalence classes are called **conjugacy classes**. Let  $K(G)$  denote the set of conjugacy classes of a group  $G$  and  $k(G) = \#K(G)$ .

For  $g, x \in G$  we write

$$x^g := g^{-1}xg, \quad x^G = \{g^{-1}xg \mid g \in G\}.$$

Note that  $x^G$  is just the conjugacy class of  $x$ .

**Exercise (5.1)** Let  $g, h, x, y$  be elements of a group  $G$ .

- (a) Then  $(xy)^g = x^g y^g$ . Equivalently, the map  $G \rightarrow G: a \mapsto a^g$  is a homomorphism.
- (b) Also  $(x^g)^h = x^{gh}$ . Why would this be false if  $x^g$  were defined to be  $gxg^{-1}$  instead?

**Exercise (5.2)** Let  $G$  be a group and  $m \in \mathbb{Z}$ .

- (a) Prove that  $(x^g)^m = (x^m)^g$  for all  $g, x \in G$ .
- (b) Let  $C$  be a conjugacy class of  $G$  and write  $C^m = \{x^m \mid x \in C\}$ . Prove that  $C^m$  is also a conjugacy class of  $G$ .

The **centre** of a group  $G$  is

$$Z(G) = \{g \in G \mid ga = ag \text{ for all } a \in G\}$$

and its elements are said to be **central** in  $G$ . In words: an element of a group  $G$  is central if and only if it commutes with all other elements of  $G$ . Note that if  $a \in G$  then  $(a^G = \{a\}) \Leftrightarrow a$  is central in  $G$ .

*Example 96.* Recall the presentation  $\langle r, s \mid r^n, s^2, (rs)^2 \rangle$  of the dihedral group  $D_{2n}$ . Prove that if  $n$  is odd then the conjugacy classes of  $D_{2n}$  are

$$\{1\}, \quad \{r^m, r^{-m}\} \text{ for } m \in \{1, \dots, \frac{n-1}{2}\}, \quad \{r^k s \mid k \in \mathbb{Z}\}.$$

*Solution.* Firstly  $\{1\}$  is a conjugacy class in any group.

Next  $r$  is conjugate to  $r^{-1}$  because  $r^s = r^{-1}$ . In order to prove that  $C := \{r, r^{-1}\}$  is a conjugacy class, it is enough to prove that  $x^r, x^s \in C$  for all  $x \in C$  (because  $\{r, s\}$  generate  $D_{2n}$ ). This is indeed true:

$$r^r = r, \quad r^s = r^{-1}, \quad (r^{-1})^r = r^{-1}, \quad (r^{-1})^s = r.$$

This proves that  $\{r, r^{-1}\}$  is a conjugacy class. By exercise (5.2b) it follows that  $\{r^m, r^{-m}\}$  is a conjugacy class for all  $m \in \mathbb{Z}$ .



It remains to prove that  $\{r^k s \mid k \in \mathbb{Z}\}$  is a conjugacy class. For all  $k \in \mathbb{Z}$  we have

$$(r^k s)^r = r^{-1} r^k s r = r^{-1} r^k r^{-1} s = r^{k-2} s.$$

It follows that  $r^k s$  is conjugate to  $r^{k-2m} s$  for all  $m \in \mathbb{Z}$ . Using that  $r^n = 1$  and  $n$  is odd, it follows that  $r^k s$  is conjugate to  $r^\ell s$  for all  $k, \ell \in \mathbb{Z}$  and the proof is finished.

□

*Example 97.* As an example we calculate the character of a certain representation of  $D_6$ . Prove yourself that there exists a unique representation  $\rho: D_6 \rightarrow \text{GL}(2, \mathbb{C})$  such that  $\rho(s) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\rho(r) = \begin{pmatrix} 0 & -1 \\ 1 & -1 \end{pmatrix}$ . We aim to calculate the character of  $\rho$ .

As every character, it is constant on conjugacy classes by proposition 95. We found the conjugacy classes of  $D_6$  in example 96: they are  $\{1\}$ ,  $\{s, rs, r^2s\}$  and  $\{r, r^2\}$ . So it is enough to calculate the values of  $\chi_\rho$  at  $1, s, r$  (one element from each conjugacy class). The character of  $\rho$  is now immediate from the definition of  $\rho$ :

$g$		1	s	r
$\chi_\rho(g)$		2	0	-1

**Definition 98.** For a group  $G$ , the set of functions  $G \rightarrow \mathbb{C}$  is written  $\mathbb{C}(G)$ . We make it into a vector space by the pointwise operations

$$(ap + bq)(x) = ap(x) + bq(x)$$

for all  $a, b \in \mathbb{C}$ ,  $p, q \in \mathbb{C}(G)$ ,  $x \in G$ . For  $p, q \in \mathbb{C}(G)$  we define

$$(p, q)_G = \frac{1}{\#G} \sum_{x \in G} p(x) \overline{q(x)}.$$

**Lemma 99.** The map  $(\cdot, \cdot)_G$  is an inner product on  $\mathbb{C}(G)$ .

*Proof.* For all  $a, b \in \mathbb{C}$ ,  $p, q, r \in \mathbb{C}(G)$  we have

$$\begin{aligned} \#G \cdot (ap + bq, r)_G &= \sum_{x \in G} (ap + bq)(x) \overline{r(x)} \\ &= \sum_{x \in G} (ap(x) + bq(x)) \overline{r(x)} \\ &= a \sum_{x \in G} p(x) \overline{r(x)} + b \sum_{x \in G} q(x) \overline{r(x)} = \#G(a(p, r)_G + b(q, r)_G) \end{aligned}$$

which proves that  $(\cdot, \cdot)_G$  is linear in the first argument. For all  $p, q \in \mathbb{C}(G)$  we have

$$\overline{(p, q)_G} = \frac{1}{\#G} \sum_{x \in G} \overline{p(x) \overline{q(x)}} = \frac{1}{\#G} \sum_{x \in G} \overline{p(x)} q(x) = (q, p)_G$$

which proves it's Hermitian. Finally if  $p \in \mathbb{C}(G)$  is nonzero, say  $p(y) \neq 0$  ( $y \in G$ ), then

$$(p, p)_G = \frac{1}{\#G} \sum_{x \in G} p(x) \overline{p(x)} \geq \frac{1}{\#G} p(y) \overline{p(y)} > 0$$

which proves that  $(\cdot, \cdot)_G$  is positive definite. □

**Definition 100.** Let  $G$  be a group. A function  $f \in \mathbb{C}(G)$  is called a **class function** if  $f(gxg^{-1}) = f(x)$  for all  $g, x \in G$ . In words:  $f$  is constant on conjugacy classes. We write  $\text{CF}(G)$  for the set of class functions on  $G$ .

So proposition 95 says that every character is a class function.

**Exercise (5.3)** Prove that the set  $\text{CF}(G)$  is a linear subspace of  $\mathbb{C}(G)$  and its dimension is  $k(G)$  (the number of conjugacy classes of  $G$ ).

**Lemma 101.** Let  $\rho$  be a representation of a finite group  $G$  and let  $g \in G$ .

- (a)  $\chi_\rho(1) = \deg \rho$ .
- (b)  $\chi_\rho(g)$  is a sum of roots of unity.
- (c)  $\chi_\rho(g^{-1}) = \overline{\chi_\rho(g)}$ .

*Proof.* Proof of (a). Write  $n = \deg \rho$  and let  $I_n$  denote the identity matrix in  $\text{GL}(n, \mathbb{C})$ . Then  $\chi_\rho(1) = \text{tr } \rho(1) = \text{tr } I_n = n = \deg \rho$ .

Before we prove (b) and (c) some observations are in order. Let  $g \in G$ . Let  $\omega_1, \dots, \omega_n$  be the eigenvalues of  $\rho(g)$ .

Note that  $g$  is of finite order, because  $G$  is finite. Say  $g^r = 1$  with  $r > 0$ . Then  $\rho(g)^r = 1$ . By lemma 25  $\rho(g)$  is conjugate to a diagonal matrix  $B$ . The diagonal entries of  $B$  are  $\omega_1, \dots, \omega_n$  though not necessarily in this order.

Note  $B^r = 1$  and therefore  $\omega_i^r = 1$  for all  $i$ .

Proof of (b). We find  $\chi_\rho(g) = \text{tr } \rho(g) = \omega_1 + \dots + \omega_n$  which is a sum of roots of unity.

Proof of (c). Note that  $\rho(g^{-1})$  is conjugate to  $B^{-1}$  which is a diagonal matrix whose diagonal entries are  $\omega_1^{-1}, \dots, \omega_n^{-1}$  in some order.

Also  $\omega_i^{-1} = \overline{\omega_i}$  because  $\omega_i$  is a root of unity. Therefore

$$\begin{aligned} \chi_\rho(g^{-1}) &= \text{tr } \rho(g^{-1}) = \text{tr } B^{-1} = \omega_1^{-1} + \dots + \omega_n^{-1} \\ &= \overline{\omega_1} + \dots + \overline{\omega_n} = \overline{\omega_1 + \dots + \omega_n} = \overline{\chi_\rho(g)}. \quad \square \end{aligned}$$

The **degree** of a character  $\chi$  is  $\deg \chi := \chi(1)$ . Note that the degree of  $\chi_\rho$  is just the degree of  $\rho$  by lemma 101(a).

A character is said to be **irreducible** if it is of the form  $\chi_\rho$  for some irreducible representation  $\rho$ . Let  $I(G)$  denote the set of irreducible characters of  $G$ .

## 5.2 Schur's lemma and orthogonality

**Exercise (5.4)** Let  $L: V \rightarrow W$  be a homomorphism of  $\mathbb{C}G$ -modules. Prove that  $\ker L$  is a submodule of  $V$  and  $\text{im } L$  is a submodule of  $W$ .

Recall that a  $\mathbb{C}G$ -module is said to be simple if it has no other submodules than 0 and itself.

**Theorem 102: Schur's lemma.** Let  $G$  be a group and let  $V, W$  be simple  $\mathbb{C}G$ -modules.

- (a) Every  $\mathbb{C}G$ -homomorphism  $L: V \rightarrow W$  is 0 or an isomorphism.
- (b) Every  $\mathbb{C}G$ -homomorphism  $L: V \rightarrow V$  is scalar multiplication by some complex number.

*Proof.* Proof of (a). Suppose  $L \neq 0$ . Then  $\ker(L) \neq V$ . By exercise 5.4,  $\ker(L)$  is a submodule of  $V$ . But  $V$  is simple so  $\ker(L) = 0$ , that is,  $L$  is injective.

Likewise,  $\text{im}(L)$  is a nonzero submodule of  $W$ . But  $W$  is simple so  $\text{im}(L) = W$ , that is,  $L$  is surjective. We have proved that  $L$  is bijective if it is nonzero, as required.

Proof of (b). Note that  $V \neq 0$  because  $V$  is simple. Let  $v$  be an eigenvector of  $L$  with eigenvalue  $\lambda$ . Define  $M: V \rightarrow V$  by  $M(x) = L(x) - \lambda x$ . Prove yourself

that  $M$  is again a  $\mathbb{C}G$ -homomorphism. Also  $M(v) = 0$  so  $M$  is a non-injective  $\mathbb{C}G$ -homomorphism. By part (a) we must have  $M = 0$ , that is,  $L(x) = \lambda x$  for all  $x \in V$ .  $\square$

The translation of Schur's lemma (theorem 102) in terms of representations looks as follows. Let  $\rho, \sigma$  be irreducible representations of a group  $G$ . Then every intertwining matrix  $T: \rho \rightarrow \sigma$  is zero or invertible. Every intertwiner  $T: \rho \rightarrow \rho$  is a scalar matrix.

**Lemma 103.** *Let  $\rho, \sigma$  be representations of a group  $G$  of degrees  $n, m$ , respectively. Let  $A \in M_{m \times n}(\mathbb{C})$  and*

$$T = \sum_{h \in G} \sigma(h^{-1}) \cdot A \cdot \rho(h).$$

*Then  $T$  is an intertwining matrix  $\rho \rightarrow \sigma$ .*

*Proof.* We must prove  $\sigma(x) T = T \rho(x)$  for all  $x \in G$ . We have

$$\begin{aligned} T \rho(x) &= \left( \sum_{h \in G} \sigma(h^{-1}) A \rho(h) \right) \rho(x) = \sum_{h \in G} \sigma(h^{-1}) A \rho(hx) \\ &\stackrel{*}{=} \sum_{g \in G} \sigma(xg^{-1}) A \rho(g) = \sigma(x) \left( \sum_{g \in G} \sigma(g^{-1}) A \rho(g) \right) = \sigma(x) T \end{aligned}$$

where the starred equality is because of the bijection  $G \rightarrow G: h \mapsto hx$ .  $\square$

**Exercise (5.5)** State the above lemma in terms of modules.

If  $A$  is a matrix, let  $A_{ij}$  denote its entry in position  $(i, j)$ . If  $A, B$  are matrices such that the product  $AB$  is defined (that is, the number of rows in  $A$  is the number of columns in  $B$ ) then

$$(AB)_{ij} = \sum_s A_{is} B_{sj}.$$

Here  $s$  ranges over  $\{1, \dots, n\}$  where  $n$  is the number of rows of  $A$ . Let's not bother specifying such ranges any longer. Likewise, if  $A, B, C$  are matrices such that the product  $ABC$  is defined then

$$(ABC)_{ij} = \sum_{s,t} A_{is} B_{st} C_{tj}. \tag{104}$$

**Theorem 105: Orthogonality of characters.** *Let  $\rho, \sigma$  be irreducible representations of a finite group  $G$ .*

- (a) *If  $\rho \not\sim \sigma$  then  $(\chi_\rho, \chi_\sigma)_G = 0$ .*
- (b) *Also  $(\chi_\rho, \chi_\rho)_G = 1$ .*

*Proof.* Let  $E(i, j)$  denote the  $(\deg \sigma) \times (\deg \rho)$  matrix with 1 in position  $(i, j)$  and zeroes elsewhere. If  $A$  and  $B$  are matrices and  $A E(i, j) B$  is defined then

$$(A \cdot E(i, j) \cdot B)_{ij} = A_{ii} B_{jj} \tag{106}$$

because  $(A E(i, j) B)_{ij} = \sum_{s,t} A_{is} E(i, j)_{st} B_{tj}$  by (104) all of whose terms are 0 except the term with  $(s, t) = (i, j)$  which is  $A_{ii} B_{jj}$ .

Put

$$T(i, j) := \sum_{g \in G} \sigma(g^{-1}) \cdot E(i, j) \cdot \rho(g).$$

Then  $T(i, j)$  is an intertwiner  $\rho \rightarrow \sigma$  by lemma 103. Moreover, using (106)

$$\begin{aligned} \sum_{i,j} T(i, j)_{ij} &= \sum_{i,j} \sum_{g \in G} [\sigma(g^{-1}) \cdot E(i, j) \cdot \rho(g)]_{ij} = \sum_{i,j} \sum_{g \in G} \sigma(g^{-1})_{ii} \cdot \rho(g)_{jj} \\ &= \sum_{g \in G} \text{tr} \sigma(g^{-1}) \cdot \text{tr} \rho(g) = \sum_{g \in G} \chi_\sigma(g^{-1}) \chi_\rho(g) = \#G \cdot (\chi_\rho, \chi_\sigma)_G. \end{aligned} \quad (107)$$

Proof of (a). Suppose  $\rho \not\sim \sigma$ . Then  $T(i, j) = 0$  by Schur's lemma and the result follows by (107).

Proof of (b). Put  $\rho = \sigma$  in the foregoing. By Schur's lemma there exists  $\lambda_{ij} \in \mathbb{C}$  such that  $T(i, j) = \lambda_{ij} I_n$  where  $n = \text{deg } \rho = \chi_\rho(1)$  and  $I_n$  is the  $n \times n$  identity matrix. By (107)

$$\begin{aligned} n \cdot \#G \cdot (\chi_\rho, \chi_\rho)_G &= n \sum_{i,j} T(i, j)_{ij} = n \sum_i \lambda_{ii} = \text{tr} \sum_i \lambda_{ii} I_n = \text{tr} \sum_i T(i, i) \\ &= \text{tr} \sum_i \sum_{g \in G} \rho(g^{-1}) E(i, i) \rho(g) = \text{tr} \sum_{g \in G} \rho(g^{-1}) \left[ \sum_i E(i, i) \right] \rho(g) \\ &= \text{tr} \sum_{g \in G} \rho(g^{-1}) I_n \rho(g) = \sum_{g \in G} \text{tr} \rho(1) = \#G \cdot \text{tr} \rho(1) = \#G \cdot n. \end{aligned}$$

The result follows. □

**Corollary 108.** *Let  $G$  be a finite group. Then  $G$  has at most  $k(G)$  nonequivalent irreducible representations.*

*Proof.* Suppose not, say,  $\rho_1, \dots, \rho_s$  are distinct irreducible representations with  $s > k(G)$ . Let  $\chi_i$  be the character of  $\rho_i$ . Now  $\chi_i \in \text{CF}(G)$  for all  $i$  and  $\text{CF}(G)$  is of dimension  $k(G) < s$  so the  $\chi_i$  are linearly dependent; say  $\sum_i a_i \chi_i = 0$  with  $a_i \in \mathbb{C}$  for all  $i$ , not all zero, say  $a_k \neq 0$ . By theorem 105 (orthogonality) we have  $(\chi_i, \chi_k)_G = \delta_{ik}$  for all  $i$  and therefore

$$0 = (0, \chi_k)_G = \left( \sum_i a_i \chi_i, \chi_k \right)_G = a_k.$$

This is a contradiction and finishes the proof. □

Later in theorem 118 we shall prove the reverse inequality:  $G$  has  $k(G)$  nonequivalent irreducible representations.

**Theorem/Definition 109.** *Let  $\rho_1, \dots, \rho_s$  be a maximal set of non-equivalent irreducible characters of a finite group  $G$ . Let  $\rho$  be a representation of  $G$ . Then there are unique nonnegative integers  $n_i$  ( $1 \leq i \leq s$ ) such that*

$$\rho \sim n_1 \rho_1 \oplus \dots \oplus n_s \rho_s$$

where  $n_i \rho_i$  means the diagonal sum of  $n_i$  copies of  $\rho_i$ . Indeed  $n_i = (\chi_\rho, \chi_i)_G$  where  $\chi_i$  denotes the character of  $\rho_i$ . We call  $n_i$  the **multiplicity** of  $\rho_i$  in  $\rho$ .

*Proof.* Existence was proved in corollary 88. We prove uniqueness. For all  $k$

$$(\chi_\rho, \chi_k)_G = \left( \sum_i n_i \chi_i, \chi_k \right)_G = \sum_i n_i \delta_{ik} = n_k$$

so  $n_k$  is determined by  $\rho$ . □

**Corollary 110.** *Let  $\rho$  be a representation of a finite group  $G$ . Then  $\rho$  is irreducible if and only if  $(\chi_\rho, \chi_\rho)_G = 1$ .*

*Proof.* The implication  $\Rightarrow$  was proved in theorem 105 (orthogonality). We prove  $\Leftarrow$ . By corollary 88 we can write  $\rho \sim \bigoplus_i n_i \rho_i$  where  $\rho_i$  are irreducible and nonequivalent. By theorem 105

$$1 = (\chi_\rho, \chi_\rho)_G = \left( \sum_i n_i \chi_i, \sum_i n_i \chi_i \right)_G = \sum_i n_i^2.$$

It follows that the  $n_i$  are zero except one of them, say  $n_k = 1$ . Then  $\rho$  is equivalent to  $\rho_k$  and therefore is irreducible.  $\square$

**Theorem 111.** Let  $\rho, \sigma$  be representations of a finite group  $G$ . Then  $\rho \sim \sigma \Leftrightarrow \chi_\rho = \chi_\sigma$ .

*Proof.* The implication  $\Rightarrow$  is proposition 93. We prove  $\Leftarrow$ . Let  $\rho_1, \dots, \rho_s$  be a maximal set of nonequivalent irreducible characters and let  $\chi_i$  denote the character of  $\rho_i$ . Put  $n_i = (\chi_\rho, \chi_i)_G$  so also  $n_i = (\chi_\sigma, \chi_i)_G$ . By theorem 109

$$\rho \sim \bigoplus_i n_i \rho_i \sim \sigma. \quad \square$$

**Exercise (5.6)** Let  $G$  be a finite group. Recall that  $\text{Rep}_n(G)$  denotes the set of equivalence classes of  $n$ -dimensional representations of  $G$ . Prove that  $\text{Rep}_n(G)$  is finite.

### 5.3 Exercises

**(5.7)** Find an example of an infinite group  $G$  and two inequivalent representations  $G \rightarrow \text{GL}(n, \mathbb{C})$  with the same character.

**(5.8)** For  $g \in S_n$  let  $f(g)$  be the number of fixed points of  $g$ , that is, the number of  $x \in \{1, \dots, n\}$  such that  $g(x) = x$ . Prove that  $\sum_{g \in S_n} f(g)^2 = 2n!$  if  $n > 1$ .

**(5.9)** Let  $0 \leq k \leq n$  and write  $A_n = \{1, \dots, n\}$ . Let  $V$  be an  $\binom{n}{k}$ -dimensional vector space with basis  $\{v(I) \mid I \subset A_n, \#I = k\}$ .

Let  $G = S_n$  act on  $V$  by  $gv(I) = v(gI)$  where  $gI = \{gi \mid i \in I\}$ . Let  $\chi$  be the character of the  $\mathbb{C}G$ -module  $V$ . Prove

$$(\chi, \chi)_G = \min(k, n - k) + 1.$$

**(5.10)** An element of a group is said to be **real** if it is conjugate to its inverse.

- (a) Let  $g$  be a real element of a finite group  $G$ . Prove  $\chi(g) \in \mathbb{R}$  for all characters  $\chi$  of  $G$ .
- (b) Prove that all elements of the symmetric group  $S_n$  are real.
- (c) Find all real elements in the alternating group  $A_n$ .

**(5.11)** Find the conjugacy classes and the centre of  $D_{2n}$  if  $n$  is even.

**(5.12)** Let  $H \trianglelefteq G$  be groups such that  $G = \{hz \mid h \in H, z \in Z(G)\}$ . Let  $\rho$  be an irreducible representation of  $G$ . Prove that the restriction of  $\rho$  to  $H$  is also irreducible.

**(5.13)** (a) Let  $\rho$  be an irreducible representation of a finite group  $G$ . Prove that  $\sum_{g \in G} \rho(g) = 0$  unless  $\rho$  is the trivial representation of degree 1.

- (b) Let  $H \leq G$  be groups and let  $g \in G$  be such that all elements of  $Hg$  are conjugate. Let  $\chi$  be a character of  $G$  such that  $(\chi_H, 1_H)_H = 0$ . Show that  $\chi(g) = 0$ . (Note:  $\chi_H := \chi|_H$  and  $1_H$  is the trivial linear character of  $H$ .)

(5.14) Restate and reprove lemma 5.7 in the language of  $\mathbb{C}G$ -modules.

(5.15) Let  $G$  be a finite group and  $V$  a finite-dimensional  $\mathbb{C}G$ -module. Let  $\chi$  be an irreducible character of  $G$ . Define  $p_\chi: V \rightarrow V$  by  $p_\chi v = \sum_{g \in G} \chi(g) gv$ . Prove that  $p_\chi(V)$  is one of the isotypical components of  $V$  as defined in exercise 4.16 (or zero).

(5.16) Let  $G$  be a finite group. Recall that  $\mathbb{C}(G)$  denotes the set of functions from  $G$  to  $\mathbb{C}$ . For  $p, q \in \mathbb{C}(G)$  define the **convolution**  $p * q \in \mathbb{C}(G)$  by

$$(p * q)(x) = \sum_{y \in G} p(y^{-1}) q(yx).$$

- (a) Prove that  $*$  is associative.
- (b) Prove that if  $p, q \in \mathbb{C}(G)$  are class functions then so is  $p * q$ .
- (c) Prove that  $p * q = q * p$  for all  $p \in \text{CF}(G), q \in \mathbb{C}(G)$ .
- (d) Let  $\rho, \sigma$  be irreducible representations of  $G$ . Prove:

$$\chi_\rho * \chi_\sigma = \begin{cases} 0 & \text{if } \rho \not\sim \sigma \\ \frac{\#G}{\text{deg } \rho} \cdot \chi_\rho & \text{if } \rho \sim \sigma. \end{cases}$$

Hint: use the result of exercise (5.18) (generalised orthogonality).

(5.17) Let  $G$  be a finite group and  $\chi_1, \dots, \chi_s$  its irreducible characters. Let  $d_i$  be the degree of  $\chi_i$ . Prove:

$$\sum_{n \geq 0} \#\text{Rep}_n(G) \cdot t^n = \prod_{i=1}^s \frac{1}{1 - t^{d_i}}.$$

(5.18) Let  $\rho, \sigma$  be irreducible representations of a finite group  $G$  and let  $h \in G$ . Prove the **generalised orthogonality**

$$\sum_{g \in G} \chi_\sigma(g^{-1}) \chi_\rho(hg) = \begin{cases} 0 & \text{if } \rho \not\sim \sigma \\ \#G \frac{\chi_\rho(h)}{\chi_\rho(1)} & \text{if } \rho \sim \sigma. \end{cases}$$

Hint: modify the proof of theorem 105.

(5.19) Let  $\rho$  be an irreducible representation of a finite group  $G$ . Prove  $\sum_{g \in G} \rho(g) = 0$  unless  $\rho$  is the trivial representation of degree 1.

## 6 The regular representation

For a group  $G$ , let  $V^{\text{reg}} = V^{\text{reg}}(G)$  denote a complex vector space with basis  $\{e_x \mid x \in G\}$  (this is any set in bijection with  $G$ ). Then every element of  $V^{\text{reg}}$  can uniquely be written as a sum

$$\sum_{x \in G} a_x e_x$$

where  $a_x \in \mathbb{C}$  such that only finitely many  $a_x$  are nonzero.

We define an action of  $G$  on  $V^{\text{reg}}$  by

$$g \left( \sum_{x \in G} a_x e_x \right) = \sum_{x \in G} a_x e_{gx}$$

for all  $g \in G, a_x \in \mathbb{C}$ , only finitely many nonzero. Prove yourself that this defines an action.

The pair of  $V^{\text{reg}}$  together with this action is a  $\mathbb{C}G$ -module called the **regular module**.

Suppose from now on that  $G$  is finite. Then  $V^{\text{reg}}$  is finite-dimensional and  $\dim V^{\text{reg}} = \#G$ . The **regular representation**  $\rho^{\text{reg}}$  of  $G$  is the representation afforded by  $(V^{\text{reg}}, A)$  where  $A$  is the (ordered) basis of the  $e_x$  with any total ordering. The character  $\chi^{\text{reg}}$  of the regular representation is called the **regular character**.

*Example 112.* Let  $G = C_2 \times C_2$ . Then the elements of  $G$  can be written  $1, x, y, xy$  for appropriate generators  $x, y$  of  $G$ . Suppose that  $\rho^{\text{reg}}$  is afforded by  $(V^{\text{reg}}, A)$  where  $A$  is the basis  $A = (e_1, e_x, e_y, e_{xy})$ . Then

$$\rho^{\text{reg}}(x) = \begin{pmatrix} \cdot & 1 & \cdot & \cdot \\ 1 & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & 1 \\ \cdot & \cdot & 1 & \cdot \end{pmatrix}, \quad \rho^{\text{reg}}(y) = \begin{pmatrix} \cdot & \cdot & 1 & \cdot \\ \cdot & \cdot & \cdot & 1 \\ 1 & \cdot & \cdot & \cdot \\ \cdot & 1 & \cdot & \cdot \end{pmatrix}.$$

*Remark 113.* A **permutation matrix** is a square matrix in which every row or column has zeroes everywhere except for a 1 in one position. Then the  $n \times n$  permutation matrices form a subgroup of  $\text{GL}(n, \mathbb{C})$  isomorphic to the symmetric group  $S_n$ . It is clear that the image of a regular representation consists of permutation matrices.

**Lemma 114.** *Let  $G$  be a finite group. Then*

$$\chi^{\text{reg}}(1) = \#G, \quad \chi^{\text{reg}}(g) = 0 \text{ for all } g \in G \setminus \{1\}.$$

*Proof.* Firstly  $\chi^{\text{reg}}(1) = \dim V^{\text{reg}} = \#G$ .

Let now  $g \in G \setminus \{1\}$ . Any nonzero entry of  $\rho^{\text{reg}}(g)$  is in position  $(gx, x)$  for some  $x \in G$ . This is not on the diagonal because  $gx \neq x$ . Thus the diagonal of  $\rho^{\text{reg}}(g)$  consists of zeroes only and  $\chi^{\text{reg}}(g) = \text{tr } \rho^{\text{reg}}(g) = 0$ .  $\square$

**Proposition 115.** *Let  $\chi_1, \dots, \chi_s$  be the irreducible characters of  $G$  and put  $d_i = \chi_i(1)$ . Then*

$$\chi^{\text{reg}} = \sum_{i=1}^s d_i \chi_i. \tag{116}$$

*Proof.* Put  $n_i = (\chi^{\text{reg}}, \chi_i)_G$ . By theorem 109 we have  $\chi^{\text{reg}} = \sum_i n_i \chi_i$ . Using lemma 114 we find

$$n_i = (\chi^{\text{reg}}, \chi_i)_G = \frac{1}{\#G} \sum_{x \in G} \chi^{\text{reg}}(x) \overline{\chi_i(x)} = \frac{1}{\#G} (\#G \cdot \overline{\chi_i(1)}) = d_i$$

which finishes the proof.  $\square$

**Corollary 117.** *Let  $\chi_1, \dots, \chi_s$  be the irreducible characters of  $G$  and put  $d_i = \chi_i(1)$ . Then*

$$\#G = d_1^2 + \dots + d_s^2.$$

*Proof.* Evaluating both sides in (116) at  $1 = 1_G$  we get

$$\#G = \chi^{\text{reg}}(1) = \sum_{i=1}^s d_i \chi_i(1) = \sum_{i=1}^s d_i^2. \quad \square$$

Recall that  $I(G)$  denotes the set of irreducible characters of  $G$  and  $k(G)$  the number of conjugacy classes.

**Theorem 118.**  $\#I(G) = k(G)$ .

*Proof.* Let  $s = \#I(G)$  and  $k = k(G)$ . By corollary 108 we have  $s \leq k$ . It remains to prove  $s \geq k$ .

Recall the vector space  $\text{CF}(G)$  of class functions on  $G$ . It is of dimension  $k$  and equipped with an inner product  $(\cdot, \cdot)_G$ . Also  $I(G) \subset \text{CF}(G)$ . It remains to prove that if  $f$  is a class function on  $G$  orthogonal to  $I(G)$  then  $f = 0$ .

For a representation  $\rho$  of  $G$ , put

$$\rho^f := \sum_{g \in G} f(g) \rho(g^{-1}).$$

We claim that  $\rho^f$  is an intertwiner from  $\rho$  to itself. Indeed, for all  $x \in G$  we have

$$\begin{aligned} \rho(x) \rho^f \rho(x^{-1}) &= \rho(x) \left( \sum_{g \in G} f(g) \rho(g^{-1}) \right) \rho(x^{-1}) \\ &= \sum_{g \in G} f(g) \rho(x g^{-1} x^{-1}) \stackrel{*}{=} \sum_{h \in G} f(x^{-1} h x) \rho(h^{-1}) \\ &= \sum_{h \in G} f(h) \rho(h^{-1}) = \rho^f \end{aligned}$$

where the starred equality is because of the bijection  $G \rightarrow G: g \mapsto x g x^{-1}$ . This proves our claim that  $\rho^f: \rho \rightarrow \rho$  is an intertwiner.

Let  $\rho$  be an irreducible representation of  $G$ . As  $\rho^f$  is an intertwiner from  $\rho$  to itself, Schur's lemma (theorem 102) implies that there exists  $\alpha \in \mathbb{C}$  such that  $\rho^f = \alpha I_n$  where  $n = \deg \rho$  and  $I_n$  is the  $n \times n$  identity matrix. We find the value of  $\alpha$  as follows:

$$\begin{aligned} n \alpha &= \text{tr}(\alpha I_n) = \text{tr} \rho^f = \text{tr} \sum_{g \in G} f(g) \rho(g^{-1}) = \sum_{g \in G} f(g) \text{tr} \rho(g^{-1}) \\ &= \sum_{g \in G} f(g) \chi_\rho(g^{-1}) = \sum_{g \in G} f(g) \overline{\chi_\rho(g)} = \#G \cdot (f, \chi_\rho)_G = 0 \end{aligned}$$

by our assumption that  $f$  is orthogonal to  $I(G)$ . We have proved that  $\rho^f = 0$  if  $\rho$  is irreducible.

It follows that also  $\rho^f = 0$  if  $\rho$  is not irreducible because  $T \rho T^{-1} = \rho_1 \oplus \cdots \oplus \rho_k$  for some irreducible representations  $\rho_i$  and some  $T \in \text{GL}(n, \mathbb{C})$  ( $n = \deg \rho$ ) which implies

$$T \rho^f T^{-1} = \rho_1^f \oplus \cdots \oplus \rho_k^f = 0$$

whence  $\rho^f = 0$ .

Let us put  $\rho = \rho^{\text{reg}}$  in the equation  $\rho^f = 0$ . We find

$$\sum_{g \in G} f(g) \rho^{\text{reg}}(g^{-1}) = 0.$$

Note that the elements of the image of  $\rho^{\text{reg}}$  are linearly independent; indeed their first columns are. It follows that  $f = 0$  which finishes the proof.  $\square$

A character is said to be **linear** if it is of degree 1.

**Theorem 119.** *A finite group  $G$  is abelian if and only if all its irreducible characters are linear.*

*Proof.* Write  $k = k(G)$ . By corollary 117 and theorem 118

$$\#G = d_1^2 + \cdots + d_k^2$$

and  $d_i > 0$ . So  $G$  is abelian  $\Leftrightarrow k = \#G \Leftrightarrow (d_i = 1 \text{ for all } i)$ .  $\square$



## 6.1 Exercises

(6.1) Prove proposition 26 (representations of cyclic groups) by the more sophisticated methods of chapters 4, 5, 6.

(6.2) Let  $G$  be a finite group. Prove or disprove:

- There exists a representation  $\rho: D_6 \rightarrow \text{GL}(n, \mathbb{C})$  with  $\det \rho(r) = \exp(2\pi i/3)$ .
- $I(G)$  is a basis of  $\text{CF}(G)$ .
- Let  $G$  be a group (not necessarily finite). Let  $V$  be a finite-dimensional  $\mathbb{C}G$ -module. Then  $V$  admits a  $G$ -invariant inner product.
- Let  $f: V \rightarrow W$  be a homomorphism of  $\mathbb{C}G$ -modules. Then  $f$  is 0 or an isomorphism.
- Let  $G$  be a group (not necessarily finite). Let  $V$  be a finite-dimensional  $\mathbb{C}G$ -module and  $\langle \cdot, \cdot \rangle$  a  $G$ -invariant inner product on  $V$ . Then  $V$  is a direct sum of simple  $\mathbb{C}G$ -submodules.
- Let  $\rho: G \rightarrow \text{GL}(n, \mathbb{C})$  be a representation of a finite group  $G$  such that the elements of  $\rho(G)$  are linearly independent and  $\rho$  is injective. Then  $(\chi_\rho, \phi)_G > 0$  for all irreducible characters  $\phi$  of  $G$ .
- Let  $\rho: G \rightarrow \text{GL}(n, \mathbb{C})$  be a representation of a finite group  $G$ . Then  $|\det \rho(x)| = 1$  for all  $x \in G$ .
- Let  $\rho_1, \dots, \rho_k$  be irreducible representations of a finite group  $G$  and  $\sigma = n_1 \rho_1 \oplus \dots \oplus n_k \rho_k$  where  $n_i \in \mathbb{Z}_{\geq 0}$ . Then  $(\chi_\sigma, \chi_\sigma)_G = n_1^2 + \dots + n_k^2$ .

(6.3) Let  $G$  be a finite group and  $K(G)$  the set of conjugacy classes of  $G$ . Fix conjugacy classes  $C_1, \dots, C_m \in K(G)$  and put

$$N(C_1, \dots, C_m) := \#\{(g_1, \dots, g_m) \in C_1 \times \dots \times C_m \mid g_1 \cdots g_m = 1\}.$$

If  $\chi$  is any class function on  $G$  and  $C \in K(G)$ , write  $\chi(C) := \chi(g)$  for one (hence any)  $g \in C$ . Let  $I$  denote the set of irreducible characters of  $G$ . In this exercise you will prove the **Frobenius formula**:

$$N(C_1, \dots, C_m) = \frac{\#C_1 \cdots \#C_m}{\#G} \sum_{\chi \in I} \frac{\chi(C_1) \cdots \chi(C_m)}{\chi(1)^{m-2}}. \quad (120)$$

If  $C \in K(G)$  and  $\rho$  is a representation of  $G$ , define

$$\rho_C := \sum_{g \in C} \rho(g).$$

- Prove that  $\rho_C$  is an intertwiner  $\rho \rightarrow \rho$ .
- Suppose moreover that  $\rho$  is irreducible of degree  $n$ . Prove that there exists  $\lambda_\rho \in \mathbb{C}$  such that  $\rho_C = \lambda_\rho \cdot I_n$ .
- Prove:  $\lambda_\rho = \frac{\chi_\rho(C)}{\chi_\rho(1)} \cdot \#C$ .
- Let us use  $\sum_0$  as shorthand for  $\sum_{g_1 \in C_1} \cdots \sum_{g_m \in C_m}$ . Prove:

$$\sum_0 \chi^{\text{reg}}(g_1 \cdots g_m) = \sum_0 \sum_{\chi \in I} \chi(1) \cdot \chi(g_1 \cdots g_m). \quad (121)$$

- Compute the right hand side of (121). Hint: if  $\rho$  is a representation of  $G$ , how can  $\sum_0 \rho(g_1 \cdots g_m)$  be factorized?

(f) Compute the left hand side of (121) and deduce (120).

(6.4) Use the notation of exercise 6.3 and put

$$N_g(C_1, \dots, C_m) := \# \left\{ (a_1, b_1, a_2, b_2, \dots, a_g, b_g, g_1, \dots, g_m) \mid \begin{array}{l} [a_1, b_1] \cdots [a_g, b_g] \\ g_1 \cdots g_m = 1 \end{array} \right\}$$

where  $[a, b] = aba^{-1}b^{-1}$ . Prove:

$$N_g(C_1, \dots, C_m) = (\#G)^{2g-1} \#C_1 \cdots \#C_m \sum_{\chi \in I} \frac{\chi(C_1) \cdots \chi(C_m)}{\chi(1)^{m+2g-2}}.$$

Hint: use Frobenius' formula from exercise 6.3.

Remark: This has the following topological interpretation. Let  $S$  be a compact connected oriented surface (real 1-manifold) with  $m$  boundary circles. Then  $N_g(C_1, \dots, C_m)$  is the number of homomorphisms  $\pi_1 S \rightarrow G$  such that the image of the  $i$ th boundary circle is in  $C_i$ .

(6.5) Let  $G, H$  be finite groups. Let  $I(G)$  denote the set of irreducible characters of  $G$ .

- (a) Prove that  $I(G) \times I(H)$  and  $I(G \times H)$  have equal cardinalities.
- (b) You may assume that if  $\chi, \phi$  are characters of a group  $K$  then so is  $\chi\phi$  defined by  $(\chi\phi)(g) = \chi(g)\phi(g)$ . This is true but beyond our scope. Prove that if  $p$  is a character of  $G$  and  $q$  a character of  $H$  then

$$p * q: G \times H \longrightarrow \mathbb{C} \\ (g, h) \longmapsto p(g)q(h)$$

is a character of  $G \times H$ .

- (c) Prove that the map  $(p, q) \mapsto p * q$  defines a bijection  $I(G) \times I(H) \rightarrow I(G \times H)$ .

## 7 Character tables

### 7.1 Character tables

Let  $G$  be a finite group. If  $C$  is a conjugacy class of  $G$  and  $g \in C$  and  $f$  is a class function on  $G$  we often write  $f(C)$  instead of  $f(g)$ .

Let  $C_1, \dots, C_k$  be the conjugacy classes of  $G$  and assume  $C_1 = \{1\}$ . Let  $\chi_1, \dots, \chi_k$  be the irreducible characters of  $G$  and assume that  $\chi_1$  is the trivial linear character (that is,  $\chi_1(g) = 1$  for all  $g \in G$ ). The square matrix

$$(\chi_i(C_j))_{ij}$$

is called the **character table** of  $G$ . More precisely, a permutation of the rows or columns or both (fixing the first row and first column) is not considered to change the character table.

The character table of a finite group contains a good deal of information about the group but not everything: there are non-isomorphic groups with the same character table (exercise 7.12).

The character table may be annotated with more useful information, for example  $\#C_j$  for every  $j$ . The latter information can however be deduced from the body of the character table (exercise 7.8).

*Example 122.* Consider  $C_4 = \langle c \mid c^4 \rangle$ . Write  $\varepsilon = \sqrt{-1}$ . We know that all irreducible characters of  $C_4$  are linear; we can write  $\chi_i(c) = \varepsilon^{i-1}$ . Also  $c^{j-1} \in C_{j-1}$ . It follows that  $\chi_i(C_j) = \varepsilon^{(i-1)(j-1)}$  and the character table is

	1	c	c <sup>2</sup>	c <sup>3</sup>
$\chi_1$	1	1	1	1
$\chi_2$	1	$\varepsilon$	$\varepsilon^2$	$\varepsilon^3$
$\chi_3$	1	$\varepsilon^2$	$\varepsilon^4$	$\varepsilon^6$
$\chi_4$	1	$\varepsilon^3$	$\varepsilon^6$	$\varepsilon^9$

*Example 123.* Next we compute the character table of  $G = D_6$ . Recall that  $D_6$  is  $\langle r, s \mid r^3, s^2, (rs)^2 \rangle$  and that the conjugacy classes are  $1^G, r^G, s^G$ .

First we find the linear characters. Recall that, for any group  $G$ , a linear character is just a homomorphism  $G \rightarrow \mathbb{C}^\times$  and all linear characters are irreducible. By theorem 60, there exists a linear character  $\chi_i$  with  $\chi_1(r) = \alpha$  and  $\chi_1(s) = \beta$  if and only if

$$1 = \alpha^3 = \beta^2 = (\alpha\beta)^2. \tag{124}$$

This is just a system of equations in complex numbers and can therefore be solved by any usual means. We find that (124) is equivalent to  $\alpha = 1$  and  $\beta \in \{-1, 1\}$ . We thus find two linear characters:

	1	r	s
$\chi_1$	1	1	1
$\chi_2$	1	1	-1

Let  $\chi_3$  be the remaining irreducible character and  $d_i$  the degree of  $\chi_i$ . Then  $d_3 = 2$  because by corollary 117

$$6 = \#D_6 = d_1^2 + d_2^2 + d_3^2 = 1 + 1 + d_3^2.$$

One way to find  $\chi_3$  is by proposition 115 which says  $\chi^{\text{reg}} = d_1 \chi_1 + d_2 \chi_2 + d_3 \chi_3$ . The result is:

	1	r	s
$\chi_1$	1	1	1
$\chi_2$	1	1	-1
$\chi_3$	2	-1	0

### 7.2 Properties of character tables

We now look at some properties of characters, which are especially useful when calculating character tables.

**Proposition/Definition 125: Duals and twists.** Let  $\chi$  and  $\mu$  be irreducible characters of  $G$ , with  $\deg \mu = 1$ .

- (a) The function  $\bar{\chi}: g \mapsto \overline{\chi(g)}$  is also an irreducible character called the **dual** of  $\chi$ .
- (b) The function  $\chi\mu: g \mapsto \chi(g)\mu(g)$  is also an irreducible character called a **twist** of  $\chi$ .

*Proof.* Part (a). Suppose  $\chi = \chi_\rho$  where  $\rho$  is a representation of degree  $n$ . There exists an automorphism  $c$  of  $\text{GL}(n, \mathbb{C})$  defined by  $(cA)_{ij} = \bar{A}_{ij}$  for all  $i, j$ . It follows that  $c \circ \rho$  is also a representation of  $G$ . Its character is clearly  $\bar{\chi}$  which is therefore a character.

It remains to prove that  $\bar{\chi}$  is irreducible. This follows from corollary 110 and the following:

$$(\bar{\chi}, \bar{\chi})_G = \frac{1}{\#G} \sum_{g \in G} \bar{\chi}(g) \overline{\bar{\chi}(g)} = \frac{1}{\#G} \sum_{g \in G} \bar{\chi}(g) \chi(g) = (\chi, \chi)_G = 1.$$

Part (b). Write  $\chi = \chi_\rho$ . Define  $\sigma(g) = \rho(g) \mu(g)$  for all  $g$  in  $G$ . In order to prove that  $\sigma$  is again a representation of  $G$ , observe

$$\begin{aligned} \sigma(gh) &= \rho(gh) \mu(gh) = \rho(g) \rho(h) \mu(g) \mu(h) \\ &= \rho(g) \mu(g) \rho(h) \mu(h) = \sigma(g) \sigma(h) \end{aligned}$$

for all  $g, h \in G$ . Its character is clearly  $\chi\mu$  which is therefore a character.

In order to prove that it is irreducible, note that  $\mu(g) \mu(g^{-1}) = 1$  for all  $g \in G$  so that

$$(\chi\mu, \chi\mu)_G = \frac{1}{\#G} \sum_{g \in G} \chi(g) \mu(g) \chi(g^{-1}) \mu(g^{-1}) = \frac{1}{\#G} \sum_{g \in G} \chi(g) \chi(g^{-1}) = 1.$$

By corollary 110 again the twist  $\chi\mu$  is irreducible. □

**Exercise (7.1)** Let  $A \in M_n(\mathbb{C})$ . Prove that the rows of  $A$  are orthonormal ( $A \bar{A}^T = 1$ ) if and only if the columns are ( $\bar{A}^T A = 1$ ).

**Theorem 126: Orthogonality.** Let  $G$  be a finite group. Let  $\chi_1, \dots, \chi_k$  be the irreducible characters,  $C_1, \dots, C_k$  the conjugacy classes and  $n_j = \#C_j$ . Let  $s, t \in \{1, \dots, k\}$ .

(a) Row orthogonality: 
$$\sum_{j=1}^k n_j \chi_s(C_j) \bar{\chi}_t(C_j) = \#G \cdot \delta_{st}.$$

(b) Column orthogonality: 
$$\sum_{i=1}^k \chi_i(C_s) \bar{\chi}_i(C_t) = \frac{\#G \cdot \delta_{st}}{n_s}.$$

*Proof.* (a). Recall theorem 105 (orthogonality for characters):  $\#G \cdot \delta_{st} = \sum_{g \in G} f(g)$  where we write  $f(g) = \chi_s(g) \bar{\chi}_t(g)$ . Now  $f(g)$  depends only on the conjugacy class of  $g$ . Part (a) follows.

(b). Write

$$a_{st} = \left( \frac{n_t}{\#G} \right)^{1/2} \chi_s(C_t)$$

and let  $A$  denote the  $k \times k$  matrix  $(a_{st})_{st}$ . Then part (a) states that the rows of  $A$  are orthonormal. By exercise 7.1 its columns are orthonormal. This proves part (b). □

*Example 127: A mystery group.* Suppose  $G$  is a group of order 12 with 4 conjugacy classes. Suppose that one of the rows of its character table is

$g_1 = 1$	$g_2$	$g_3$	$g_4$
1	1	$\omega$	$\omega^2$

where  $\omega = \exp(2\pi i/3)$ . Find the full character table.

*Solution.* The trivial character and the dual of the given row are also irreducible characters. So far we have:

	1	$g_2$	$g_3$	$g_4$
$\chi_1$	1	1	1	1
$\chi_2$	1	1	$\omega$	$\omega^2$
$\chi_3$	1	1	$\omega^2$	$\omega$

The number of irreducible characters equals the number of conjugacy classes, which is 4. Let  $\chi_4$  denote the last unknown row. We find  $\chi_4(1)$  by corollary 117:

$$12 = \#G = \chi_1(1)^2 + \chi_2(1)^2 + \chi_3(1)^2 + \chi_4(1)^2 = 1 + 1 + 1 + \chi_4(1)^2$$

so  $\chi_4(1) = 3$ . We find the remaining values of  $\chi_4$  by column orthogonality (theorem 126). We get:

	1	$g_2$	$g_3$	$g_4$
$\chi_1$	1	1	1	1
$\chi_2$	1	1	$\omega$	$\omega^2$
$\chi_3$	1	1	$\omega^2$	$\omega$
$\chi_4$	3	-1	0	0

□

**Exercise (7.2)** Use column orthogonality to find the sizes of the conjugacy classes in the above example.

### 7.3 Characters and normal subgroups

**Definition 128.** Let  $\rho$  be a representation of a group  $G$ . We define

$$\ker \chi_\rho := \ker \rho = \{g \in G \mid \rho(g) = 1\}.$$

**Lemma 129.** Let  $\chi$  be a character of a finite group  $G$ . Then

$$\ker \chi = \{g \in G \mid \chi(g) = \chi(1)\}.$$

*Proof.* The inclusion  $\subset$  is clear. We prove  $\supset$ . Write  $\chi = \chi_\rho$  and  $n = \chi(1)$ . Let  $g \in G$  be such that  $\chi(g) = \chi(1)$ . By lemma 101 there are roots of unity  $\omega_1, \dots, \omega_n$  such that  $\chi(g) = \omega_1 + \dots + \omega_n$ . Then  $|\omega_i| = 1$  for all  $i$  and  $n = \omega_1 + \dots + \omega_n$  from which we deduce  $\omega_i = 1$  for all  $i$ . By lemma 101  $\rho(g)$  is diagonalisable so  $\rho(g) = 1$ . This finishes the proof. □

**Theorem/Definition 130: Lifting.** Let  $f: G \rightarrow H$  be a homomorphism of finite groups with kernel  $N$ .

(a) There is a map

$$p: \left\{ \begin{array}{l} \text{characters} \\ \text{of } H \end{array} \right\} \longrightarrow \left\{ \begin{array}{l} \text{characters } \lambda \text{ of } G \\ \text{with } N \subset \ker \lambda \end{array} \right\}$$

defined by  $p(\chi) = \chi \circ f$ . This is known as the **pull-back** or **lift**.

(b) Suppose that  $f$  is surjective (for example,  $H = G/N$  and  $f$  is the natural map). Then  $p$  is bijective.

*Proof.* (a). Let  $\rho$  be a representation of  $H$  and  $\chi := \chi_\rho$ . Then  $\rho \circ f$  is a representation of  $G$  whose character is clearly  $\chi \circ f = p(\chi)$ . Therefore  $p(\chi)$  is a character of  $G$ .

(b). Proof of surjectivity. Let  $\sigma$  be a representation of  $G$  whose kernel contains  $N$ . Let  $\rho$  be the representation of  $H$  defined by  $\rho(f(x)) = \sigma(x)$ . This is well-defined because  $f$  is surjective and because  $f(x) = f(y) \Rightarrow xN = yN \Rightarrow \sigma(x) = \sigma(y)$ . Then  $\chi_\sigma = p(\chi_\rho)$ .

Proof of injectivity. Let  $p(\lambda) = p(\mu)$ . Then  $\lambda \circ f = \mu \circ f$ . Since  $f$  is surjective,  $\lambda = \mu$ . Therefore  $p$  is injective.  $\square$

**Theorem 131.** *Let  $G$  be a finite group.*

(a) *For any irreducible characters  $\lambda_1, \dots, \lambda_n$  of  $G$ ,  $\ker(\lambda_1) \cap \dots \cap \ker(\lambda_n)$  is a normal subgroup of  $G$ .*

(b) *All normal subgroups of  $G$  arise this way.*

*Proof.* (a). It is immediate from the definition that  $\ker \lambda_i$  is a normal subgroup of  $G$ . The result follows because every intersection of normal subgroups is again a normal subgroup (exercise 3.3).

(b). Let  $N$  be a normal subgroup of  $G$ . Let  $\rho$  be an injective representation of  $G/N$  (for example, the regular representation) and let  $f: G \rightarrow G/N$  be the natural map. Then  $\rho \circ f$  is a representation of  $G$  whose kernel is  $N$ . Let  $\rho \circ f \sim \sigma_1 \oplus \dots \oplus \sigma_n$  with  $\sigma_i$  irreducible for all  $i$  (such a decomposition exists). Let  $\lambda_i$  be the character of  $\sigma_i$ . Then  $N = \ker(\lambda_1) \cap \dots \cap \ker(\lambda_n)$ .  $\square$

**Exercise (7.3)** Let  $G$  be the mystery group of example 127. Find all normal subgroups of  $G$ .

## 7.4 Linear characters and the derived subgroup

**Definition 132.** Let  $G$  be a group and  $x, y \in G$ . The **commutator** of  $x, y$  is

$$[x, y] := xyx^{-1}y^{-1}.$$

The **derived subgroup** or **commutator subgroup** of  $G$  is the subgroup of  $G$  generated by the set of all commutators:

$$G' = [G, G] = \langle \{[x, y] \mid x, y \in G\} \rangle \quad \square$$

Note that  $[x, y] = 1$  if and only if  $xy = yx$  (that is,  $x, y$  commute). Warning: some elements of  $G'$  may not be commutators.

*Example 133.* (a). A group  $G$  is abelian if and only if  $G' = 1$ .

(b). We have  $S'_n = A_n$ . If  $n \geq 4$  then  $A'_n = A_n$ .

**Proposition 134.** *Let  $G$  be a group.*

(a) *Then  $G' \trianglelefteq G$ .*

(b) *Let  $N \trianglelefteq G$ . Then  $G/N$  is abelian if and only if  $G' \subset N$ .*

*Proof.* (a). By exercise 3.30 it is enough to show that any conjugate of a commutator is again a commutator. Well, if  $g \in G$  then  $x \mapsto x^g = g^{-1}xg$  is an automorphism of  $G$  so for all  $x, y$  in  $G$  we have

$$[x, y]^g = (xyx^{-1}y^{-1})^g = (x^g)(y^g)(x^g)^{-1}(y^g)^{-1} = [x^g, y^g]$$

which is a commutator.

(b). We have

$$\begin{aligned}
 &G/N \text{ is abelian} \\
 &\iff xN \text{ and } yN \text{ commute for all } x, y \in G \\
 &\iff [xN, yN] = 1 \text{ for all } x, y \in G \\
 &\iff (xN)(yN)(xN)^{-1}(yN)^{-1} = 1 \text{ for all } x, y \in G \\
 &\iff xyx^{-1}y^{-1}N = N \text{ for all } x, y \in G \\
 &\iff [x, y] \in N \text{ for all } x, y \in G \\
 &\iff G' \subset N. \quad \square
 \end{aligned}$$

**Proposition 135.** *Let  $G$  be a group.*

- (a) *A linear character of  $G$  is the same as the lift to  $G$  of a linear character of  $G/G'$ .*
- (b) *The number of linear characters of  $G$  is  $\#(G/G')$ .*

*Proof.* (a). It is clear that lifting a linear character of  $G/G'$  to  $G$  gives a linear character of  $G$ . In order to prove the converse, let  $\chi = \chi_\rho$  be a linear character of  $G$ . Then  $\rho(G) \subset \text{GL}(1, \mathbb{C})$  so  $\rho(G)$  is abelian. By proposition 134  $G' \subset \ker \rho$ . So  $G' \subset \ker \chi$ . By theorem 130  $\chi$  is a lift of a character of  $G/G'$ .

(b). Write  $Q = G/G'$ . We know that  $Q$  is abelian so it has precisely  $\#Q$  conjugacy classes. By theorem 118 it has  $\#Q$  irreducible characters. But irreducible characters of  $Q$  are the same thing as linear characters of  $Q$  by theorem 119. So  $Q$  has precisely  $\#Q$  linear characters. By (a)  $G$  has the same number of linear characters (noting that no two distinct characters of  $G/G'$  lift to the same character of  $G$ ).  $\square$

**Exercise (7.4)** Use proposition 135 to prove that there is no finite group  $G$  such that if  $\chi_1, \dots, \chi_k$  are its irreducible characters in appropriate order then

$$(\chi_1(1), \dots, \chi_k(1)) = (1, 1, 5).$$

### 7.5 More examples

**Exercise (7.5)** Let  $p, q$  be characters of a finite group  $G$  with  $q$  irreducible. Then  $p - q$  is a character if and only if  $(p, q)_G \geq 1$ .

*Example 136.* We shall calculate the character table of  $S_4$ .

First some generalities about  $S_n$ . The alternating group  $A_n$  is a normal subgroup of  $S_n$  of index 2. It follows that there is a linear representation of  $S_n$  taking all elements of  $A_n$  to 1 and other elements to  $-1$ . This is known as the **sign representation** and its character is the **sign character**.

Recall the permutation representation  $\rho^p: S_n \rightarrow \text{GL}(n, \mathbb{C})$  defined by  $(\rho^p s)e_i = e_{s(i)}$ . Its character (denoted  $p$ ) is called the **permutation character** (it is not irreducible). Note that  $p(g) = \#\{x \in \{1, \dots, n\} \mid g(x) = x\}$ , the number of fixed points of  $g$  for all  $g \in S_n$ .

Put now  $n = 4$ . We begin by calculating the conjugacy classes of  $S_4$  and their sizes:

$C \ni x$	1	(12)	(123)	(1234)	(12)(34)
$\#C$	1	6	8	6	3

Let  $\chi_1$  be the trivial linear character. Let  $\chi_2$  be the sign character. Let  $p$  be the permutation character. We get the following table.

#C	1	6	8	6	3
$\chi_1$	1	1	1	1	1
$\chi_2$	1	-1	1	-1	1
$p$	4	2	1	0	0

We can now compute  $24 \cdot (p, \chi_1)_G = 1 \cdot 1 \cdot 4 + 6 \cdot 1 \cdot 2 + 8 \cdot 1 \cdot 1 + 0 + 0 = 24$  so that  $(p, \chi_1)_G = 1$  and  $\chi_3 := p - \chi_1$  is a character by exercise 7.5. Let  $\chi_4 := \chi_3 \chi_2$  be its twist. We have

#C	1	6	8	6	3
$\chi_3$	3	1	0	-1	-1
$\chi_4$	3	-1	0	1	-1

We find  $24(\chi_3, \chi_3)_G = 1 \cdot 9 + 6 \cdot 1 + 0 + 6 \cdot 1 + 3 \cdot 1 = 24$  whence  $(\chi_3, \chi_3)_G = 1$ . Therefore  $\chi_3$  is irreducible and hence so is  $\chi_4$ .

Let  $\chi_5$  be the remaining irreducible character. We find  $\chi_5(1)$  by the formula  $24 = \#G = \sum_{i=1}^5 \chi_i(1)^2$ . We find the remaining values of  $\chi_5$  by orthogonality of columns. Here is the full table:

$C \ni x$	1	(12)	(123)	(1234)	(12)(34)
#C	1	6	8	6	3
$p$	4	2	1	0	0
$\chi_1$	1	1	1	1	1
$\chi_2$	1	-1	1	-1	1
$\chi_3$	3	1	0	-1	-1
$\chi_4$	3	-1	0	1	-1
$\chi_5$	2	0	-1	0	2

*Example 137.* Let  $G$  be the group of permutations of  $\mathbb{Z}/5$  of the form  $x \mapsto ax + b$  where  $a, b \in \mathbb{Z}/5$  and  $a$  is invertible. Define  $r, s \in G$  by  $r(x) = x + 1, s(x) = 2x$ .

- (a) Prove  $G = \langle r, s \rangle$ .
- (b) Prove that  $r^5 = 1, s^4 = 1, srs^{-1} = r^2$ . (We don't need to prove that these present  $G$ .)
- (c) Prove that every element of  $G$  can be written uniquely as  $r^k s^\ell$  where  $0 \leq k \leq 4$  and  $0 \leq \ell \leq 3$ .
- (d) Define a map  $f: G \rightarrow (\mathbb{Z}/5)^\times$  by  $(x \mapsto ax + b) \mapsto a$ . Prove that  $f$  is a homomorphism. Deduce that there is a homomorphism  $h: G \rightarrow C_4 = \langle c \mid c^4 \rangle, h(r) = 1, h(s) = c$ .
- (e) Prove that the conjugacy classes are

$$\{1\}, \{r, r^2, r^3, r^4\}, \{r^k s \mid k\}, \{r^k s^2 \mid k\}, \{r^k s^3 \mid k\}.$$

- (f) Find the character table of  $G$ .

*Solution.* (a). We have  $s(x) = 2x, s^2(x) = 4x, s^3 = 3x$ . Therefore  $x \mapsto ax$  is in  $\langle s \rangle$  for all  $a$ . Finally  $r^b(ax) = ax + b$ .

(b). Straightforward. We just do the last one:  $srs^{-1}(x) = sr(3x) = s(3x + 1) = x + 2 = r^2(x)$ .



(c). Existence follows easily from (a) and the relation  $srs^{-1} = r^2$ . Uniqueness follows by comparison with  $\#G$ .

(d). Define  $u, v \in G$  by  $u(x) = ax + b, v(x) = cx + d$ . Then  $uv(x) = u(cx + d) = a(cx + d) + b = acx + (ad + b)$ . This proves that  $f$  is a homomorphism. But  $(\mathbb{Z}/5)^\times \cong C_4$  which yields  $h$ .

(e). The image  $C_4$  of  $h$  is abelian. Therefore, if two elements of  $G$  have different images in  $C_4$  then they are not conjugate. Clearly  $\{1\}$  is a conjugacy class. This proves that the given sets are each a union of conjugacy classes.

Now  $srs^{-1} = r^2$  so conjugating by  $s$  yields the permutation  $r \mapsto r^2 \mapsto r^4 \mapsto r^3$ .

We have  $sr = r^2s$  so  $r^{-1}(r^k s)r = (r^{-1}r^k r^2)s = r^{k+1}s$  so conjugating by  $r^{-1}$  cyclically permutes  $\{r^k s \mid k\}$ . Likewise for the remaining two classes.

(f). Pulling back the irreducible characters of  $C_4$  gives 4 linear characters  $\chi_1, \dots, \chi_4$ . Since  $G$  has precisely 5 conjugacy classes, there is one remaining character  $\chi_5$ . Its degree is found by the condition  $\#G = \sum_i \chi_i(1)^2$ . Its remaining values are found by orthogonality of columns. We get:

	1	$r$	$s$	$s^2$	$s^3$
$\chi_1$	1	1	1	1	1
$\chi_2$	1	1	$i$	-1	$-i$
$\chi_3$	1	1	-1	1	-1
$\chi_4$	1	1	$-i$	-1	$i$
$\chi_5$	4	-1	0	0	0

□

### 7.6 Exercises

(7.6) (a) Find the conjugacy classes of  $A_4$ .

(b) Prove that one of the rows in the character table of  $A_4$  is

1	$a$	$b$	$c$
1	1	$\omega$	$\omega^2$

where  $\omega = \exp(2\pi i/3)$ .

(c) Briefly justify that the mystery group of example 127 and  $A_4$  have the same character table.

(7.7) Let  $\chi$  be a character of an infinite group  $G$ . Prove that  $g \mapsto \overline{\chi(g^{-1})}$  and  $g \mapsto \overline{\chi(g)}$  are again characters of  $G$ . Give an example where  $\chi(g^{-1}) \neq \overline{\chi(g)}$ .

(7.8) Let  $\chi_i$  ( $1 \leq i \leq k$ ) be the irreducible characters of a finite group  $G$ . Let  $C_j$  ( $1 \leq j \leq k$ ) be the conjugacy classes of  $G$  and pick an element  $g_j \in C_j$  for each  $j$ .

If one is only given the function  $(i, j) \mapsto \chi_i(g_j)$ , show that one can find the unique  $j$  such that  $g_j = 1$ , as well as the degree of  $\chi_i$  and  $\#C_j$ .

(7.9) Calculate the conjugacy classes and character tables for the group

$$\langle a, b, c \mid a^3, b^3, aba^{-1}b^{-1}, c^2, cac^{-1}b^{-1} \rangle$$

(7.10) Find the character tables of the finite dihedral groups.

(7.11)

- (a) Let  $M(m, \mathbb{C})$  denote the set of complex  $m \times m$  matrices. For  $x \in M(m, \mathbb{C})$  write  $x^* = \bar{x}^t$ : the transpose of the complex conjugate. Prove that  $\langle \cdot, \cdot \rangle: M(m, \mathbb{C}) \times M(m, \mathbb{C}) \rightarrow \mathbb{C}$  defined by  $\langle x, y \rangle = \text{tr}(xy^*)$  is an inner product on  $M(m, \mathbb{C})$ .
- (b) Let  $G$  be a finite group. Write  $n = \#G$  and  $G = \{g_1, \dots, g_n\}$ . A class function  $f$  on  $G$  is said to be positive semi-definite (PSD) if  $f(g^{-1}) = \overline{f(g)}$  for all  $g \in G$  and the Hermitian matrix

$$(g_i g_j^{-1})_{i,j}$$

is PSD. Prove that every character of  $G$  is PSD.

- (c) Let  $f$  be a PSD class function on  $G$ . Does it follow that  $f$  is of the form  $a_1 \chi_1 + \dots + a_k \chi_k$  where  $a_i \in \mathbb{R}_{\geq 0}$  and the  $\chi_i$  are characters of  $G$ ?

**(7.12)** Let  $Q_8$  be the subgroup of  $GL(2, \mathbb{C})$  generated by  $i = \begin{pmatrix} i & 0 \\ 0 & i \end{pmatrix}$  and  $j = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . Calculate the character tables of  $Q_8$  and  $D_8$ . Prove that  $Q_8$  and  $D_8$  are nonisomorphic groups with the same character table.

**(7.13)** In this exercise you prove that the set of characters of a finite group  $G$  is closed under multiplication. See chapter 10 for a more thorough treatment.

Let  $G$  be a finite group and let  $U, V$  be finite-dimensional  $\mathbb{C}G$ -modules. Let  $W$  be the set of mappings  $f: U \times V \rightarrow \mathbb{C}$  such that

$$\begin{aligned} f(au + bv, x) &= a f(u, x) + b f(v, x), \\ f(u, ax + by) &= a f(u, x) + b f(u, y) \end{aligned}$$

for all  $u, v \in U, x, y \in V, a, b \in \mathbb{C}$  (the bilinear mappings).

- (a) Prove that  $W$  becomes a vector space by the pointwise operations

$$(af + bg)(x, y) = a f(x, y) + b g(x, y)$$

whenever  $a, b \in \mathbb{C}, f, g \in W, x \in U, y \in V$ .

- (b) For  $g \in G$  and  $f \in W$  define  $g^{-1}f: U \times V \rightarrow \mathbb{C}$  by  $(g^{-1}f)(x, y) = f(gx, gy)$ . Prove that this makes  $W$  into a  $\mathbb{C}G$ -module.
- (c) Let  $(u_1, \dots, u_m)$  be a basis for  $U$  and  $(v_1, \dots, v_n)$  for  $V$ . For  $1 \leq i \leq m$  and  $1 \leq j \leq n$  define  $w_{ij} \in W$  by

$$w_{ij}(v_k, v_\ell) = \delta_{ik} \delta_{j\ell} = \begin{cases} 1 & \text{if } (i, j) = (k, \ell), \\ 0 & \text{otherwise.} \end{cases}$$

Prove that the  $w_{ij}$  are well-defined and form a basis for  $W$ .

- (d) Prove that  $\bar{\chi}_W = \chi_U \chi_V$ , that is,  $\chi_W(g^{-1}) = \chi_U(g) \chi_V(g)$  for all  $g \in G$ . Deduce that the product of any two characters of  $G$  is again a character of  $G$ .

Hint: Use the notation of (b) and (c) and suppose that  $gu_i = \alpha_i u_i, gv_j = \beta_j v_j$  for all  $i, j$ . Find  $g^{-1}w_{ij}$ .

**(7.14)** Let

$$\begin{aligned} G &= \langle a, b \mid a^3 = 1, b^4 = 1, bab^{-1} = a^{-1} \rangle \\ D_6 &= \langle r, s \mid r^3, s^2, (rs)^2 \rangle, \quad C_4 = \langle c \mid c^4 \rangle. \end{aligned}$$

- (a) Prove that there exist unique homomorphisms

$$\begin{aligned} f: G &\rightarrow D_6: f(a) = r, f(b) = s, \\ h: G &\rightarrow C_4: h(a) = 1, h(b) = c. \end{aligned}$$

- (b) Prove  $\#G = 12$  and  $G = \{a^k b^\ell \mid 0 \leq k \leq 2 \text{ and } 0 \leq \ell \leq 3\}$ . Hint: Prove that  $h$  is surjective and find the size of its kernel by using  $f$ .
- (c) Let us call two elements of  $G$  **weakly conjugate** if their images in  $D_6$  as well as in  $C_4$  are conjugate. Find all weak conjugacy classes. Then prove that the weak conjugacy classes are the conjugacy classes.
- (d) Calculate the character table for  $G$ . Justify your answers and show the intermediate steps in filling the character table.
- (e) Use the character table and a result from the lectures to find all normal subgroups of  $G$ .

## 8 Induction and Restriction

### 8.1 Induction and restriction for characters

Let  $H \leq G$  be finite groups. We define two maps called **induction and restriction**

$$\text{CF}(H) \begin{array}{c} \xrightarrow{\text{Ind}} \\ \xleftarrow{\text{Res}} \end{array} \text{CF}(G)$$

as follows. Let  $p \in \text{CF}(G)$  and  $q \in \text{CF}(H)$ . We define  $q^\circ: G \rightarrow \mathbb{C}$  by

$$q^\circ(x) = \begin{cases} q(x) & \text{if } x \in H, \\ 0 & \text{if } x \notin H. \end{cases}$$

Then

$$\begin{aligned} \text{Res}_H^G(p) &= \text{Res}(p) = p_H, & p_H(h) &:= p(h), \\ \text{Ind}_H^G(q) &= \text{Ind}(q) = q^G, & q^G(g) &:= \frac{1}{\#H} \sum_{x \in G} q^\circ(xgx^{-1}). \end{aligned}$$

Sometimes a more flexible notation is convenient. For any assertion  $P$  write

$$[P] = \begin{cases} 1 & \text{if } P \text{ is true,} \\ 0 & \text{if } P \text{ is false.} \end{cases}$$

In this notation the definition of induced characters looks like

$$q^G(g) := \frac{1}{\#H} \sum_{x \in G} [xgx^{-1} \in H] q(xgx^{-1})$$

where we abuse notation by writing  $[x \in A] f(x) := 0$  if  $x \notin A$ , even though this may cause  $f(x)$  to be undefined.

**Proposition 138.** *The functions  $p_H$  and  $q^G$  are class functions.*

*Proof.* For  $p_H$  this is obvious. For all  $g, s \in G$  we have

$$q^G(sgs^{-1}) = \frac{1}{\#H} \sum_{t \in G} q^\circ(tsgs^{-1}t^{-1}) = \frac{1}{\#H} \sum_{u \in G} q^\circ(ugu^{-1}) = q^G(g)$$

where we replaced  $ts$  by  $u$ . □

**Proposition 139: Frobenius reciprocity.** Let  $H \leq G$  be finite groups. Let  $p \in \text{CF}(G)$  and  $q \in \text{CF}(H)$ . Then

$$(p_H, q)_H = (p, q^G)_G.$$

*Proof.*

$$\begin{aligned} (q^G, p)_G &= \frac{1}{\#G} \sum_{g \in G} q^G(g) \overline{p(g)} && \text{by definition of inner product} \\ &= \frac{1}{\#G \cdot \#H} \sum_{g, x \in G} q^\circ(xgx^{-1}) \overline{p(g)} && \text{by definition of induction} \\ &= \frac{1}{\#G \cdot \#H} \sum_{g, x \in G} q^\circ(xgx^{-1}) \overline{p(xgx^{-1})} && \text{because } p \text{ is a class function} \\ &= \frac{1}{\#G \cdot \#H} \sum_{h, x \in G} q^\circ(h) \overline{p(h)} && \text{on writing } h = xgx^{-1} \\ &= \frac{1}{\#G \cdot \#H} \sum_{x \in G} \sum_{h \in H} q(h) \overline{p(h)} && \text{because the other terms are 0} \\ &= \frac{1}{\#H} \sum_{h \in H} q(h) \overline{p(h)} = (q, p_H)_H. && \square \end{aligned}$$

**Exercise (8.1)** Let  $G$  be a finite group,  $I(G)$  the set of irreducible characters of  $G$  and let  $p \in \text{CF}(G)$ . Prove that  $p$  is a character if and only if  $(p, q)_G \in \mathbb{Z}_{\geq 0}$  for all  $q \in I(G)$ .

**Corollary 140.** Let  $H \leq G$  be finite groups and suppose that  $q$  is a character of  $H$ . Then  $q^G$  is a character of  $G$ .

*Proof.* Let  $p$  be an irreducible character of  $G$ . Then  $p_H$  is a character of  $H$  so  $(p_H, q)_H$  is a nonnegative integer. By Frobenius reciprocity,  $(p, q^G)_G$  is a nonnegative integer. The result follows by exercise 8.1.  $\square$

## 8.2 How to compute an induced character in practice

The following is clear.

**Proposition 141.**  $p^G(1) = [G : H] \cdot p(1)$ .  $\square$

Let  $C$  be a conjugacy class in a group  $G$  and  $g \in C$ . We sometimes write  $p(C) := p(g)$  if  $p$  is a class function on  $G$ .

The following result aims to speed up the calculation of induced characters in practice.

**Proposition/Definition 142.** Let  $H \leq G$  be finite groups and  $p \in \text{CF}(H)$ . Let  $C$  be a conjugacy class in  $G$ . Let  $D_1, \dots, D_k$  be the conjugacy classes of  $H$  that are contained in  $C$ . Then

$$p^G(C) = \frac{[G : H]}{\#C} \sum_{i=1}^k \#D_i \cdot p(D_i).$$

If  $k > 1$  we say that  $C$  **splits**.

*Proof.* Let  $g \in C$ . In exercise 8.3 you will prove that

$$\frac{\#\{x \in G \mid xgx^{-1} \in D_i\}}{\#G} = \frac{\#D_i}{\#C}.$$

It follows that

$$\begin{aligned}
 p^G(C) &= p^G(g) = \frac{1}{\#H} \sum_{x \in G} p(xgx^{-1})[xgx^{-1} \in H] \\
 &= \frac{1}{\#H} \sum_{i=1}^k \sum_{x \in G} p(xgx^{-1})[xgx^{-1} \in D_i] \\
 &= \frac{1}{\#H} \sum_{i=1}^k p(D_i) \sum_{x \in G} [xgx^{-1} \in D_i] \\
 &= \frac{1}{\#H} \sum_{i=1}^k p(D_i) \cdot \#G \cdot \frac{\#D_i}{\#C} = \frac{[G : H]}{\#C} \sum_{i=1}^k \#D_i \cdot p(D_i). \quad \square
 \end{aligned}$$

*Example 143.* As an illustration how to calculate induced characters, we now calculate those characters of  $S_3$  induced from the irreducible characters of  $S_2$  and  $A_3$ . Our method uses proposition 142 and what we call induction tables.

The character tables of  $S_2, A_3$  are:

$S_2$	1	(12)
$\chi_1$	1	1
$\chi_2$	1	-1

$A_3$	1	(123)	(321)
$\chi_3$	1	1	1
$\chi_4$	1	$\omega$	$\omega^2$
$\chi_5$	1	$\omega^2$	$\omega$

where  $\omega = \exp(2\pi i/3)$ . Moreover, representatives of conjugacy classes of  $S_3$  are 1, (12), (123).

Let us first consider  $S_2$ . For each conjugacy class  $C$  of  $S_3$  we do the following. Firstly, we give the pair  $C : \#C$  separated by a colon (or rather, we give a representative instead of  $C$ ). If  $D_1, \dots, D_k$  are the conjugacy classes of  $S_2$  contained in  $C$ , we list the pairs  $D_i : \#D_i$  in the same column as  $C : \#C$ :

$S_3$	1 : 1	(12) : 3	(123) : 2
$S_2$	1 : 1	(12) : 1	

In this case, no conjugacy class splits. (This is even true for  $S_k \leq S_n$ .) It is now easy to extend the table with the values of the induced characters to get the so-called induction table:

$S_3$	1 : 1	(12) : 3	(123) : 2
$S_2$	1 : 1	(12) : 1	
$(\chi_1)^{S_3}$	3	1	0
$(\chi_2)^{S_3}$	3	-1	0

For example by proposition 142

$$\begin{aligned}
 (\chi_2)^{S_3}(1) &= [S_3 : S_2] \cdot \frac{1}{1} \cdot \chi_2(1) = 3, \\
 (\chi_2)^{S_3}((12)) &= [S_3 : S_2] \cdot \frac{1}{3} \cdot \chi_2((12)) = -1.
 \end{aligned}$$

In the case of  $A_3$  things get a bit more complicated, because (123) and (321) are conjugate in  $S_3$  but not in  $A_3$ . This is the only splitting occurring:

$S_3$	1 : 1	(12) : 3	(123) : 2
$A_3$	1 : 1		(123) : 1, (321) : 1
$(\chi_3)^{S_3}$	2	0	2
$(\chi_4)^{S_3}$	2	0	$\omega + \omega^2 = -1$

For example

$$(\chi_4)^{S_3}((123)) = [S_3 : A_3] \left( \frac{1}{2}\chi_4((123)) + \frac{1}{2}\chi_4((321)) \right) = \omega + \omega^2 = -1.$$

Note that  $\chi_4$  and  $\chi_5$  induce the same character of  $S_3$ .

The characters induced by  $\chi_4$  and  $\chi_5$  are irreducible but those induced by  $\chi_1, \chi_2, \chi_3$  are not.  $\square$

*Notation 144.* For a group  $G$ , let  $1_G$  denote the trivial linear character of  $G$ .

*Example 145.* In this example we find the character table for  $A_5$  using induction. Write  $G = A_5, H = A_4, x = (12345)$  and let  $K$  be the subgroup of  $A_5$  generated by  $x$ .

- (a) Let  $C$  be a conjugacy class in  $S_n$ . Prove that at most 2 conjugacy classes of  $A_n$  are contained in  $C$ .
- (b) For each conjugacy class in  $A_4$  or  $A_5$ , find its cardinality and give one element.
- (c) Let  $\lambda$  be the linear character of  $H$  defined by

	1	(123)	(321)	(12)(34)
$\lambda$	1	$\omega$	$\omega^2$	1

Compute  $(1_H)^G$  and  $\lambda^G$ .

- (d) Prove that  $\chi_4 := (1_H)^G - 1_G$  is a character of  $G$ .
- (e) Prove that  $\chi_4$  and  $\chi_5 := \lambda^G$  are irreducible.
- (f) Find  $(12345)^G \cap K$  and  $(12354)^G \cap K$ .
- (g) Let  $\varepsilon = \exp(2\pi i/5)$ . Let  $\mu$  be the linear character of  $K$  such that  $\mu(x) = \varepsilon$ . Calculate  $\mu^G$ .
- (h) Prove that  $\chi_3 := \mu^G - \chi_5 - \chi_4$  is an irreducible character.
- (i) Finish the character table of  $A_5$ .

*Solution.* (a). Suppose  $a, b, c \in A_n$  are conjugate in  $S_n$ :  $a^x = b, b^y = c$ . Suppose that  $a, b$  are not conjugate in  $A_n$  and  $b, c$  are not. Then  $x, y \in S_n \setminus A_n$  so  $xy \in A_n$ . Also  $a^{xy} = (a^x)^y = b^y = c$  so  $a, c$  are conjugate in  $A_n$ .

(b). The answer is:

$A_4$ :	<table style="border-collapse: collapse;"> <tr> <td style="padding: 5px;">1</td> <td style="padding: 5px;">(123)</td> <td style="padding: 5px;">(321)</td> <td style="padding: 5px;">(12)(34)</td> </tr> <tr> <td style="padding: 5px;">1</td> <td style="padding: 5px;">4</td> <td style="padding: 5px;">4</td> <td style="padding: 5px;">3</td> </tr> </table>	1	(123)	(321)	(12)(34)	1	4	4	3	$A_5$ :	<table style="border-collapse: collapse;"> <tr> <td style="padding: 5px;">1</td> <td style="padding: 5px;">(123)</td> <td style="padding: 5px;">(12)(34)</td> <td style="padding: 5px;">(12345)</td> <td style="padding: 5px;">(12354)</td> </tr> <tr> <td style="padding: 5px;">1</td> <td style="padding: 5px;">20</td> <td style="padding: 5px;">15</td> <td style="padding: 5px;">12</td> <td style="padding: 5px;">12</td> </tr> </table>	1	(123)	(12)(34)	(12345)	(12354)	1	20	15	12	12
1	(123)	(321)	(12)(34)																		
1	4	4	3																		
1	(123)	(12)(34)	(12345)	(12354)																	
1	20	15	12	12																	

Most of these are easily found using (a) and our knowledge of the conjugacy classes in  $S_n$ . What remains to prove is that  $(123), (132)$  are not conjugate in  $A_4$  and that  $x := (12345)$  and  $y := (12354)$  are not conjugate in  $A_5$ .

Suppose that  $x, y$  are conjugate in  $A_5$ , say,  $z \in A_5$  satisfies  $yz = zx$ . By multiplying  $z$  with a power of  $x$  we may suppose  $z(1) = 1$ . Then  $z = (45)$  which is not in  $A_5$ .

For  $A_4$  there is a similar argument and left to you.

(c). Note  $[G : H] = 5$ . Using the method of example 143 we get:

$G$	1 : 1	(123) : 20	(12)(34) : 15	(12345) : 12	(12354) : 12
$H$	1 : 1	(123) : 4, (321) : 4	(12)(34) : 3		
$(1_H)^G$	5	2	1	0	0
$\lambda^G$	5	-1	1	0	0

because  $\omega + \omega^2 = -1$ .

(d). By Frobenius reciprocity we have  $((1_H)^G, 1_G)_G = (1_H, 1_H)_H = 1$ . It follows that  $\chi_4$  is a character.

(e). By (c)

	1	(123)	(12)(34)	(12345)	(12354)
$\chi_4$	1	20	15	12	12
$\chi_5$	4	1	0	-1	-1
	5	-1	1	0	0

and it readily follows that  $\#G \cdot (\chi_4, \chi_4)_G = 1 \cdot 4^2 + 20 \cdot 1^2 + 12 \cdot (-1)^2 + 12 \cdot (-1)^2 = 16 + 20 + 12 + 12 = 60$ , that is,  $(\chi_4, \chi_4)_G = 1$ . Therefore  $\chi_4$  is irreducible.

Likewise  $\#G \cdot (\chi_5, \chi_5)_G = 1 \cdot 5^2 + 20 \cdot (-1)^2 + 15 \cdot 1^2 = 25 + 20 + 15 = 60$  so  $(\chi_5, \chi_5)_G = 1$  whence  $\chi_5$  is irreducible.

(f). We claim

$$(12345)^G \cap K = \{x, x^4\}, \quad (12354)^G \cap K = \{x^2, x^3\}.$$

Firstly,

$$(14)(23)x(14)(23) = (14)(23)(12345)(14)(23) = (54321) = x^4$$

so  $x$  is conjugate to  $x^4$  and therefore  $x^2$  is conjugate to  $(x^4)^2 = x^3$ . Moreover

$$x^2 = (13524) = (235)(12354)(235)^{-1}$$

and the claim is proved.

(g). Note  $[G : K] = 12$ . Using the method of example 143 we get the following.

$G$	1 : 1	(123) : 20	(12)(34) : 15	(12345) : 12	(12354) : 12
$K$	1 : 1			$x : 1, x^4 : 1$	$x^2 : 1, x^3 : 1$
$\mu^G$	12	0	0	$\varepsilon + \varepsilon^4$	$\varepsilon^2 + \varepsilon^3$

(h). This is equivalent to saying that  $(\mu^G, \chi_4)_G$  and  $(\mu^G, \chi_5)_G$  are nonzero and  $(\chi_3, \chi_3)_G = 1$ . These are straightforward calculations and left to you.

(i). For the same reason  $\chi_2 := (\mu^2)^G - \chi_5 - \chi_4$  is an irreducible character. This involves no extra calculations: just observe that it amounts to replacing  $\varepsilon$  by  $\varepsilon^2$  and that this doesn't affect what we did with  $\chi_3$ .

Another short proof that  $\chi_2$  is an irreducible character is that it is  $\chi_3 \circ \alpha$  where  $\alpha$  is the automorphism of  $A_5$  defined by  $\alpha(x) = (45)x(45)$ .

We get the following.

$A_5$	1	(123)	(12)(34)	(12345)	(12354)
$\chi_1$	1	1	1	1	1
$\chi_2$	3	0	-1	$1 + \varepsilon^2 + \varepsilon^3$	$1 + \varepsilon + \varepsilon^4$
$\chi_3$	3	0	-1	$1 + \varepsilon + \varepsilon^4$	$1 + \varepsilon^2 + \varepsilon^3$
$\chi_4$	4	1	0	-1	-1
$\chi_5$	5	-1	1	0	0

As an aside, note  $\varepsilon + \varepsilon^4 = \frac{-1 + \sqrt{5}}{2}$ . Note also that it follows immediately from the character table and theorem 131b that  $A_5$  is simple.  $\square$

### 8.3 Induction and restriction for modules

**Definition 146.** Let  $H \leq G$  be finite groups. Let  $V$  be a finite-dimensional  $\mathbb{C}H$ -module with character  $p$ . We define the **induced module**  $V^G$  to be the  $\mathbb{C}G$ -module whose character is  $p^G$ .

Note that  $V^G$  is well-defined, but only up to isomorphism, for the following reasons. Firstly,  $p^G$  is a character as we proved in corollary 140. Secondly, any finite-dimensional  $\mathbb{C}G$ -module is determined up to isomorphism by its character.  $\square$

This is not a very satisfactory definition. There could be many reasons why you are interested in a module rather than its character. In some other theories, a module is not even determined by its character. We now ask how to recognise or construct  $V^G$  without reference to characters.

**Definition 147.** Let  $V$  be a  $\mathbb{C}G$ -module. An **imprimitivity decomposition** of  $V$  is a tuple  $(W_1, \dots, W_k)$  of linear subspaces of  $V$  such that:

- Direct sum:  $V = W_1 \oplus \dots \oplus W_k$ .
- Invariance: For all  $g \in G$  and  $i$  there exists  $j$  with  $gW_i = W_j$ .
- Transitivity: For all  $i, j$  there exists  $g \in G$  such that  $gW_i = W_j$ .

We call this a **proper** imprimitivity decomposition if  $k > 1$ . A nonzero  $\mathbb{C}G$ -module is said to be **primitive** if it admits no proper imprimitivity decompositions.

If  $V = W_1 \oplus \dots \oplus W_k$  is a proper imprimitivity decomposition then  $W_i$  is certainly not a submodule. However, if  $H = \{g \in G \mid gW_1 = W_1\}$  (the so-called **stabiliser**  $\text{Stab}_G(W_1)$  of  $W_1$ ) then  $W_1$  is a  $\mathbb{C}H$ -module.

**Proposition 148.** Let  $V$  be a finite-dimensional  $\mathbb{C}G$ -module with character  $q$ . Let  $V = W_1 \oplus \dots \oplus W_k$  be an imprimitivity decomposition. Put  $H := \text{Stab}_G(W_1)$  and let  $p$  be the character of  $W_1$  over  $H$ . Then  $q = p^G$ .

*Proof.* Let  $T$  be a **left transversal** for  $H$  in  $G$ , that is,  $T \subset G$  and  $G$  is the disjoint union of  $tH$  as  $t$  runs through  $T$ . Then  $tW$  runs through the  $W_i$  as  $t$  runs through  $T$  so

$$V = \bigoplus_{t \in T} tW.$$

Choose a basis  $\{w_1, \dots, w_m\}$  for  $W$ . Then  $\{tw_i \mid t \in T, 1 \leq i \leq m\}$  is a basis for  $V$ . Let  $g \in G$ . We compute  $q(g)$  using this basis.

For  $t \in T$ , the contribution of the basis vectors  $tw_i$  to  $q(g)$  will be zero unless  $gtW = tW$ , that is,  $t^{-1}gt \in H$ . Assume now  $t^{-1}gt = h \in H$  and write  $hw_i = \sum_j a_{ij}w_j$ . Then  $p(t^{-1}gt) = \sum_i a_{ii}$ .

Now

$$g(tw_i) = t(hw_i) = \sum_j a_{ij}tw_j$$

and therefore the contribution of  $tw_i$  to  $q(g)$  is  $a_{ii}$ . Therefore the total contribution of the  $tw_i$  (for fixed  $t$  but varying  $i$ ) is  $p(t^{-1}gt)$ . It follows that

$$q(g) = \sum_{t \in T} p^\circ(t^{-1}gt) \stackrel{*}{=} p^G(g)$$

where the starred equality is left to you (exercise 8.10).  $\square$

**Proposition 149.** Let  $H \subset G$  be finite groups and let  $W$  be a  $\mathbb{C}H$ -module. Then there exists a  $\mathbb{C}G$ -module  $V$  having an imprimitivity decomposition  $V = W_1 \oplus \dots \oplus W_k$  such that  $H = \text{Stab}_G(W_1)$  and  $W \cong W_1$  as  $\mathbb{C}H$ -modules.



*Proof.* (Not on the syllabus). Let  $T$  be a left transversal for  $H$  in  $G$  such that  $1 \in T$ . Let  $V$  be a vector space and for all  $t \in T$  let  $t \otimes W$  be subspaces of  $V$  such that  $t \otimes W \cong W$  and  $V = \bigoplus_{t \in T} t \otimes W$ . We know that such  $V$  and  $t \otimes W$  can be found. Let  $a_t: W \rightarrow t \otimes W$  be an isomorphism. Write  $t \otimes w$  instead of  $a_t(w)$  whenever  $w \in W, t \in T$ . We then have  $t \otimes W = \{t \otimes w \mid w \in W\}$ .

For  $g \in G$  and  $w \in W$  define  $g \otimes w$  as follows. Write  $g = th$  and set  $g \otimes w := t \otimes hw$ . Note (exercise):

$$g \otimes xw = gx \otimes w \quad \text{for all } g \in G, x \in H, w \in W.$$

The usual notation for what we have constructed is  $V = \mathbb{C}G \otimes_{\mathbb{C}H} W$ .

The action of  $G$  on  $V$  is defined as follows. Every element of  $V$  can uniquely be written  $\sum_{t \in T} t \otimes w_t$  where  $w_t \in W$ . We put

$$g \sum_{t \in T} t \otimes w_t := \sum_{t \in T} (gt) \otimes w_t.$$

This makes  $V$  into a  $\mathbb{C}G$ -module. It is clear that  $\{t \otimes W \mid t \in T\}$  is an imprimitivity decomposition of  $V$ . Also  $H = \text{Stab}_G(1 \otimes W)$  and  $W \cong 1 \otimes W$  as  $\mathbb{C}H$ -modules (exercise). The proof is complete.  $\square$

See exercise 8.13 for a different proof of proposition 149.

In the notation of proposition 149 we have  $V \cong W^G$  by proposition 148, provided that  $V$  is finite-dimensional.

### 8.4 Exercises

**(8.2)** Let  $H \leq G$  be finite groups. Prove  $((1_H)^G, 1_G)_G > 0$ .

**(8.3)** Let  $H \leq G$  be finite groups. Let  $C \subset G$  and  $D \subset H$  be conjugacy classes such that  $D \subset C$  and let  $g \in C$ . Prove that

$$\frac{\#\{x \in G \mid xgx^{-1} \in D\}}{\#G} = \frac{\#D}{\#C}.$$

**(8.4)** Let  $H \subset G$  be finite groups. Let  $q$  be an irreducible character of  $H$ . Prove that there exists an irreducible character  $p$  of  $G$  such that  $(p_H, q)_H > 0$ .

**(8.5)** Let  $H \subset G$  be finite groups. Let  $p \in \text{CF}(H)$  and  $q \in \text{CF}(G)$ . Prove that  $(p q_H)^G = p^G q$ .

**(8.6)** Let  $H, K, \leq G$  be finite groups such that  $G = HK := \{hk \mid h \in H, k \in K\}$ . Let  $p \in \text{CF}(H)$ . Prove  $(p^G)_K = (p_{H \cap K})^K$ .

**(8.7)** Let  $H, K \leq G$  be finite group. Let  $T \subset G$  be a subset such that  $G$  is the disjoint union of the  $HtK$  where  $t$  ranges over  $T$ . Let  $p \in \text{CF}(H)$  and, for all  $t \in T$ , define  $p^t \in \text{CF}(t^{-1}Ht)$  by  $p^t(x) = p(txt^{-1})$ . Prove **Mackey's theorem**

$$(p^G)_K = \sum_{t \in T} ((p^t)_{t^{-1}Ht \cap K})^K.$$

Note: this generalizes exercise 8.6.

**(8.8)** Let  $H \leq G$  be finite groups and  $p$  a character of  $H$ . Let  $K \leq G$  and assume that  $(p^G)_K$  is an irreducible character of  $K$ . Prove that  $HK = G$ .

Hint: use Mackey's theorem.

(8.9) Let  $H$  be a normal subgroup of a finite group  $G$  and  $p \in \text{CF}(H)$ . Let  $C$  be a conjugacy class of  $G$ . Let  $D_1, \dots, D_k$  be the conjugacy classes of  $H$  that are contained in  $C$  and assume  $k > 0$ . Prove that

$$p^G(C) = \frac{[G:H]}{k} \sum_{i=1}^k p(D_i).$$

(8.10) Prove the last equality sign in the proof of proposition 148.

(8.11) Fill the gaps in the proof of proposition 149.

(8.12) Give an example of a nontrivial group  $G$  and a character  $q$  of  $G$  such that there is no subgroup  $H < G$  and a character  $p$  of  $H$  with  $q = p^G$ .

(8.13) The proof of proposition 149 is somewhat disappointing in that it depends on the choice of a transversal  $T$ . Here is a construction that doesn't depend on such a choice.

Put

$$V = \{u: G \rightarrow W \mid u(xy) = x(uy) \text{ for all } x \in H, y \in G\}.$$

(a) Prove that this is a vector space under the pointwise operations  $(au + bv)(x) := a \cdot u(x) + b \cdot v(x)$  ( $a, b \in \mathbb{C}, u, v \in V^G, x \in G$ ).

(b) Prove that  $V$  is a  $\mathbb{C}G$ -module by putting

$$\begin{aligned} G \times V &\longrightarrow V \\ (g, u) &\longmapsto gu, \quad (gu)(x) := u(xg) \text{ for all } x \in G. \end{aligned}$$

(c) Let  $H \backslash G = \{H_i \mid 1 \leq i \leq m\}$  be the cosets and assume  $H_1 = H$ . Let  $W_i := \{u \in V \mid u(H_j) = \{0\} \text{ whenever } i \neq j\}$ . Prove that  $(W_1, \dots, W_m)$  is an imprimitivity decomposition of  $V$ .

(d) Prove that  $H = \text{Stab}_G(W_1)$  and  $W \cong W_1$  as  $\mathbb{C}H$ -modules.

(8.14) Let  $G$  be a finite group and  $V$  a  $\mathbb{C}G$ -module. Let  $A \subset V$  be a  $G$ -invariant basis (that is,  $gx \in A$  for all  $g \in G, x \in A$ ). Let  $a \in A$  and consider the subgroup  $H = \{h \in G \mid ha = a\}$ . Prove that the character of  $V$  is  $(1_H)^G$ .

(8.15) Let  $H \leq K \leq G$  be finite groups and  $p$  a class function on  $H$ . Prove  $(p^K)^G = p^G$ .

(8.16) Let  $H \leq G$  be finite groups and  $p$  a nonzero character of  $H$ . Prove that

$$\ker(p^G) = \bigcap_{x \in G} x^{-1}(\ker p)x.$$

Where does your proof break down if  $p = 0$ ?

(8.17) In this exercise you will compute the character table for  $G := S_5$  by induction from  $A_5$  and  $S_4$ . Recall the **permutation character**  $\chi^p$  which is the character of the representation  $\rho^p: S_n \rightarrow \text{GL}(n, \mathbb{C})$  defined by  $(\rho^p s)e_i = e_{si}$  for all  $s \in S_n$ .

(a) For each conjugacy class in  $S_5$ , find its cardinality and give one element.

(b) Find two linear characters  $\chi_1, \chi_2$  of  $S_5$  where  $\chi_1$  is trivial.

(c) Compute  $\chi_3 := \chi^p - \chi_1$  and  $\chi_4 := \chi_3 \chi_2$  and prove that they are irreducible characters.

(d) Choose an irreducible character  $\phi$  of  $A_5$  of degree 3. Compute  $\chi_5 := \phi^G$  and prove that it is irreducible.

(e) Let  $\mu$  be the following irreducible character of  $S_4$ :

		1	(12)	(12)(34)	(123)	(1234)
$\mu$		3	-1	-1	0	1

Compute  $\chi_6 := \mu^G - \chi_5 - \chi_4$  and prove that it is an irreducible character.

(f) Finish the character table.

## 9 Algebraic integers and Burnside's $p^a q^b$ theorem

### 9.1 Introduction

It is easy to show that the only abelian finite simple groups are the cyclic groups of prime order. Also, if the order of a finite simple group  $G$  is  $p^a$  where  $p$  is a prime number, then  $\#G = p$ . See exercises 9.2 and 9.4.

In 1904 Burnside proved that the order of a finite simple group cannot be of the form  $p^a q^b$  where  $p, q$  are distinct prime numbers and  $a, b > 0$ . It is remarkable that his proof made use of character theory though the statement doesn't mention them. Later on people found different proofs of Burnside's theorem not using character theory but they are much harder.

We begin by summarizing the necessary background on algebraic integers without proof. Then we give Burnside's proof.

### 9.2 Algebraic integers

*Definition/Lemma 150.*

- (a) A polynomial  $f = \sum_{k=0}^n a_k x^k$  ( $a_n \neq 0$ ) is said to be **monic** if  $a_n = 1$ .
- (b) A complex number is said to be an **algebraic number** if it is a root of a nonzero polynomial in  $\mathbb{Q}[x]$ . The set of algebraic numbers is written  $\overline{\mathbb{Q}}$ .
- (c) A complex number is said to be an **algebraic integer** if it is a root of a monic polynomial in  $\mathbb{Z}[x]$ . The set of algebraic integers is written  $\mathbb{I}$ .
- (d) **Lemma.** Let  $\alpha \in \overline{\mathbb{Q}}$ . Then there exists a unique monic polynomial  $f \in \mathbb{Q}[x]$  of minimal degree such that  $f(\alpha) = 0$ . We call  $f$  the **minimal polynomial** of  $\alpha$  (over  $\mathbb{Q}$ ).
- (e) Two algebraic numbers are said to be **(algebraically) conjugate** (over  $\mathbb{Q}$ ) if they have the same minimal polynomial. □

*Example 151.* Let  $T \in M(n, \mathbb{Z})$ . Then every complex eigenvalue of  $T$  is in  $\mathbb{I}$  because it is a root of  $\det(x I_n - T)$  which is a monic polynomial in  $\mathbb{Z}[x]$ .

The following theorem collects the results about algebraic integers used in the proof of Burnside's theorem. We shall use these results without proving them. The proofs belong to algebraic number theory or Galois theory.

**Theorem 152.**

- (a)  $\overline{\mathbb{Q}}$  is a subfield of  $\mathbb{C}$ .
- (b)  $\mathbb{I}$  is a subring of  $\mathbb{C}$ .
- (c)  $\mathbb{I} \cap \mathbb{Q} = \mathbb{Z}$ .
- (d) Let  $\alpha \in \overline{\mathbb{Q}}$  and  $f \in \mathbb{Q}[x]$  be such that  $f(\alpha) = 0$ . Then the minimal polynomial of  $\alpha$  divides  $f$  in  $\mathbb{Q}[x]$ .

- (e) The minimal polynomial of an algebraic integer is in  $\mathbb{Z}[x]$ .
- (f) Let  $\alpha, \beta \in \overline{\mathbb{Q}}$ . Then every conjugate to  $\alpha + \beta$  is of the form  $\alpha' + \beta'$  for some conjugate  $\alpha'$  to  $\alpha$  and some conjugate  $\beta'$  to  $\beta$ . Same for multiplication instead of addition.  $\square$

*Example 153.* (a). Every complex root of unity  $\omega$  is an algebraic integer. Indeed, write  $\omega^n = 1$  where  $n > 0$ . Then  $\omega$  is a root of the monic polynomial  $f = x^n - 1 \in \mathbb{Z}[x]$ .

(b). Using the foregoing notation, let  $\varepsilon$  be a conjugate to  $\omega$ . We shall prove that then  $\varepsilon$  is again a root of unity. Let  $g$  be the minimal polynomial of  $\omega$ . By theorem 152(d)  $g \mid f$  in  $\mathbb{Q}[x]$ . But  $g$  is also the minimal polynomial of  $\varepsilon$ . So  $f(\varepsilon) = 0$  and  $\varepsilon \in \mathbb{I}$ .

(c). The following converse to (b) holds. Let  $\alpha, \beta$  be complex roots of unity. Then  $\alpha, \beta$  are conjugate if and only if each generates the same multiplicative group:  $\langle \alpha \rangle = \langle \beta \rangle$ . We shall not prove or use this.

**Exercise (9.1)** Find  $\alpha, \alpha', \beta, \beta' \in \overline{\mathbb{Q}}$  such that  $\alpha, \alpha'$  are conjugate and  $\beta, \beta'$  are conjugate but  $\alpha + \beta$  is not conjugate to  $\alpha' + \beta'$ . So the converse to theorem 152(f) is false.

### 9.3 Burnside's theorem

**Lemma 154.** Let  $\chi$  be a character of a finite group  $G$  and  $g \in G$ .

- (a)  $\chi(g) \in \mathbb{I}$ .
- (b) If  $\chi$  is irreducible then  $\frac{\chi(g)}{\chi(1)} \#g^G \in \mathbb{I}$ .

*Proof.* (a). By lemma 101 there are roots of unity  $\omega_1, \dots, \omega_k$  such that  $\chi(g) = \omega_1 + \dots + \omega_k$ . We have seen that  $\omega_i \in \mathbb{I}$ . Also  $\mathbb{I}$  is a ring by theorem 152(b) so  $\chi(g) \in \mathbb{I}$ .

(b). Write  $\chi = \chi_\rho$ ,  $\deg \chi = n$ . For any representation  $\sigma$  of  $G$ , write

$$T(\sigma) = \sum_{h \in g^G} \sigma(h)$$

and put  $T = T(\rho)$ . Then  $T$  is an intertwiner from  $\rho$  to itself because for all  $x \in G$

$$\begin{aligned} \rho(x) T &= \rho(x) \sum_{h \in g^G} \rho(h) = \sum_{h \in g^G} \rho(xh) \\ &= \sum_{f \in g^G} \rho(fx) = \left( \sum_{f \in g^G} \rho(f) \right) \rho(x) = T \rho(x). \end{aligned}$$

Since  $\rho$  is irreducible, Schur's lemma implies  $T = \alpha \cdot I_n$  for some  $\alpha \in \mathbb{C}$ , where  $I_n$  is the  $n \times n$  identity matrix. Also

$$n \alpha = \text{tr } \alpha \cdot I_n = \text{tr } T = \text{tr} \sum_{h \in g^G} \rho(h) = \#g^G \chi(g)$$

so

$$\alpha = \frac{\chi(g)}{\chi(1)} \#g^G.$$

It remains to prove that  $\alpha \in \mathbb{I}$ . But  $\rho^{\text{reg}} \sim \rho \oplus \sigma$  for some representation  $\sigma$  and  $\alpha$  is an eigenvalue of  $T(\rho)$  hence of  $T(\rho^{\text{reg}})$ . Now  $T(\rho^{\text{reg}})$  is in  $M(m, \mathbb{Z})$  where  $m = \#G$  so its eigenvalues, including  $\alpha$ , are in  $\mathbb{I}$  by example 151.  $\square$

As a diversion we present the following theorem which will not be used in the proof of Burnside's theorem.

**Theorem 155.** *Let  $\chi$  be an irreducible character of a finite group  $G$ . Then  $\chi(1)$  divides  $\#G$ .*

*Proof.* Let  $C_1, \dots, C_k$  be the conjugacy classes of  $G$ . By row orthogonality (theorem 126) we have

$$\sum_{j=1}^k \#C_j \chi(C_j) \bar{\chi}(C_j) = \#G.$$

It follows that

$$\sum_{j=1}^k \left( \#C_j \frac{\chi(C_j)}{\chi(1)} \right) \bar{\chi}(C_j) = \frac{\#G}{\chi(1)}.$$

The left hand side is in  $\mathbb{I}$  by lemma 154 and because  $\mathbb{I}$  is a ring. Therefore the right hand side is in  $\mathbb{I} \cap \mathbb{Q}$ , which is  $\mathbb{Z}$ .  $\square$

We return to the proof of Burnside's theorem.

**Proposition 156.** *Let  $\rho$  be an irreducible representation of  $G$  of degree  $n$  and let  $g \in G$ . If  $\gcd(n, \#g^G) = 1$  then  $\chi_\rho(g) = 0$  or  $\rho(g)$  is a scalar matrix.*

*Proof.* Write  $\lambda = \frac{1}{n} \chi_\rho(g)$ . There are  $a, b \in \mathbb{Z}$  such that  $an + b\#g^G = 1$ . Multiplying with  $\lambda$  we get

$$a \chi_\rho(g) + b \frac{\chi_\rho(g)}{n} \#g^G = \frac{\chi_\rho(g)}{n} = \lambda.$$

The left hand side is in  $\mathbb{I}$  by lemma 154. Therefore  $\lambda \in \mathbb{I}$ .

Let  $\omega_1, \dots, \omega_n$  be the eigenvalues of  $\rho(g)$ . Then  $\lambda = \frac{1}{n}(\omega_1 + \dots + \omega_n)$ . Also the  $\omega_i$  are roots of unity so they lie on the unit circle and  $0 \leq |\lambda| \leq 1$ .

**Case 1:**  $\lambda = 0$ . Then there is nothing to prove.

**Case 2:**  $|\lambda| = 1$ . Then the  $\omega_i$  are all equal and  $\rho(g)$  is a scalar matrix as required.

**Case 3:**  $0 < |\lambda| < 1$ . We shall deduce a contradiction from this. Let  $f$  be the minimal polynomial of  $\lambda$ :  $f = \prod_{i=1}^k (x - \lambda_i) \in \mathbb{Z}[x]$ , say  $\lambda = \lambda_1$ . Note that  $\lambda_i$  is conjugate to  $\lambda$  for all  $i$ . Then  $f \in \mathbb{Z}[x]$  by theorem 152(e) and because  $\lambda$  is an algebraic integer. It follows that  $\lambda_1 \cdots \lambda_k = (-1)^k f(0) \in \mathbb{Z}$ .

Let  $1 \leq i \leq k$ . Then  $\lambda_i$  is a conjugate to  $\lambda$  so by theorem 152(f) it is of the form  $\frac{1}{n}(\varepsilon_1 + \dots + \varepsilon_n)$  where  $\varepsilon_i$  is a conjugate to  $\omega_i$ . But a conjugate to a root of unity is again a root of unity (example 153) so  $0 < |\lambda_i| \leq 1$  as before.

Taking the product over all  $i$  and using the fact that  $|\lambda_1| < 1$  we find  $0 < |\lambda_1 \cdots \lambda_k| < 1$ , which is the promised contradiction.  $\square$

**Proposition 157.** *Let  $p$  be a prime number and  $r \in \mathbb{Z}_{>0}$ . Then there doesn't exist a nonabelian finite simple group with a conjugacy class of size  $p^r$ .*

*Proof.* Let  $G$  be a nonabelian finite simple group and let  $g \in G$  be such that  $\#g^G = p^r$ . Note that  $g \neq 1$ .

Let  $\chi_1, \dots, \chi_k$  be the irreducible characters of  $G$  with  $\chi_1$  trivial. Suppose  $\chi_i$  is the character of a representation  $\rho_i$ . Since  $G$  is simple,  $\rho_i$  is injective unless  $i = 1$ .

We claim that if  $i \neq 1$  then  $\rho_i(g)$  is not a scalar matrix. Indeed if it is then the group  $\text{im}(\rho_i)$  contains a nontrivial central element  $\rho_i(g)$ , contradicting the fact that  $\text{im}(\rho_i)$  is isomorphic to  $G$  and hence simple.

By proposition 156, if  $i \neq 1$  and  $p$  does not divide  $\deg \rho_i$  then  $\chi_i(g) = 0$ .

Consider now orthogonality of columns  $g$  and  $1$ :

$$0 = \sum_{i=1}^k \chi_i(g) \deg \rho_i = 1 + \sum_{\substack{i \neq 1 \\ p \mid \deg \rho_i}} \chi_i(g) \deg \rho_i.$$

It follows that

$$\sum_{\substack{i \neq 1 \\ p \mid \deg \rho_i}} \chi_i(g) \frac{\deg \rho_i}{p} = \frac{-1}{p}.$$

But  $\chi_i(g) \in \mathbb{I}$  by lemma 154 so the left hand side is in  $\mathbb{I}$ . Therefore  $\frac{-1}{p}$  is in  $\mathbb{I} \cap \mathbb{Q}$  which is  $\mathbb{Z}$ . This contradiction finishes the proof.  $\square$

**Theorem 158: Burnside's  $p^a q^b$  theorem.** *Let  $p, q$  be distinct prime numbers and  $a, b \in \mathbb{Z}_{\geq 0}$ . Then there doesn't exist a nonabelian simple group of order  $p^a q^b$ .*

*Proof.* Let  $G$  be a nonabelian simple group of order  $p^a q^b$ . We have  $Z(G) \trianglelefteq G$ . As  $G$  is simple we must have  $Z(G) = 1$  or  $Z(G) = G$ . As  $G$  is nonabelian  $Z(G) = 1$ . Therefore there is exactly one conjugacy class of size 1, namely  $\{1\}$ .

Let  $C_1, \dots, C_k$  be the conjugacy classes of  $G$  with  $C_1 = \{1\}$ . Note that  $\#C_i$  divides  $\#G$  (exercise 9.3). Therefore  $pq \mid \#C_i$  if  $i \neq 1$  by proposition 157. After interchanging  $p$  and  $q$  if necessary we have  $p \mid \#G$  so

$$p \mid \#G - \left( \sum_{i=2}^k \#C_i \right) = 1.$$

This is a contradiction and finishes the proof.  $\square$

## 9.4 Exercises

(9.2) Let  $G$  be an abelian finite group. Prove that  $G$  is simple if and only if  $\#G$  is a prime number.

(9.3) Let  $C$  be a conjugacy class in a finite group  $G$ . Prove that  $\#C$  divides  $\#G$ .

(9.4) Let  $G$  be a simple group whose order is a power of a prime number. Without using the results of this chapter, prove that  $G$  is abelian. Hint: use exercise 9.3.

(9.5) Let  $\chi$  be an irreducible character of a finite group  $G$ . Use the results of exercise 5.16 to give another proof that  $\deg \chi$  divides  $\#G$ .

(9.6) Give another proof of part (b) of lemma 154(b) (stating that if  $\chi$  is an irreducible character of a finite group  $G$  and  $g \in G$  then  $\chi(1)^{-1} \chi(g) \#G \in \mathbb{I}$ ) by using the Frobenius formula proved in exercise 6.3.

## 10 Tensor products

### 10.1 The universal property for tensor products

**Definition 159.** Let  $U, V, W$  be vector spaces (over  $\mathbb{C}$ ). A map  $r: U \times V \rightarrow W$  is said to be **bilinear** if

$$\begin{aligned} r(au + bv, x) &= ar(u, x) + br(v, x), \\ r(u, ax + by) &= ar(u, x) + br(u, y) \end{aligned}$$

for all  $u, v \in U, x, y \in V, a, b \in \mathbb{C}$ . In words,  $r(x, y)$  is linear in  $x$  if  $y$  is fixed and it is linear in  $y$  if  $x$  is fixed.

**Exercise (10.1)**

- (a) Let  $m, n \geq 0$ . Let  $a_{ij} \in \mathbb{C}$  for all  $(i, j) \in \{1, \dots, m\} \times \{1, \dots, n\}$  and define  $r: \mathbb{C}^m \times \mathbb{C}^n \rightarrow \mathbb{C}$  by

$$r\left(\begin{pmatrix} x_1 \\ \vdots \\ x_m \end{pmatrix}, \begin{pmatrix} y_1 \\ \vdots \\ y_n \end{pmatrix}\right) = \sum_{i=1}^m \sum_{j=1}^n a_{ij} x_i y_j.$$

Prove that  $r$  is bilinear.

- (b) Prove that, conversely, every bilinear map  $\mathbb{C}^m \times \mathbb{C}^n \rightarrow \mathbb{C}$  is of this form.  
 (c) What about bilinear maps  $\mathbb{C}^m \times \mathbb{C}^n \rightarrow \mathbb{C}^k$ ?

**Exercise (10.2)** Let  $U_1, U_2, V_1, V_2, W, X$  be vector spaces. Let

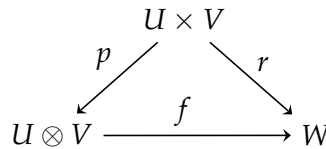
$$f: U_1 \rightarrow U_2, \quad g: V_1 \rightarrow V_2, \quad h: W \rightarrow X$$

be linear maps and  $r_2: U_2 \times V_2 \rightarrow W$  bilinear. Prove that the map

$$r_1: U_1 \times V_1 \rightarrow X: \quad r_1(x, y) = h(r_2(fx, gy))$$

is also bilinear.

**Definition 160: Tensor product.** Let  $U, V$  be vector spaces. A **tensor product** of  $U, V$  is a vector space  $U \otimes V$  together with a bilinear map  $p: U \times V \rightarrow U \otimes V$  with the following property, the **universal property**. Let  $W$  be a vector space and  $r: U \times V \rightarrow W$  a bilinear map. Then there exists a unique linear map  $f: U \otimes V \rightarrow W$  such that  $r = f \circ p$ .



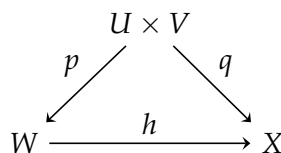
We write  $u \otimes v$  instead of  $p(u, v)$ . □

The notation  $u \otimes v$  is almost always preferred over  $p(u, v)$ . The expressions  $u \otimes v$  are sometimes called pure tensors.

We often say “ $U \otimes V$  is a tensor product of  $U$  and  $V$ ” though we should really say “ $(U \otimes V, p)$  is a tensor product of  $U$  and  $V$ ”. The map  $p$  is part of it. It doesn’t do too much harm not to mention  $p$  because we have the notation  $u \otimes v$  for  $p(u, v)$ . Sometimes we call  $p$  the **natural map**.

In the above definition we have to write *a tensor product* rather than *the tensor product* because it is not unique: one can always replace it by an isomorphic copy. But it is unique in the sense of the following theorem.

**Theorem 161.** Let  $U, V$  be vector spaces. Then there exists a tensor product  $p: U \times V \rightarrow U \otimes V$ . Moreover it is unique in the following sense. Let  $p: U \times V \rightarrow W$  and  $q: U \times V \rightarrow X$  be tensor products. Then there exists a unique bijective linear map  $h: W \rightarrow X$  such that  $q = h \circ p$ .



*Proof.* See section 10.2. □

*Remark 162.* The most general kind of tensor products (outside our scope) are as follows. Let  $R$  be an associative ring with centre  $S$ . Let  $U$  be a right  $R$ -module and  $V$  a left  $R$ -module. A tensor product is then an  $S$ -module  $U \otimes_R V$  together with an  $S$ -bilinear map  $p: U \times V \rightarrow U \otimes_R V$  such that  $p(ua, v) = p(u, av)$  for all  $(u, v) \in U \times V$ ,  $a \in R$  and satisfying the following universal property.

Let  $W$  be an  $S$ -module and  $r: U \times V \rightarrow W$  an  $S$ -bilinear map such that  $r(ua, v) = r(u, av)$  for all  $(u, v) \in U \times V$ ,  $a \in R$ . Then there exists a unique  $S$ -linear map  $f: U \otimes_R V \rightarrow W$  such that  $r = f \circ p$ . □

Understanding tensor products means knowing how to use theorem 161 and the universal property rather than how to prove theorem 161. So before we prove it we look at many examples.

*Example 163.* Let  $U, V$  be vector spaces and fix a tensor product  $U \otimes V$ . Let  $f: U \rightarrow \mathbb{C}$  and  $g: V \rightarrow \mathbb{C}$  be linear maps. Prove that there exists a unique linear map  $h: U \otimes V \rightarrow \mathbb{C}$  such that  $h(u \otimes v) = f(u)g(v)$  for all  $(u, v) \in U \times V$ .

*Solution.* Consider the map  $r: U \times V \rightarrow \mathbb{C}$  defined by  $r(u, v) = f(u)g(v)$ .

We claim that  $r$  is bilinear. Let  $x, y \in U$ ,  $v \in V$  and  $a, b \in K$ . Then

$$\begin{aligned} r(ax + by, v) &= f(ax + by)g(v) = (af(x) + bf(y))g(v) \\ &= af(x)g(v) + bf(y)g(v) = ar(x, v) + br(y, v). \end{aligned}$$

Likewise  $r(u, v)$  is linear in  $v$  if  $u$  is fixed. This proves our claim that  $r$  is bilinear.

Let  $p: U \times V \rightarrow U \otimes V$  be the natural map,  $p(u, v) = u \otimes v$ . By the definition of tensor product (the universal property) there exists a unique linear map  $h: U \otimes V \rightarrow \mathbb{C}$  such that  $r = h \circ p$ . The latter means precisely  $f(u)g(v) = h(u \otimes v)$  for all  $(u, v) \in U \times V$ . This finishes the proof. □

*Example 164.* Let  $U, V$  be vector spaces. Let  $f: U \rightarrow U$  and  $g: V \rightarrow V$  be linear maps. Prove that there exists a unique linear map  $h: U \otimes V \rightarrow U \otimes V$  such that  $h(u \otimes v) = f(u) \otimes g(v)$  for all  $(u, v) \in U \times V$ . Notation:  $h = f \otimes g$ .

Note that in the above example we don't bother saying "let  $U \otimes V$  be a tensor product for  $U, V$ ". This is a bit sloppy but very common. It doesn't do too much harm because the tensor product is unique in the sense of theorem 161.

It is proved below in the proof of theorem 161 that there is a "natural" way of picking a tensor product for all pairs of vector spaces  $(U, V)$  (all other tensor products are only isomorphic to it) but it is convenient to ignore this. In any case, we can't do without the universal property.

*Solution.* Let  $p: U \times V \rightarrow U \otimes V$  be the natural map. Consider  $r: U \times V \rightarrow U \otimes V$ :  $r(u, v) = f(u) \otimes g(v) = p(f(u), g(v))$ .

Prove yourself that  $r$  is bilinear (use that  $p$  is bilinear). By the universal property of tensor products, there is a unique linear map  $h: U \otimes V \rightarrow U \otimes V$  such that  $r = h \circ p$ . The latter means precisely that  $h(u \otimes v) = f(u) \otimes g(v)$  for all  $u \in U$ ,  $v \in V$  and the proof is finished. □

*Example 165.* Let  $U, V$  be vector spaces. Prove that  $U \otimes V$  is spanned by  $\{u \otimes v \mid (u, v) \in U \times V\}$ .

This is in fact already proved in the proof of theorem 161 but we'd like to prove it here directly from the universal property.



*Solution.* Let  $p: U \times V \rightarrow U \otimes V$  be the natural map. Let  $K$  be the span of the image of  $p$ . Choose a subspace  $L \subset U \otimes V$  such that  $U \otimes V = K \oplus L$ . We must prove  $L = 0$ .

Let  $r: U \times V \rightarrow L$  be the zero map; it is certainly bilinear. Let  $f_1: U \otimes V = K \oplus L \rightarrow L$  be the projection on  $L$ , that is,  $f_1(k, \ell) = \ell$  for all  $(k, \ell) \in K \times L$ . Let  $f_2: U \otimes V \rightarrow L$  be the zero map.

Then  $f_i$  is a linear map satisfying  $r = f_i \circ p$ , for all  $i$ . But the universal property says that such maps are unique. Therefore  $f_1 = f_2$ , that is,  $L = 0$ . This finishes the proof.  $\square$

*Example 166.* Let  $G$  be a group and let  $U, V$  be  $\mathbb{C}G$ -modules. Prove that there exists a unique way to make  $U \otimes V$  into a  $\mathbb{C}G$ -module such that

$$g(u \otimes v) = g(u) \otimes g(v) \quad \text{for all } (u, v) \in U \times V. \quad (167)$$

*Solution.* From example 164 it follows immediately that for every  $g \in G$  there exists a unique linear map  $L_g: U \otimes V \rightarrow U \otimes V$  such that

$$L_g(u \otimes v) = g(u) \otimes g(v) \quad \text{for all } (u, v) \in U \times V.$$

It is trivial that  $L_1 = \text{id}$ . The result will follow once we can prove

$$L_{gh} = L_g L_h \quad \text{for all } g, h \in G \quad (168)$$

because it implies that  $U \otimes V$  becomes a  $\mathbb{C}G$ -module by putting  $gx := L_g(x)$  for all  $g \in G, x \in U \otimes V$ .

In order to prove (168), let  $g, h \in G$ . For all  $(u, v) \in U \times V$  we have

$$\begin{aligned} L_{gh}(u \otimes v) &= (gh)u \otimes (gh)v = g(hu) \otimes g(hv) \\ &= L_g(hu \otimes hv) = L_g L_h(u \otimes v). \end{aligned}$$

This proves  $L_{gh}(x) = L_g L_h(x)$  if  $x$  is a pure tensor  $u \otimes v$ . But  $U \otimes V$  is spanned by the pure tensors by example 165 and  $L_g, L_h$  are linear. This proves (168).  $\square$

**Exercise (10.3)** If  $U, V$  are vector spaces, let  $\text{Hom}(U, V)$  denote the set of linear maps  $U \rightarrow V$ . This is a vector space by the pointwise operations  $(af + bg)(x) = af(x) + bg(x)$  ( $a, b \in \mathbb{C}, x \in U, f, g \in \text{Hom}(U, V)$ ).

Let  $U, V, W$  be vector spaces. Prove that there exists a unique linear map

$$\text{Hom}(V, W) \otimes \text{Hom}(U, V) \rightarrow \text{Hom}(U, W)$$

taking  $S \otimes T$  to  $S \circ T$  for all  $(S, T) \in \text{Hom}(V, W) \times \text{Hom}(U, V)$ .

## 10.2 Existence and uniqueness for tensor products

We now prove theorem 161.

**Unicity of the tensor product.** Note that  $p: U \times V \rightarrow W$  and  $q: U \times V \rightarrow X$  are bilinear. We apply the universal property to the tensor product  $p: U \times V \rightarrow W$  together with the bilinear map  $q: U \times V \rightarrow X$ . It says that there exists a unique linear map  $h: W \rightarrow X$  such that  $q = h \circ p$ .

Note that we're not done yet proving unicity. We must still prove that  $h$  is bijective.

By reversing the roles of  $p, q$  we find that there exists a unique linear map  $g: X \rightarrow W$  such that  $p = g \circ q$ . It follows that  $g \circ h: W \rightarrow W$  is a linear map such that  $p = g \circ h \circ p$ .

Now apply the universal property to the tensor product  $p: U \times V \rightarrow W$  and the bilinear map  $p: U \times V \rightarrow W$ . It states that there exists a *unique* linear map  $\ell: U \otimes V \rightarrow W$  such that  $p = \ell \circ p$ . But we know two such maps  $\ell$ , namely  $g \circ h$  and identity. It follows that  $g \circ h$  is identity.

A similar argument shows that  $h \circ g$  is also identity. Therefore  $h$  is bijective. This proves unicity.

Existence of the tensor product. Let  $E$  be a vector space with basis  $U \times V$ . Let  $F$  be the subspace of  $E$  spanned by

$$\begin{aligned} & \{(au + bv, x) - a(u, x) - b(v, x) \mid a, b \in \mathbb{C}, u, v \in U, x \in V\} \\ & \cup \{(u, ax + by) - a(u, x) - b(u, y) \mid a, b \in \mathbb{C}, u \in U, x, y \in V\}. \end{aligned}$$

Put  $U \otimes V := E/F$ . The natural map  $E \rightarrow E/F$  will be written  $e \mapsto e + F$  or  $e \mapsto h(e)$ . Define  $p: U \times V \rightarrow U \otimes V$  by

$$p(u, v) = (u, v) + F = h(u, v) \quad \text{for all } (u, v) \in U \times V.$$

Recall that  $u \otimes v$  is another notation for  $p(u, v)$ .

First we prove that  $p$  is bilinear. Let  $a, b \in \mathbb{C}, u, v \in U, x \in V$ . Then

$$\begin{aligned} & p(au + bv, x) - a p(u, x) - b p(v, x) \\ &= h(au + bv, x) - a h(u, x) - b h(v, x) \\ &= h((au + bv, x) - a(u, x) - b(v, x)) = 0. \end{aligned}$$

Likewise  $p(u, v)$  is linear in  $u$  if  $v$  is fixed. This proves that  $p$  is bilinear.

It remains to prove the universal property. Let  $W$  be a vector space and  $r: U \times V \rightarrow W$  bilinear. We need to prove that there exists a unique linear map  $f: U \otimes V \rightarrow W$  such that  $r = f \circ p$ .

Unicity of  $f$ . Note that  $E$  is spanned by  $U \times V$ . Therefore  $E/F$  (that is,  $U \otimes V$ ) is spanned by the image of the natural map  $U \times V \rightarrow E/F$  which is  $\{u \otimes v \mid (u, v) \in U \times V\}$ . There is only one choice for the values of  $f$  on this spanning set because for all  $(u, v) \in U \times V$  we have  $f(u \otimes v) = (f \circ p)(u, v) = r(u, v)$ . This proves unicity of  $f$ .

Existence of  $f$ . Note that  $U \times V$  is a basis for  $E$ . Therefore there is a linear map  $g: E \rightarrow W$  such that  $g(u, v) = r(u, v)$  for all  $(u, v) \in U \times V$ .

We claim

$$F \subset \ker g. \tag{169}$$

Let  $a, b \in \mathbb{C}, u, v \in U, x \in V$ . Then

$$\begin{aligned} & g((au + bv, x) - a(u, x) - b(v, x)) \\ &= r(au + bv, x) - a r(u, x) - b r(v, x) = 0. \end{aligned}$$

Likewise for the second kind of generators of  $F$ . This proves (169).

From (169) it follows that there exists a linear map  $f: E/F \rightarrow W$  such that  $f(e + F) = g(e)$  for all  $e \in E$ . In particular, for  $e = (u, v)$ , this means  $f(u \otimes v) = g(u, v)$ . In order to prove that this is the map  $f$  we're looking for, it suffices to observe that  $r = f \circ p$  because for all  $(u, v) \in U \times V$

$$r(u, v) = g(u, v) = f(u \otimes v) = (f \circ p)(u, v). \quad \square$$

### 10.3 Bases and tensor products

The bases for vector spaces used in this section are unordered and indexed; this means the following.

Let  $V$  be a (possibly infinite-dimensional) vector space. An indexed family  $(v_i \mid i \in I)$  of vectors in  $V$  is said to be an (unordered, indexed) **basis** of  $V$  if for every element  $v$  of  $V$  there are unique  $a_i \in \mathbb{C}$  ( $i \in I$ ), only finitely many being nonzero, such that  $v = \sum_i a_i v_i$ .

The notation  $(v_i \mid i \in I)$  knows by definition how often a vector appears in the family. But a basis cannot contain the same vector more than once. Therefore, if we are told that  $(v_i \mid i \in I)$  is a basis we may conclude that  $v_i \neq v_j$  whenever  $i \neq j$ . This is false if we are only given that the set  $\{v_i \mid i \in I\}$  is a basis, which explains why we use the notation  $(v_i \mid i \in I)$ .

Incidentally, unordered indexed bases are quite convenient for infinite-dimensional vector spaces. It is known that every (possibly infinite-dimensional) vector space has a basis in the foregoing sense.

**Theorem 170.** *Let  $U$  be a vector space with basis  $(u_i \mid i \in I)$ . Let  $V$  be a vector space with basis  $(v_j \mid j \in J)$ . These may be infinite-dimensional.*

- (a) *Then  $U \otimes V$  admits the basis  $(u_i \otimes v_j \mid (i, j) \in I \times J)$ .*  
 (b) *If  $U, V$  are finite-dimensional then  $\dim(U \otimes V) = \dim(U) \dim(V)$ .*

*Proof.* It is clear that (b) follows from (a). We prove (a).

Let  $W$  be a vector space with basis  $(w_{ij} \mid (i, j) \in I \times J)$ . Define

$$q: U \times V \rightarrow W, \quad q\left(\sum_{i \in I} a_i u_i, \sum_{j \in J} b_j v_j\right) = \sum_{(i,j) \in I \times J} a_i b_j w_{ij}.$$

Here only finitely many  $a_i$  and  $b_j$  are nonzero. We claim that  $q: U \times V \rightarrow W$  is a tensor product.

Prove yourself that  $q$  is bilinear. We must prove the universal property. Let  $r: U \times V \rightarrow X$  be bilinear. We must prove that there exists a unique linear map  $f: W \rightarrow X$  such that  $r = f \circ q$ .

Unicity of  $f$ . We have  $f(w_{ij}) = (f \circ q)(u_i, v_j) = r(u_i, v_j)$ . But the  $w_{ij}$  span  $W$  so  $f$  is unique.

Existence of  $f$ . Since  $(w_{ij} \mid (i, j) \in I \times J)$  is a basis of  $W$  there exists a unique linear map  $f: W \rightarrow X$  such that  $f(w_{ij}) = r(u_i, v_j)$  for all  $i, j$ . Let  $f$  be so defined. We have  $f \circ q = r$  because

$$\begin{aligned} (f \circ q)\left(\sum_{i \in I} a_i u_i, \sum_{j \in J} b_j v_j\right) &= f\left(\sum_{(i,j) \in I \times J} a_i b_j w_{ij}\right) = \sum_{(i,j) \in I \times J} a_i b_j f(w_{ij}) \\ &= \sum_{(i,j) \in I \times J} a_i b_j r(u_i, v_j) = r\left(\sum_{i \in I} a_i u_i, \sum_{j \in J} b_j v_j\right). \end{aligned}$$

Again only finitely many  $a_i$  and  $b_j$  are nonzero. This shows that  $f$  exists.

We have shown that  $q: U \times V \rightarrow W$  is a tensor product.

Recall that theorem 161 implies that the tensor product of  $U$  and  $V$  is unique up to some isomorphism. We may therefore ignore which tensor product our theorem is thinking of and instead use  $W$ , the one we have constructed. The statement of the theorem then becomes that  $(q(u_i, v_j) \mid (i, j) \in I \times J)$  is a basis for  $W$ . This is true by construction. The proof is complete.  $\square$

*Remark 171.* Hidden in the proof of theorem 170 there is an alternative proof that a tensor product of  $U$  and  $V$  exists. It is even a bit shorter than the first proof (in

the proof of theorem 161). The disadvantage of the construction in the proof of theorem 170 is that it doesn't generalize to tensor products over other rings than fields (outside our scope).

**Theorem 172.** *Let  $U, V$  be finite-dimensional vector spaces and let  $f: U \rightarrow U$  and  $g: V \rightarrow V$  be linear maps. Let  $f \otimes g: U \otimes V \rightarrow U \otimes V$  be the unique linear map such that  $(f \otimes g)(u \otimes v) = f(u) \otimes g(v)$  for all  $(u, v) \in U \otimes V$  (see example 164).*

(a) Write the characteristic polynomials of  $f$  and  $g$  as

$$\det(x - f) = \prod_{i \in I} (x - \alpha_i), \quad \det(x - g) = \prod_{j \in J} (x - \beta_j).$$

Then the characteristic polynomial of  $f \otimes g$  is  $\prod_{(i,j) \in I \times J} (x - \alpha_i \beta_j)$ .

(b)  $\text{tr}(f \otimes g) = \text{tr}(f) \text{tr}(g)$ .

*Proof.* Proof of (a). We only prove this if  $f, g$  are diagonalisable and leave the general case to you (exercise 10.4).

Let  $(u_i \mid i \in I)$  be a basis of  $U$  such that  $f(u_i) = \alpha_i u_i$  for all  $i$ . Likewise, let  $(v_j \mid j \in J)$  be a basis of  $V$  such that  $g(v_j) = \beta_j v_j$  for all  $j$ .

By theorem 170,  $(u_i \otimes v_j \mid (i, j) \in I \times J)$  is a basis for  $U \otimes V$ . The definition of  $f \otimes g$  implies that for all  $i, j$

$$(f \otimes g)(u_i \otimes v_j) = f(u_i) \otimes g(v_j) = (\alpha_i u_i) \otimes (\beta_j v_j) = \alpha_i \beta_j \cdot (u_i \otimes v_j).$$

This proves part (a). We deduce (b) from (a) as follows:

$$\text{tr}(f \otimes g) = \sum_{(i,j) \in I \times J} \alpha_i \beta_j = \left( \sum_{i \in I} \alpha_i \right) \left( \sum_{j \in J} \beta_j \right) = \text{tr}(f) \text{tr}(g). \quad \square$$

**Corollary 173.** *Let  $G$  be a group. Let  $U, V$  be  $\mathbb{C}G$ -modules and recall that then  $U \otimes V$  is a  $\mathbb{C}G$ -module. Recall that  $\chi_U$  denotes the character of  $U$ . Then  $\chi_{U \otimes V} = \chi_U \chi_V$ .*

*Proof.* Immediate from theorem 172(b). □

So the product of two characters is again a character.

## 10.4 Exercises

**(10.4)** Finish the proof of theorem 172(a) by handling the case where  $f$  and  $g$  may not be diagonalisable.

Hint for a first solution: put  $f, g$  into upper diagonal form.

Hint for a second solution: use that the set of diagonalisable matrices in  $\text{End}(U)$  is dense in your favourite sense.

**(10.5)** Let  $(u_i \mid i \in I)$  be vectors in a vector space  $U$ . Likewise, let  $(v_j \mid j \in J)$  be vectors in a vector space  $V$ . True or false?

- If the  $u_i$  are independent and the  $v_j$  are independent then the  $u_i \otimes v_j$  are independent.
- If the  $u_i \otimes v_j$  are independent then the  $u_i$  are independent or the  $v_j$  are independent.
- If the  $u_i \otimes v_j$  are independent then the  $u_i$  are independent.
- If the  $u_i$  span  $U$  and the  $v_j$  span  $V$  then the  $u_i \otimes v_j$  span  $U \otimes V$ .

- (e) If the  $u_i \otimes v_j$  span  $U \otimes V$  then the  $u_i$  span  $U$  or the  $v_j$  span  $V$ .  
 (f) If the  $u_i \otimes v_j$  span  $U \otimes V$  then the  $u_i$  span  $U$ .

(10.6) Let  $p, q$  be characters of a finite group  $G$ . Prove that if  $p$  is not irreducible then neither is  $pq$ .

(10.7) Find an example of irreducible characters  $p, q$  of a finite group  $G$  such that  $pq$  is not irreducible.

(10.8) Let  $G, H$  be groups. Let  $p$  be a character of  $G$  and  $q$  a character of  $H$ . Prove that the map

$$p * q: G \times H \longrightarrow \mathbb{C} \\ (g, h) \longmapsto p(g)q(h)$$

is a character of  $G \times H$ .

(10.9) Let  $U, V$  be finite-dimensional vector spaces. Let  $B(U, V)$  denote the set of bilinear maps  $U \times V \rightarrow \mathbb{C}$ .

- (a) Prove that  $B(U, V)$  becomes a vector space by the pointwise operations  $(ar + bs)(u, v) := ar(u, v) + bs(u, v)$  for all  $r, s \in B(U, V)$ ,  $a, b \in \mathbb{C}$ ,  $(u, v) \in U \times V$ .  
 (b) For a vector space  $W$ , define the **dual**  $W^*$  to be  $\text{Hom}(W, \mathbb{C})$ . Prove that there exists a unique bijective linear map  $f: U^* \otimes V^* \rightarrow B(U, V)$  such that  $(f(p \otimes q))(u, v) = p(u)q(v)$  for all  $(u, v) \in U \times V$ .

(10.10) See exercise 10.3 for the definition of  $\text{Hom}(U, V)$ . Fix a group  $G$ .

- (a) Let  $U, V$  be  $\mathbb{C}G$ -modules. Prove that a  $G$ -action on  $\text{Hom}(U, V)$  is obtained by putting  $(gL)(u) = gLg^{-1}(u)$  for all  $g \in G$ ,  $L \in \text{Hom}(U, V)$ ,  $u \in U$ .  
 (b) Let  $U, V, W$  be  $\mathbb{C}G$ -modules. Prove that there exists a unique homomorphism of  $\mathbb{C}G$ -modules  $\text{Hom}(V, W) \otimes \text{Hom}(U, V) \rightarrow \text{Hom}(U, W)$  taking  $S \otimes T$  to  $S \circ T$  for all  $(S, T) \in \text{Hom}(V, W) \times \text{Hom}(U, V)$ .  
 (c) Assume that  $G$  is finite and let  $U, V$  be finite-dimensional  $\mathbb{C}G$ -modules with characters  $\chi_U, \chi_V$ . Prove that the character of  $\text{Hom}(U, V)$  is  $\bar{\chi}_U \chi_V$ .  
 (d) See exercise 10.9 for the definition of dual vector space. Let  $U, V$  be  $\mathbb{C}G$ -modules. Prove that there exists a unique bijective homomorphism of  $\mathbb{C}G$ -modules  $L: U^* \otimes V \rightarrow \text{Hom}(U, V)$  such that  $(L(T \otimes v))u = T(u) \cdot v$  for all  $T \in U^*$ ,  $u \in U$ ,  $v \in V$ .

(10.11) Recall that  $U, V$  are  $\mathbb{C}G$ -modules then so are  $U \oplus V$  and  $U \otimes V$ . Let  $U, V, W$  be  $\mathbb{C}G$ -modules. Prove that there are isomorphisms of  $\mathbb{C}G$ -modules as follows. You cannot use that both sides have the same character because  $G$  is not assumed to be finite!

- (a)  $U \otimes V \cong V \otimes U$ .  
 (b)  $(U \otimes V) \otimes W \cong U \otimes (V \otimes W)$ .  
 (c)  $(U \oplus V) \otimes W \cong (U \otimes W) \oplus (V \otimes W)$ .

## 11 Appendix: Summary of linear algebra

### 11.1 Introduction

In this section we summarise linear algebra. We give all definitions and the most important results needed for our module. Proofs, simpler results and examples cannot

be found here.

This is not a place where you can learn linear algebra. The summary is too short for that.

Throughout we fix a field  $K$  (for example  $K = \mathbb{C}, \mathbb{R}, \mathbb{Q}, \mathbb{Z}/p$ ) whose elements are called **constants** or **scalars**.

## 11.2 Vector spaces

**Definition 174: Vector spaces.** A **vector space** over  $K$  is a non-empty set  $V$  together with maps  $V \times V \rightarrow V$  written  $(x, y) \mapsto x + y$  and  $K \times V \rightarrow V$  written  $(a, x) \mapsto ax$  such that

$$\begin{aligned} a(bx) &= (ab)x & (a+b)x &= ax + bx \\ a(x+y) &= ax + ay & (x+y) + z &= x + (y+z) \end{aligned}$$

for all  $a, b \in K$  and  $x, y, z \in V$ .

Every vector space  $V$  has a unique element  $0 = 0_V$  such that  $0_V + x = x + 0_V = x$  and  $0 \cdots x = 0_V$  for all  $x \in V$ .

We make  $K^n$  into a vector space over  $K$  by putting

$$\begin{aligned} (x_1, \dots, x_n) + (y_1, \dots, y_n) &:= (x_1 + y_1, \dots, x_n + y_n), \\ a(x_1, \dots, x_n) &:= (ax_1, \dots, ax_n). \end{aligned}$$

**Definition 175: Linear subspace.** Let  $V$  be a vector space over  $K$  and  $U \subset V$  a non-empty subset. We say that  $U$  is a **(linear) subspace** of  $V$  if  $ax + by \in U$  whenever  $a, b \in K$  and  $x, y \in U$ .

In particular,  $\{0\}$  is a linear subspace of  $V$ . It is usually simply written  $0$ .

**Theorem/Definition 176.** Let  $X, Y$  be subspaces of a vector space  $V$ . Then  $X \cap Y$  and  $X + Y := \{x + y \mid x \in X, y \in Y\}$  are again subspaces of  $V$ .

## 11.3 Basis, dimension

**Definition 177.** Let  $V$  be a vector space over  $K$ .

(a) A sequence  $(x_1, \dots, x_k)$  of elements of  $V$  is called **(linearly) independent** if

$$\sum_{i=1}^k a_i x_i = 0 \implies a_i = 0 \text{ for all } i$$

for all  $a_1, \dots, a_k \in K$ .

(b) A sequence  $(x_1, \dots, x_n)$  of elements of  $V$  is said to **span**  $V$  if  $V$  is spanned by the subspaces  $U_k = Kx_k := \{ax_k \mid a \in K\}$ .

(c) A sequence  $(x_1, \dots, x_n)$  of elements of  $V$  is called a **basis** of  $V$  if it is independent and spans  $V$ .

**Proposition 178: Basis.** Let  $V$  be a vector space spanned by finitely many vectors. Then  $V$  has a basis. Any two bases have the same number of elements.

**Definition 179.** Let  $V$  be a vector space spanned by finitely many vectors. The **dimension** of  $V$  is the number of elements in one (hence any, by proposition 178) basis.

The **standard basis** of  $K^n$  is  $(e_1, \dots, e_n)$  where  $e_i$  has a 1 in the  $i$ th slot and zeroes elsewhere.

**Proposition 180.** Let  $X, Y$  be finite-dimensional subspaces of a vector space  $V$ . Then

$$\dim(X \cap Y) + \dim(X + Y) = \dim(X) + \dim(Y).$$

### 11.4 Linear maps, matrices

**Definition 181: Linear map.** Let  $V, W$  be vector spaces over  $K$ . A **linear map**  $V \rightarrow W$  is a map  $f$  such that

$$f(ax + by) = af(x) + bf(y)$$

for all  $a, b \in K, x, y \in V$ . Let  $\text{Hom}(V, W)$  denote the set of linear maps  $V \rightarrow W$ .

**Proposition/Definition 182.** Let  $A = (a_1, \dots, a_v)$  be a basis of a vector space  $V$  and  $B = (b_1, \dots, b_w)$  a basis of a vector space  $W$ , both vector spaces over  $K$ . There is a unique bijection  $\text{Hom}(W, V) \rightarrow M_{v \times w}(K)$  written  $f \mapsto \langle A, f, B \rangle$  such that if  $c_{ij}$  are the entries of  $\langle A, f, B \rangle$  then

$$f(b_j) = \sum_{i=1}^v c_{ij} a_i$$

for all  $j \in \{1, \dots, w\}$ . We call

$$\langle A, f, B \rangle = (c_{ij})_{\substack{1 \leq j \leq w \\ 1 \leq i \leq v}} = \begin{pmatrix} c_{11} & c_{12} & \cdots & c_{1w} \\ c_{21} & c_{22} & \cdots & c_{2w} \\ \dots & \dots & \dots & \dots \\ c_{v1} & c_{v2} & \cdots & c_{vw} \end{pmatrix}$$

the **matrix of  $f$  with respect to bases  $A$  and  $B$** .

Let

$$A = (a_{ij})_{\substack{1 \leq i \leq p \\ 1 \leq j \leq q}}, \quad B = (b_{jk})_{\substack{1 \leq j \leq q \\ 1 \leq k \leq r}}, \quad C = (c_{ik})_{\substack{1 \leq i \leq p \\ 1 \leq k \leq r}}$$

be three matrices. We write  $AB = C$  provided

$$c_{ik} = \sum_{j=1}^q a_{ij} b_{jk}$$

for all  $i, k$ .

**Proposition 183.** Matrix multiplication is compatible with composition of linear maps in the sense that

$$\langle A, f, B \rangle \langle B, g, C \rangle = \langle A, fg, C \rangle.$$

The set  $M_n(K)$  of  $n \times n$  matrices is a non-commutative ring under matrix multiplication and addition.

If  $V$  is a vector space, let  $\text{End}(V)$  denote the set of **endomorphisms** of  $V$ , that is, linear maps from  $V$  to itself. Then  $\text{End}(V)$  is a non-commutative ring in which multiplication is given by composition and addition is defined by  $(f + g)(x) := f(x) + g(x)$ .

In fact, if  $V$  is  $n$ -dimensional and  $A$  is a basis of  $V$  then we have an isomorphism of rings  $\text{End}(V) \rightarrow M_n(K)$  given by  $f \mapsto \langle A, f, A \rangle$ .

Two elements  $X, Y$  of  $M_n(K)$  are called **similar** if there exists  $P \in \text{GL}(n, K)$  such that  $X = PYP^{-1}$ .

If  $A, B$  are two bases of a finite-dimensional vector space  $V$  and  $f \in \text{End}(V)$  then  $\langle A, f, A \rangle$  and  $\langle B, f, B \rangle$  are similar.

Let  $f: V \rightarrow W$  be a linear map. Its **kernel** is  $\ker f = \{x \in V \mid f(x) = 0\}$ . Its image is  $\text{im } f = \{f(x) \mid x \in V\}$ . Then  $\ker f$  is a subspace of  $V$  and  $\text{im } f$  is a subspace of  $W$ .

**Proposition 184.** *Let  $f: V \rightarrow W$  be a linear map and suppose that  $V$  is finite-dimensional. Then*

$$\dim \ker f + \dim \text{im } f = \dim V.$$

### 11.5 Determinants, characteristic polynomial

**Proposition/Definition 185.** *There exists a unique homomorphism  $\text{sign}: S_n \rightarrow \{-1, 1\}$  such that  $\text{sign}(i, j) = -1$  for all distinct  $i, j \in \{1, \dots, n\}$ .*

**Definition 186.** The **determinant** of an  $n \times n$  matrix  $A = (a_{ij})$  is

$$\det(A) = \sum_{s \in S_n} \text{sign}(s) \prod_{k=1}^n a_{k,s(k)}.$$

The **characteristic polynomial** of  $A$  is  $\det(t - A)$  where  $t$  is a variable.

**Proposition 187.** (a) *Let  $A, B \in M_n(K)$ . Then  $\det(AB) = \det(A) \det(B)$ .*

(b) *Let  $A \in M_n(K)$ . Then  $A$  is invertible if and only if  $\det(A) \neq 0$ .*

(c) *Any two similar matrices have the same characteristic polynomial. In particular, they have the same determinant.*

The group of invertible elements of  $M_n(K)$  is written  $\text{GL}(n, K)$  and called the **general linear group**.

**Proposition/Definition 188.** *Let  $A, B$  be two bases of a finite-dimensional vector space and let  $f: V \rightarrow V$  be a linear map. Then  $\langle A, f, A \rangle$  and  $\langle B, f, B \rangle$  have equal determinants. They are called the **determinant** of  $f$  and written  $\det(f)$ .*

**Definition 189.** Let  $V$  be a vector space and  $f: V \rightarrow V$  a linear map.

(a). The **characteristic polynomial** of  $f$  is  $\det(t - f)$ .

(b). It is easy to see that there exists a unique monic polynomial  $M \in K[X]$  of minimal degree such that  $M(f) = 0$ . We call  $M$  the **minimal polynomial** of  $f$ .

**Proposition 190: Cayley-Hamilton.** *Let  $V$  be a finite-dimensional vector space and  $f \in \text{End}(V)$ . Then  $f$  is a root of its characteristic polynomial, that is, substituting  $f$  for the variable  $t$  yields zero. Equivalently, the minimal polynomial of  $f$  divides the characteristic polynomial.*

**Definition 191.** A matrix  $X \in M_n(K)$  is said to be **upper triangular** if  $X_{ij} = 0$  whenever  $j < i$ .

**Proposition 192.** *The determinant of an upper triangular matrix is the product of the diagonal entries.*



### 11.6 Eigenvectors, Jordan blocks

Let  $V$  be a vector space and  $f: V \rightarrow V$  a linear map. If  $v \in V \setminus \{0\}$  and  $a \in K$  are such that  $f(v) = av$  then we say that  $v$  is an eigenvector of  $f$  with eigenvalue  $a$ .

**Proposition 193.** *Let  $V$  be a vector space and  $f: V \rightarrow V$  a linear map. Let  $a$  be a constant. Then  $a$  is an eigenvalue for  $f$  if and only if  $\det(a - f) = 0$ , that is,  $a$  is a root of the characteristic polynomial of  $f$ .*

A **diagonal matrix** is a square matrix all of whose off-diagonal entries are zero. A matrix is said to be **diagonalisable** if it is similar to a diagonal matrix. Similar definitions hold for endomorphisms in  $\text{End}(V)$  if  $V$  is finite-dimensional.

**Proposition 194.** *Let  $A$  be a square matrix. If  $K$  is algebraically closed (for example  $K = \mathbb{C}$ ) then the following are equivalent:*

- (1)  $A$  is diagonalisable.
- (2)  $K^n$  is spanned by the eigenvectors of  $A$ .
- (3) The minimal polynomial of  $A$  has no multiple roots.

If  $K$  is not algebraically closed then the first two items are equivalent.

**Definition 195.** An  $n \times n$  matrix  $(a_{ij})$  is said to be a **Jordan block** if there exists  $b \in K$  such that  $a_{kk} = b$  for all  $k$  and  $a_{k,k+1} = 1$  for all  $k$  and  $a_{kl} = 0$  elsewhere.

**Proposition 196: Jordan normal form.** *Assume that  $K$  is algebraically closed, for example  $K = \mathbb{C}$ .*

- (a) *Let  $A \in M_n(K)$ . Then there exists  $T \in \text{GL}(n, K)$  such that  $TAT^{-1}$  is in **Jordan normal form**, that is, is in block form such that the diagonal blocks are Jordan blocks and the off-diagonal blocks are zero.*
- (b) *Let  $A, B \in M_n(K)$  both be in Jordan normal form. Let  $(A_1, \dots, A_p)$  be the Jordan blocks of  $A$  and  $(B_1, \dots, B_q)$  those of  $B$ . Then  $A, B$  are similar if and only if  $p = q$  and there exists  $s \in S_p$  such that  $A_k = B_{s(k)}$  for all  $k$ .*

## 12 Index

abelian .....	3,40,46	character .....	31
action on a set .....	21	character table .....	42
action on a vector space .....	22	characteristic polynomial .....	72
afforded by .....	23	class function .....	33
algebraic integer .....	59	classification (small groups) .....	6
algebraic number .....	59	commutative .....	3
algebraically conjugate .....	59	commutator .....	46
alphabet .....	13	commutator subgroup .....	46
alternating group .....	5,47	commute .....	3
anti-homomorphism .....	6	conjugate (in a group) .....	32
automorphism .....	21	conjugate (algebraically) .....	59
associative .....	3	conjugacy class .....	32
basis .....	70	constant .....	70
Burnside's $p^a q^b$ theorem .....	62	convolution .....	38
cardinality .....	3	coset .....	12
central .....	32	cycle .....	5
centre .....	32	cyclic group .....	4

cyclic group (classification) .....	4	Kronecker product .....	31
degree .....	7	letter .....	13
degree (character) .....	34	lift .....	45
derived subgroup .....	46	linear character .....	40,40,43,47
determinant .....	72	linear map .....	71
diagonal matrix .....	73	linear subspace .....	70
diagonal sum .....	27	lower bound .....	14
diagonalisable .....	73	Mackey's theorem .....	57
dictionary .....	28	Maschke's theorem .....	25
dihedral group .....	5,32	Maschke's theorem (proof) .....	27
dimension .....	7,70	minimal polynomial (number) .....	59
direct product .....	6	minimal polynomial (matrix) .....	72
direct sum .....	25	module (CG-module) .....	22
dual .....	43	monic .....	59
endomorphism (vector space) .....	71	monoid .....	6
equivalence class .....	8	multiplicity .....	36
equivalent representations .....	7	mystery group .....	44
finitely generated .....	11	neutral .....	3
free group .....	15	Nielsen-Schreier theorem .....	16
Frobenius formula .....	41	normal closure .....	12
Frobenius reciprocity .....	52	normal subgroup .....	12
generalised orthogonality .....	38	one-step reduction .....	14
generating set .....	11	order (group) .....	3
generator .....	4,13,17	order (element) .....	3
group .....	3	orthogonal complement .....	26
group presentation .....	17	orthogonality (characters) .....	35
Hermitian .....	26	orthogonality (character table) .....	44
homomorphism (groups) .....	5	overlap .....	15
homomorphism (CG-modules) .....	23	permutation .....	4
identity .....	3	permutation character .....	47
image .....	13	permutation matrix .....	39
imprimitivity decomposition .....	56	permutation module .....	22
independent .....	70	permutation representation .....	51
induced module .....	56	positive definite .....	26
induction (characters) .....	51	presentation of a group .....	17
inner product .....	26	primitive .....	56
intertwining matrix .....	24	product (words) .....	14
invariant inner product .....	26	pull-back .....	45
inverse .....	3	quotient group .....	12
inverse word .....	14	real element of a group .....	37
invertible .....	3	reduced lower bound .....	15
irreducible character .....	34	reduced word .....	14
irreducible representation .....	28	reflection .....	5
isomorphic .....	6	regular character .....	39
isomorphism (groups) .....	6	regular module .....	38
isomorphism (CG-modules) .....	23	regular representation .....	39
isomorphism theorem .....	13	relation .....	17
isotypical .....	29	representation .....	7
Jordan block .....	73	representation (cyclic groups) .....	9
Jordan normal form .....	73	restriction (characters) .....	51
kernel (homomorphism) .....	13	rotation .....	5
kernel (character) .....	45	satisfy relations .....	17

scalar	70	symmetric group	4,19,30,37,47
Schur's lemma	34	tensor product	31
semi-direct product	21	trace	31
sign character	47	transversal	56
sign representation	47	triangle group	19
simple group	12	trivial group	3
simple module	25	trivial representation	7
span	70	twist	43
split	52	universal property (free groups)	16
stabiliser	56	upper triangular	72,7
standard basis	71	vector space	70
subgroup	3	weakly conjugate	51
submodule	25	word	13
subspace	70		

### 13 Index of notation

$A_n$	alternating group	5	$GL(n, \mathbb{C})$	group of invertible $n \times n$ matrices	4
$A^*$	set of words over $A$	14	$Hom(V, W)$	{linear maps $V \rightarrow W$ }	71
$AB$	product of two subsets of a group	11	$I(G)$	{irreducible characters of $G$ }	34
$C_n$	cyclic group of order $n$	4	$id_A$	identity map $A \rightarrow A$	
$C_\infty$	infinite cyclic group	4	$\mathbb{I}$	{algebraic integers}	59
$\chi_\rho$	character of a rep $\rho$	31	im	image	14
$\chi_V$	character of a module $V$	31	$K(G)$	{conjugacy classes of $G$ }	32
$\chi^{\text{reg}}$	regular character	39	$k(G)$	$\#K(G)$	32
$\bar{\chi}$	dual character	43	ker	kernel	13
$\chi_\mu$	twisted character	43	$\ell(u)$	length of a word $u$	14
$CF(G)$	{class functions on $G$ }	33	$M(n, \mathbb{C})$	ring of $n \times n$ matrices	3
$D_{2n}$	dihedral group of order $2n$	5	$M(K)$		9
det	determinant	72	$x^n$	$n$ -th power of an element $x$ of a group	3
$e_x$	basis element of $V^{\text{reg}}$	38	$n\rho$	$\rho \oplus \dots \oplus \rho$ ( $n$ copies)	36
$\exp(x)$	$e^x$		$\bar{\mathbb{Q}}$	{algebraic numbers}	59
$F(A)$	set of reduced words over $A$	14	$r \in D_{2n}$	$r(x) = x + 1$	5
$x^g$	$g^{-1}xg$	32	$R(u)$	reduced lower bound of $u$	15
$x^G$	{ $x^g \mid g \in G$ }	32	$\text{Rep}_n(G)$	{representations $G \rightarrow GL(n, \mathbb{C})$ }	8
$V^G$		56			

$\text{Rep}(G) \sqcup_{n \geq 0} \text{Rep}_n(G)$ .....	8	$\langle A \rangle$ subgroup generated by $A$ ...	11
$\rho_A$ certain rep of $C_n$ .....	8	$H \backslash G$ cosets .....	12
$\rho^{\text{reg}}$ regular representation .....	39	$G/H$ cosets .....	12
$S_n$ symmetric group .....	4	$[G : H]$ index of groups .....	12
$s \in D_{2n}$ $s(x) = -x$ .....	5	$N \trianglelefteq G$ $N$ is a normal subgroup of $G$ .....	12
$\text{Stab}_G(W)$ stabiliser .....	56	$\langle\langle A \rangle\rangle_G, \langle\langle A \rangle\rangle$ normal closure .....	12
$T(p, q, r)$ triangle group .....	19	o composition of maps .....	14
$t_g, t_g^V$ .....	23	$u \rightarrow v$ $u$ one-step reduces to $v$ ...	14
$A^T$ transpose of a matrix $A$ .....	44	$\geq$ reflexive transitive closure of $\rightarrow$ .....	14
tr trace .....	31	$u * v$ $R(uv)$ .....	15
$V^{\text{reg}}$ regular CG-module .....	38	$\langle A \mid R \rangle$ presented group .....	17
$Z(G)$ centre of $G$ .....	32	$f _A$ restriction to $A$ of a map $f$	
1 identity in a group .....	3	$\langle A, f, B \rangle$ matrix associated with a linear map .....	23
$1_G$ trivial linear character of $G$ .....	54	$X \oplus Y$ direct sum .....	25
$R^\times$ Group of invertible elements in a ring $R$ .....	3	$W^\perp$ orthogonal complement .....	26
$\#A,  A $ cardinality of $A$ .....	3	$\rho \oplus \sigma$ diagonal sum .....	27
$H \leq G$ $H$ is a subgroup of $G$ .....	3	$(\cdot, \cdot)_G$ inner product on $\mathbb{C}(G)$ ....	33
$(a_1 \cdots a_k)$ $k$ -cycle in $S_n$ .....	5	$[x, y]$ commutator $xyx^{-1}y^{-1}$ .....	46
$G \cong H$ isomorphic .....	6	$G', [G, G]$ derived subgroup .....	46
$G \times H$ direct product .....	6	$q^\circ$ .....	51
$\sim$ equivalence .....	7	$p_H$ restriction .....	51
$S/\sim$ set of $\sim$ -classes .....	8	$q^G$ induction .....	51
$y/\sim$ $\sim$ -class of $y$ .....	8	$[P]$ .....	51