

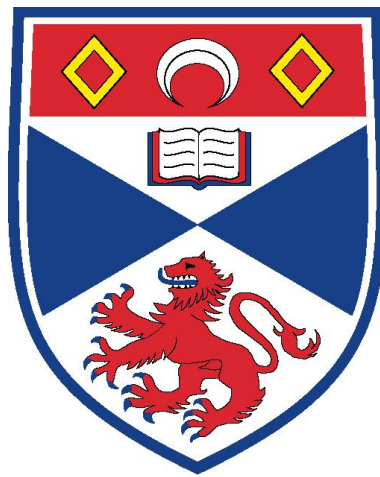
# Random self-affine multifractal Sierpiński sponges in $\mathbb{R}^d$

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14/10/10



## Abstract

I will first introduce the Hausdorff and packing multifractal spectrum functions,  $f_{H,\mu}$  and  $f_{P,\mu}$ . I will briefly discuss the self-affine sets introduced by Bedford and McMullen and go on to mention various generalisations that have been made in recent years in particular the work of King and Olsen. Finally, I will discuss the recent work by Fraser and Olsen on the Hausdorff spectrum of random self-affine multifractal sponges in  $\mathbb{R}^d$ .

Lower and upper local dimension of  $\mu$  at  $x \in \text{supp } \mu$

$$\underline{\dim}_{\text{loc}} \mu(x) = \liminf_{\delta \rightarrow 0} \frac{\log \mu(B(x, \delta))}{\log \delta}$$

and

$$\overline{\dim}_{\text{loc}} \mu(x) = \limsup_{\delta \rightarrow 0} \frac{\log \mu(B(x, \delta))}{\log \delta}$$

Multifractal decomposition sets

$$\Delta_\alpha = \left\{ x \in \text{supp } \mu : \underline{\dim}_{\text{loc}} \mu(x) = \alpha \right\}$$

for  $\alpha \geq 0$ .

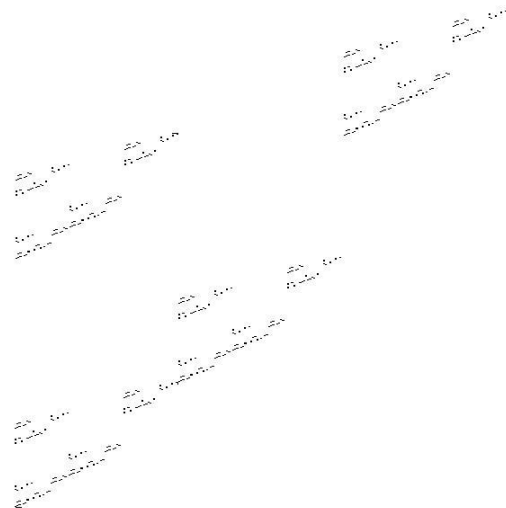
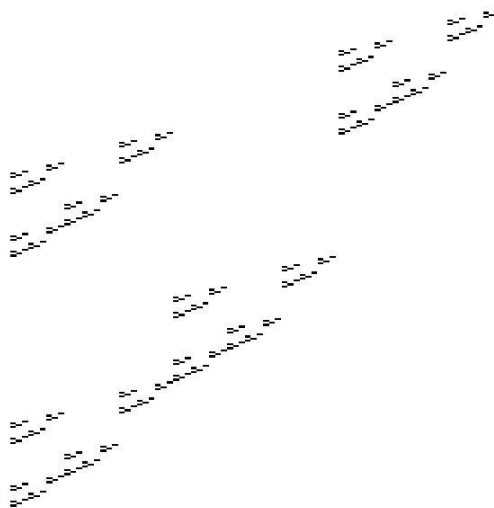
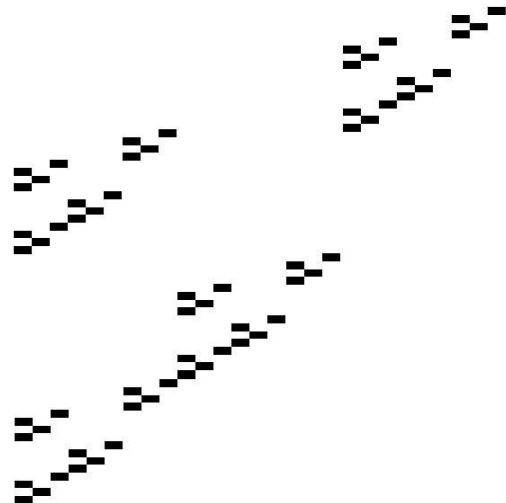
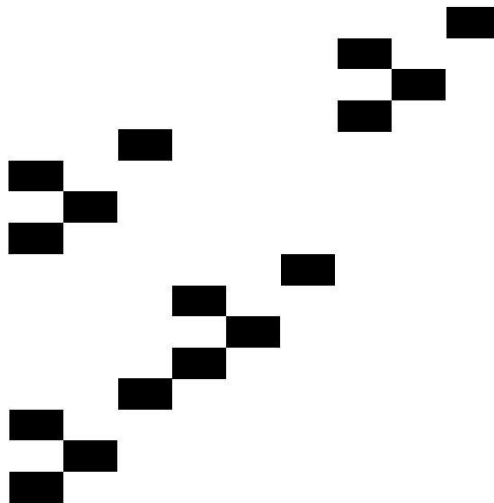
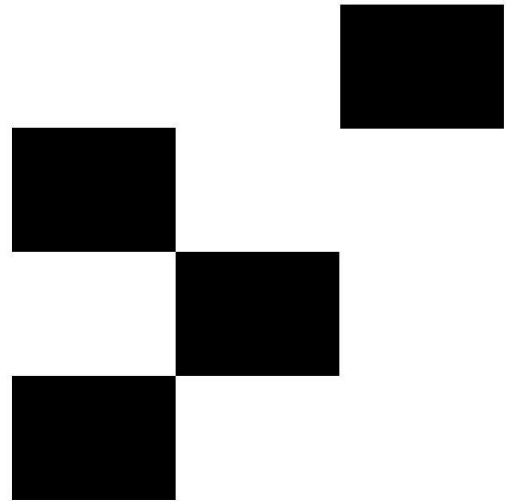
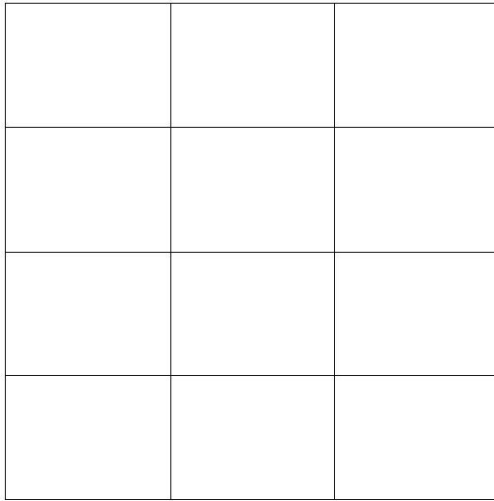
Hausdorff and packing multifractal spectrum functions,  $f_{\text{H},\mu}$  and  $f_{\text{P},\mu}$  of  $\mu$

$$f_{\text{H},\mu}(\alpha) = \dim_{\text{H}} \Delta_\alpha$$

and

$$f_{\text{P},\mu}(\alpha) = \dim_{\text{P}} \Delta_\alpha$$

for  $\alpha \geq 0$ .



A self-affine Bedford-McMullen carpet

We define

$$\beta_{i_1 \dots i_d}, \beta_{i_1 \dots i_{d-1}}, \dots, \beta_{i_1 i_2}, \beta_{i_1}, \beta : \mathbb{R} \rightarrow \mathbb{R}$$

inductively as follows,

(0) For  $q \in \mathbb{R}$  and  $(i_1, \dots, i_d) \in D$  let  $\beta_{i_1 \dots i_d}(q) = 0$

(1) For  $q \in \mathbb{R}$  and  $(i_1, \dots, i_{d-1}) \in \pi_{d-1}(D)$  define  $\beta_{i_1 \dots i_{d-1}}(q)$  by

$$\sum_{\substack{i_d \\ (i_1, \dots, i_d) \in \pi_d(D)}} p_{D, \mathbf{p}, d}(i_d \mid i_1, \dots, i_{d-1}) q n_d^{\beta_{i_1 \dots i_d}(q) - \beta_{i_1 \dots i_{d-1}}(q)} = 1$$

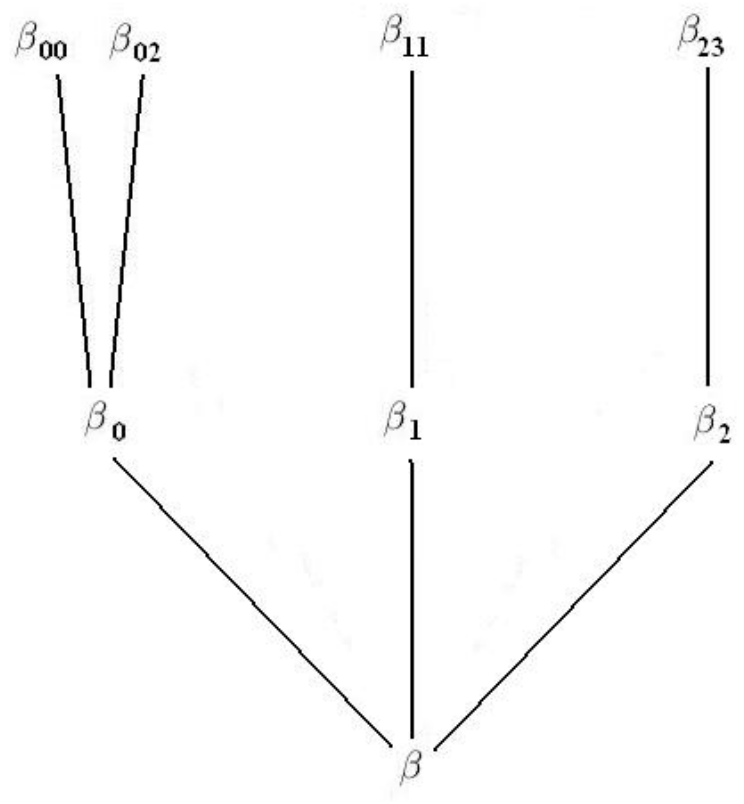
(2) For  $q \in \mathbb{R}$  and  $(i_1, \dots, i_{d-2}) \in \pi_{d-2}(D)$  define  $\beta_{i_1 \dots i_{d-2}}(q)$  by

$$\sum_{\substack{i_{d-1} \\ (i_1, \dots, i_{d-1}) \in \pi_{d-1}(D)}} p_{D, \mathbf{p}, d-1}(i_{d-1} \mid i_1, \dots, i_{d-2}) q n_{d-1}^{\beta_{i_1 \dots i_{d-1}}(q) - \beta_{i_1 \dots i_{d-2}}(q)} = 1$$

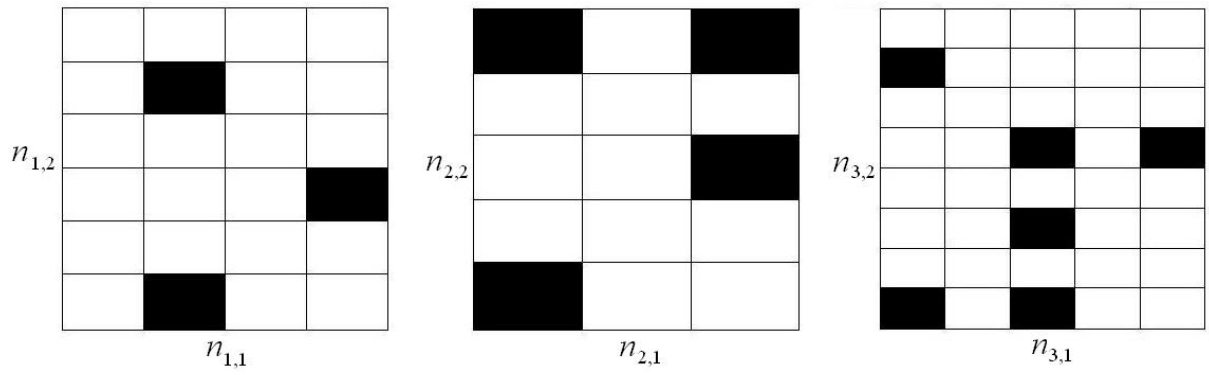
⋮

(d) For  $q \in \mathbb{R}$  define  $\beta(q)$  by

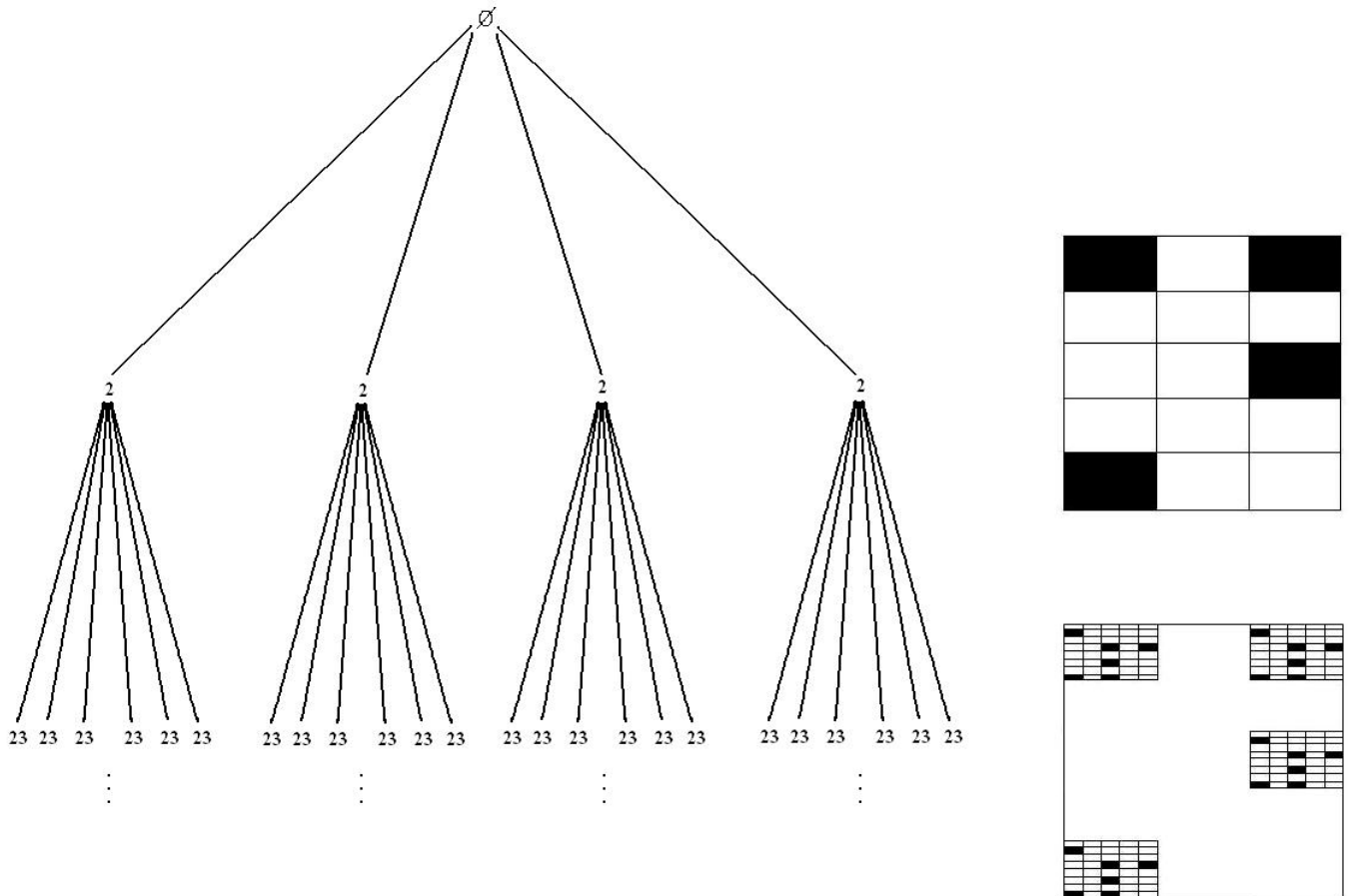
$$\sum_{\substack{i_1 \\ i_1 \in \pi_1(D)}} p_1(i_1) q n_1^{\beta_{i_1}(q) - \beta(q)} = 1$$

The steps in the calculation of  $\beta$



Three options at each step in the construction



The first two steps in the construction of a random carpet based on the realisation  $\omega = (2, 3, \dots)$

For  $l = 1, \dots, d$  define  $\nu_l = n_{1,l}^{p_1} \cdots n_{N,l}^{p_N}$  and for  $i = 1, \dots, N$  we define

$$\beta_{i,i_1 \dots i_d}, \beta_{i,i_1 \dots i_{d-1}}, \dots, \beta_{i,i_1 i_2}, \beta_{i,i_1}, \beta_i : \mathbb{R} \rightarrow \mathbb{R}$$

inductively as follows,

(0) For  $q \in \mathbb{R}$  and  $(i_1, \dots, i_d) \in D_i$  let  $\beta_{i,i_1 \dots i_d}(q) = 0$

(1) For  $q \in \mathbb{R}$  and  $(i_1, \dots, i_{d-1}) \in \pi_{d-1}(D_i)$  define  $\beta_{i,i_1 \dots i_{d-1}}(q)$  by

$$\sum_{\substack{i_d \\ (i_1, \dots, i_d) \in \pi_d(D_i)}} p_{D_i, \mathbf{p}_i, d}(i_d \mid i_1, \dots, i_{d-1})^q \nu_d^{\beta_{i,i_1 \dots i_d}(q) - \beta_{i,i_1 \dots i_{d-1}}(q)} = 1$$

(2) For  $q \in \mathbb{R}$  and  $(i_1, \dots, i_{d-2}) \in \pi_{d-2}(D_i)$  define  $\beta_{i,i_1 \dots i_{d-2}}(q)$  by

$$\sum_{\substack{i_{d-1} \\ (i_1, \dots, i_{d-1}) \in \pi_{d-1}(D_i)}} p_{D_i, \mathbf{p}_i, d-1}(i_{d-1} \mid i_1, \dots, i_{d-2})^q \nu_{d-1}^{\beta_{i,i_1 \dots i_{d-1}}(q) - \beta_{i,i_1 \dots i_{d-2}}(q)} = 1$$

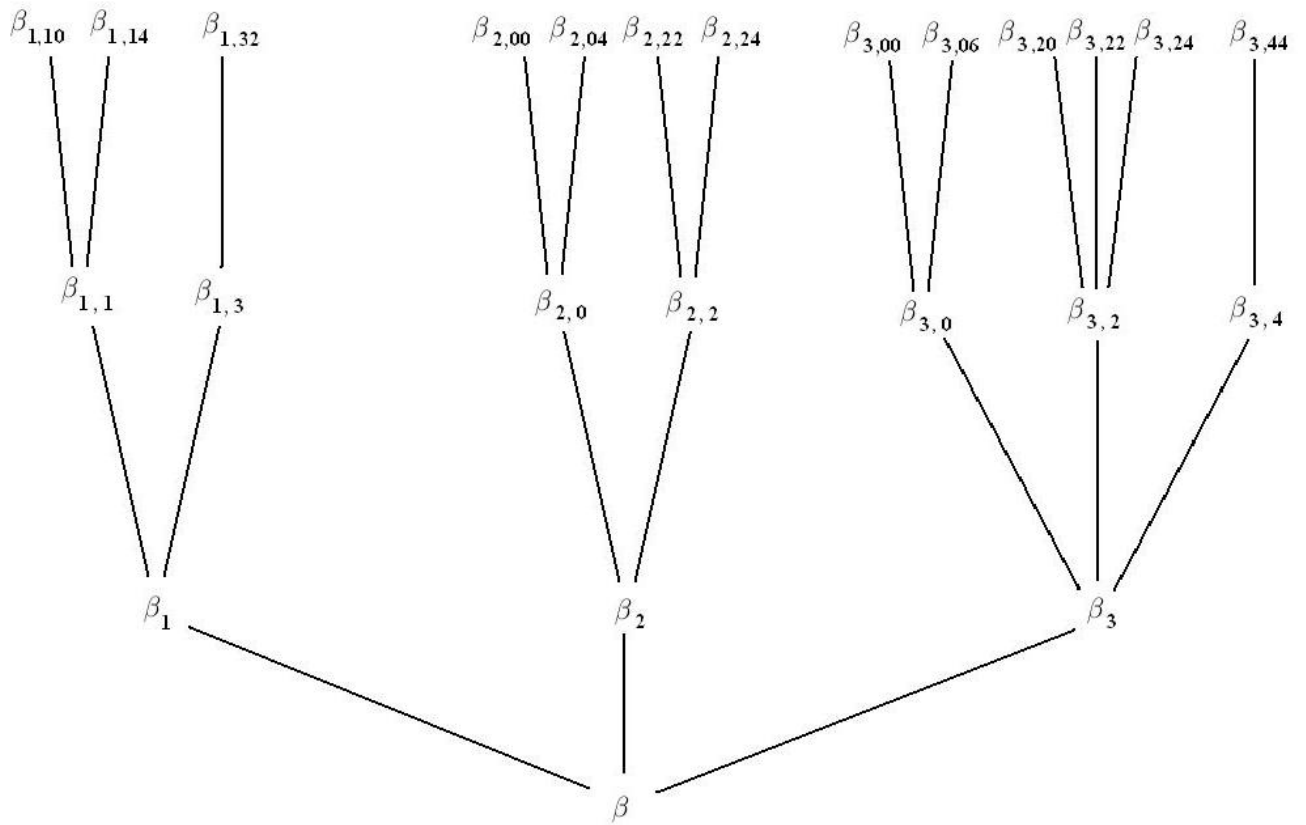
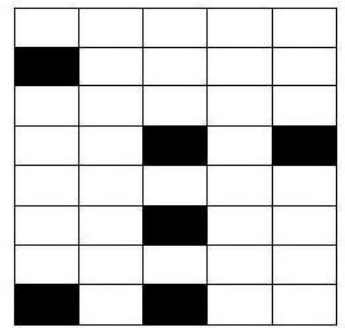
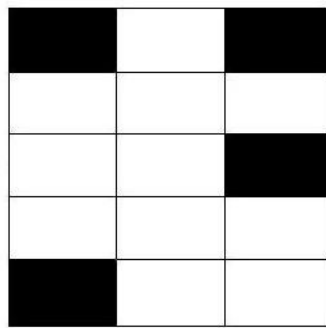
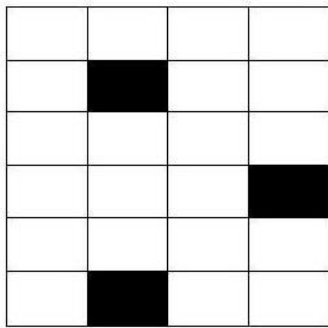
⋮

(d) For  $q \in \mathbb{R}$  define  $\beta_i(q)$  by

$$\sum_{\substack{i_1 \\ i_1 \in \pi_1(D)}} p_1(i_1)^q \nu_1^{\beta_{i,i_1}(q) - \beta_i(q)} = 1$$

Finally, define  $\beta : \mathbb{R} \rightarrow \mathbb{R}$  by

$$\beta = \sum_i p_i \beta_i$$



The steps in the calculation of  $\beta$



**Theorem 1.1.** (*F and Olsen, Indiana Univ. Math. J., (to appear)*).

Assuming the VSSC is satisfied for  $D_1, \dots, D_N$  and  $\nu_1 < \nu_2 < \dots < \nu_d$  we have

(1) For almost all realisations  $\omega$  we have:

$$f_{H, \mu_\omega}(\alpha) = \dim_{\mathbb{H}} \left\{ x \in K_\omega \left| \lim_{r \searrow 0} \frac{\log \mu_\omega(B(x, r))}{\log r} = \alpha \right. \right\} = \beta^*(\alpha)$$

for all  $\alpha \in (\alpha_{\min}, \alpha_{\max})$  (here  $\beta^*$  denotes the Legendre transform of  $\beta$  defined by  $\beta^*(\alpha) = \inf_q (q\alpha + \beta(q))$ ).

(2) For almost all realisations  $\omega$  we have:

$$\left\{ x \in K_\omega \left| \lim_{r \searrow 0} \frac{\log \mu_\omega(B(x, r))}{\log r} = \alpha \right. \right\} = \emptyset$$

for all  $\alpha \notin [\alpha_{\min}, \alpha_{\max}]$ .

**Theorem 1.2.** (*F and Olsen, Indiana Univ. Math. J., (to appear)*).

Assuming that  $\nu_1 < \nu_2 < \dots < \nu_d$  we have

(1) For almost all realisations  $\omega$  we have:

$$f_{\mathbb{H}, \mu_\omega}^s(\alpha) = \dim_{\mathbb{H}} \left\{ x \in K_\omega \left| \lim_{r \searrow 0} \frac{\log \mu_\omega(Q(x, r))}{\log r} = \alpha \right. \right\} = \beta^*(\alpha)$$

for all  $\alpha \in (\alpha_{\min}, \alpha_{\max})$  (here  $\beta^*$  denotes the Legendre transform of  $\beta$  defined by  $\beta^*(\alpha) = \inf_q (q\alpha + \beta(q))$ ).

(2) For almost all realisations  $\omega$  we have:

$$\left\{ x \in K_\omega \left| \lim_{r \searrow 0} \frac{\log \mu_\omega(Q(x, r))}{\log r} = \alpha \right. \right\} = \emptyset$$

for all  $\alpha \notin [\alpha_{\min}, \alpha_{\max}]$ .

## Open(ish) Questions

1. Can we remove the assumption that  $\nu_1 < \nu_2 < \dots < \nu_d$  ?

Yes - see paper.

2. Can we compute the almost sure Hausdorff spectrum for a more general random model?

Watch this space!

3. Do our results still hold if the VSSC is not satisfied? In particular, do the results of Olsen on deterministic self-affine sponges hold without the VSSC?

Probably! The VSSC was removed by Jordan and Rams in the case  $d = 2$ .

4. What is the packing spectrum of a deterministic self-affine sponge? This is not known even in  $\mathbb{R}^2$ .

No idea! We have

$$\beta^*(\alpha) = f_{H,\mu}(\alpha) \leq f_{P,\mu}(\alpha) \leq \gamma^*(\alpha)$$

## References

- [1] J. M. Fraser and L. Olsen. Multifractal spectra of random self-affine multifractal Sierpiński sponges in  $\mathbb{R}^d$ . *Indiana Univ. Math. J.*, (to appear).
  
- [2] T. Jordan and M. Rams. Multifractal analysis for Bedford-McMullen carpets. *Preprint*. (2009)
  
- [3] J. King. The singularity spectrum for general Sierpiński carpets. *Adv. in Math.*, **116** (1995), 1–8.
  
- [4] L. Olsen. Self-affine multifractal Sierpiński sponges in  $\mathbb{R}^d$ . *Pacific J. Math*, **183** (1998), 143–199.
  
- [5] L. Olsen. Random self-affine multifractal Sierpiński sponges in  $\mathbb{R}^d$ . *Monatshefte für Mathematik*, (to appear).