# Elliptic Curves over Real Quadratic Fields are Modular 

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November 12, 2014

## Motivation

## Conjecture

Let $E$ be an elliptic curve over a totally real field $K$. Then $E$ is modular in the following sense: there is a Hilbert eigenform $\mathfrak{f}$ of parallel weight 2 over $K$ such that $\mathrm{L}(E, s)=\mathrm{L}(\mathfrak{f}, s)$.

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Theorem (Wiles, Breuil, Conrad, Diamond, Taylor) All elliptic curves over $\mathbb{Q}$ are modular.

Theorem (Jarvis and Manoharmayum 2004)
Semistable elliptic curves over $\mathbb{Q}(\sqrt{2})$ and $\mathbb{Q}(\sqrt{17})$ are modular.

## Prove Your Own Modularity Theorem

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\bar{\rho}_{E, p}: G_{K} \rightarrow \operatorname{Aut}(E[p]) \cong \mathrm{GL}_{2}\left(\mathbb{F}_{p}\right)
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the representation giving the action of $G_{K}$ on the $p$-torsion of $E$.

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## Definition

We say $\bar{\rho}_{E, p}$ is modular if there exists a Hilbert cuspidal eigenform $\mathfrak{f}$ over $K$ of parallel weight 2 , and a place $\varpi \mid p$ of $\overline{\mathbb{Q}}$ such that

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\bar{\rho}_{E, p}^{s s} \sim \bar{\rho}_{\mathrm{f}, \varpi}^{s s} .
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## Fact

$E$ modular $\Longrightarrow \bar{\rho}_{E, p}$ modular. (Modularity lifting is reversing the arrow.)

## Breuil and Diamond (2013)—a modularity lifting theorem

Théorème 3.2.2. - Supposons $p>2, \bar{\rho}: \operatorname{Gal}(\overline{\mathbb{Q}} / F) \rightarrow \mathrm{GL}_{2}\left(k_{E}\right)$ modulaire, $\left.\bar{\rho}\right|_{\mathrm{Gal}(\mathbb{Q} / F(\sqrt[p]{1}))}$ irréductible et, si $p=5$, l'image de $\bar{\rho}(\mathrm{Gal}(\overline{\mathbb{Q}} / F(\sqrt[p]{1})))$ dans $\mathrm{PGL}_{2}\left(k_{E}\right)$ non isomorphe à $\mathrm{PSL}_{2}\left(\mathbb{F}_{5}\right)$. Soit $\psi: \operatorname{Gal}(\overline{\mathbb{Q}} / F) \rightarrow E^{\times}$un caractère qui relève $\operatorname{det} \bar{\rho}$ et tel que $\psi \varepsilon^{-1}$ est d'ordre fini, $T$ un sous-ensemble de l'ensemble des places de $F$ divisant $p$ et $S$ un ensemble fini de places finies de $F$ contenant les places divisant $p$ et les places où $\bar{\rho}$ ou $\psi$ sont ramifiés. Pour chaque $v \in S$, soit $\left[r_{v}, N_{v}\right]$ un type de Weil-Deligne en $v$ et pour chaque $v \in T \cup\left\{v \nmid p, N_{v} \neq 0\right\}$, soit $\bar{\mu}_{v}: \operatorname{Gal}\left(\overline{F_{v}} / F_{v}\right) \rightarrow k_{E}^{\times}$un caractère. Supposons que, pour chaque $v \in S$, $\left.\bar{\rho}\right|_{\operatorname{Gal}\left(\overline{F_{v}} / F_{v}\right)}$ admet un relevé $\rho_{v}: \operatorname{Gal}\left(\overline{F_{v}} / F_{v}\right) \rightarrow \mathrm{GL}_{2}(E)$ tel que :
(i) si $v \mid p$ alors $\rho_{v}$ est potentiellement semi-stable de poids de Hodge-Tate $(0,1)$ pour tout $F_{v} \hookrightarrow \overline{\mathbb{Q}_{p}}$
(ii) si $v \mid p$ alors $\rho_{v}$ est potentiellement ordinaire si et seulement si $v \in T$
(iii) $\rho_{v}$ est de type de Weil-Deligne $\left[r_{v}, N_{v}\right](v \in S)$
(iv) si $v \in T \cup\left\{v \nmid p, N_{v} \neq 0\right\}$ alors $\rho_{v}$ a une sous-représentation $\sigma_{v}$ de dimension 1 telle que $\sigma_{v}$ relève $\bar{\mu}_{v} \omega$ et $\left.\sigma_{v} \varepsilon^{-1}\right|_{I_{v}}$ est d'ordre fini
(v) $\left.\operatorname{det} \rho_{v}\right|_{I_{v}}=\left.\psi\right|_{I_{v}} \quad(v \in S)$.

Alors, quitte à agrandir $E, \bar{\rho}$ possède un relevé $\rho: \operatorname{Gal}(\overline{\mathbb{Q}} / F) \rightarrow \mathrm{GL}_{2}(E)$ continu non ramifié en dehors de $S$ et tel que :
(i) si $v \mid p$ alors $\left.\rho\right|_{G a l\left(\overline{F_{v}} / F_{v}\right)}$ est potentiellement semi-stable de poids de HodgeTate $(0,1)$ pour tout $F_{v} \hookrightarrow \overline{\mathbb{Q}_{p}}$
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(v) $\operatorname{det} \rho=\psi$.

De plus, un tel relevé $\rho$ de $\bar{\rho}$ provient d'une forme modulaire de Hilbert de poids $(2,2, \cdots, 2)$.

## Modularity Lifting

Theorem (Kisin, Barnet-Lamb-Gee-Geraghty, Breuil-Diamond)
Let $p \geq 3$. Write $\bar{\rho}=\bar{\rho}_{E, p}$. Suppose
(i) $\bar{\rho}$ is modular,
(ii) $\bar{\rho}\left(G_{K}\right) \cap \mathrm{SL}_{2}\left(\mathbb{F}_{p}\right)$ is absolutely irreducible. ("Big Image Condition")

Then $E$ is modular.

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Theorem (Langlands-Tunnell)
Suppose $\bar{\rho}_{E, 3}$ is irreducible. Then $\bar{\rho}_{E, 3}$ is modular.

## Corollary

If E satisfies the Big Image Condition mod 3 then $E$ is modular.

## Subgroups of $\mathrm{GL}_{2}\left(\mathbb{F}_{p}\right)$

## Theorem (Dickson)

Let $p \geq 3$ be a prime. Let $H$ be a subgroup of $\mathrm{GL}_{2}\left(\mathbb{F}_{p}\right)$. Then
(i) either $H \supseteq \mathrm{SL}_{2}\left(\mathbb{F}_{p}\right)$,
(ii) or $H /$ scalars $\cong A_{4}, S_{4}, A_{5}$,
(iii) or $H$ is contained in the Borel subgroup

$$
B(p)=\left\{\left(\begin{array}{ll}
a & b \\
0 & c
\end{array}\right)\right\}
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(iv) or $H$ is contained in the normalizer of a split Cartan subgroup

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C_{s}^{+}(p)=\left\{\left(\begin{array}{ll}
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If $E$ violates the Big Image Condition mod $p$, then $E$ gives rise to a $K$-point on $X_{0}(p), X_{\mathrm{ns}}(p)$ or $X_{\mathrm{s}}(p)$.

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## Example

The maps $X_{0}(3) \rightarrow X(1), X_{\mathrm{ns}}(3) \rightarrow X(1)$ and $X_{\mathrm{s}}(3) \rightarrow X(1)$ are given by

$$
t \mapsto \frac{(t+27)(t+243)^{3}}{t^{3}}, \quad t \mapsto t^{3}, \quad t \mapsto \frac{(t-9)^{3}(t+3)^{3}}{t^{3}}
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Let $j$ be the $j$-invariant of $E$. If, for all $t \in K$,

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the $E$ satisfies the Big Image Condition mod 3.

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## Conclusion

There are infinitely many $j$-invariants $\in K$ for which we cannot yet lift modularity of $\bar{\rho}_{E, 3}$.

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Let $p \neq 2,3$. We TRY to show
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To make this work, need 'lots' of $K$-points on $X_{E}(p)$.

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\operatorname{genus}\left(X_{E}(p)\right)= \begin{cases}0 & p=5 \\ \geq 3 & p \geq 7\end{cases}
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Conclusion: Modularity switching as above works for $p=5$ but not 7 .

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## Corollary

If $E$ satisfies the Big Image Condition mod 3 or mod 5 then $E$ is modular.

## Fact

If $E$ violates the Big Image Condition mod 3 and mod 5 , then $E$ gives rise to a K-point on one of the curves

$$
X_{a}(3) \times X_{(1)} X_{b}(5), \quad a, b \in\{0, \mathrm{~ns}, \mathrm{~s}\} .
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Problem: $X_{0}(3) \times{ }_{X(1)} X_{0}(5) \cong X_{0}(15)$ has genus 1 , and $X_{0}(15)(K)$ could be infinite. So there might still be infinitely many non-modular $j \in K$.

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## Lemma

The only real twist of $X(7)$ is $X(7)$ itself.
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Answer: Yes! For each $\sigma: K \hookrightarrow \mathbb{R}$, there is some non-empty open $U_{\sigma} \subset \breve{\mathbb{P}}^{2}\left(K_{\sigma}\right)$ so that if $\ell \in \breve{\mathbb{P}}^{2}(K) \cap \prod_{\sigma} U_{\sigma}$ then $\ell \cdot X$ defines a totally real extension.

Theorem (Manoharmayum, Freitas-Le Hung-S.)
If $E / K$ satisfies the Big Image Condition mod 7 then $E$ is modular.

Corollary
If $E$ satisfies the Big Image Condition mod 3 or mod 5 then $E$ is modular.

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Theorem (Calegari, Freitas-Le Hung-S.)
There are at most finitely many j-invariants of elliptic curves over $K$ that are non-modular.

## Modularity Continued

To prove modularity for all real quadratic fields, it is enough to compute all the non-cuspidal real quadratic points on

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A much finer analysis shows that it enough to do this for the following seven modular curves:

- $X(b 5, b 7) \quad$ (genus 3);
- $X(\mathrm{~b} 3, \mathrm{~s} 5) \quad$ (genus 3$)$;
- $X(\mathrm{~s} 3, \mathrm{~s} 5) \quad$ (genus 4$)$;
- $X(\mathrm{~b} 3, \mathrm{~b} 5, \mathrm{~d} 7) \quad$ (genus 97 );
- $X(\mathrm{~s} 3, \mathrm{~b} 5, \mathrm{~d} 7) \quad$ (genus 153$)$;
- X(b3, b5, e7) (genus 73);
- $X(\mathrm{~s} 3, \mathrm{~b} 5, \mathrm{e} 7) \quad$ (genus 113).


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- $X(\mathrm{~b} 3, \mathrm{~b} 5, \mathrm{~d} 7) \quad$ (genus 97 );
- $X(\mathrm{~s} 3, \mathrm{~b} 5, \mathrm{~d} 7) \quad$ (genus 153$)$;
- $X(\mathrm{~b} 3, \mathrm{~b} 5, \mathrm{e} 7) \quad$ (genus 73 );
- $X(\mathrm{~s} 3, \mathrm{~b} 5, \mathrm{e} 7) \quad$ (genus 113).
$\mathrm{b}=$ borel.
$\mathrm{s}=$ normalizer of split Cartan.
d 7 has image $\cong D_{3}$ in $\mathrm{PGL}_{2}\left(\mathbb{F}_{7}\right)$.
e7 has image $\cong D_{4}$ in $\mathrm{PGL}_{2}\left(\mathbb{F}_{7}\right)$.


## $X(\mathrm{~b} 5, \mathrm{~b} 7)=X_{0}(35)$

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X_{0}(35): y^{2}=\left(x^{2}+x-1\right)\left(x^{6}-5 x^{5}-9 x^{3}-5 x-1\right)
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If $P$ is a quadratic point on $X_{0}(35)$, then

$$
\left[P+P^{\sigma}-\infty_{+}-\infty_{-}\right] \in J_{0}(35)(\mathbb{Q})
$$

## Lemma

All quadratic points on $X_{0}(35)$ have the form

$$
P=(x, \pm \sqrt{f(x)}), \quad f(x)=\left(x^{2}+x-1\right)\left(x^{6}-5 x^{5}-9 x^{3}-5 x-1\right)
$$

with $x \in \mathbb{Q}$ (except for $\left(\frac{-1 \pm \sqrt{5}}{2}, 0\right)$ ).

## Modular Interpretation of Real Quadratic $P$

$$
P=(x, \sqrt{f(x)})=(E, C), \quad x \in \mathbb{Q}, \quad K=\mathbb{Q}(\sqrt{f(x)})
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where $E / K$ is an elliptic curve and $C$ is a cyclic subgroup of order 35 .

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Moral: If you want to prove modularity of quadratic points on a modular curve $X$, use Mordell-Weil information (over $\mathbb{Q}$ ) to prove that Galois conjugation is a geometric involution on $X$.

A Big Example
Let $X=X(\mathrm{~s} 3, \mathrm{~b} 5, \mathrm{~d} 7)$ (genus 153).

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Representing points on $X$ : Roughly speaking, if $\mathbb{F}$ is a field, then $P \in X(\mathbb{F})$ is a pair $\left(P_{1}, P_{2}\right)$ where $P_{1} \in X(\mathrm{~s} 3, \mathrm{~b} 5)(\mathbb{F})$ and $P_{2} \in X(\mathrm{~d} 7)(\mathbb{F})$ with $j\left(P_{1}\right)=j\left(P_{2}\right)$. (Can be made precise.)

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## Mordell-Weil Information

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X(\mathrm{~s} 3, \mathrm{~b} 5)=15 A 3, \quad X(\mathrm{~d} 7)=49 A 3 .
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Moreover,

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X(\mathrm{~s} 3, \mathrm{~b} 5)(\mathbb{Q}) \cong \mathbb{Z} / 4 \mathbb{Z} \times \mathbb{Z} / 2 \mathbb{Z}, \quad X(\mathrm{~d} 7)(\mathbb{Q}) \cong \mathbb{Z} / 2 \mathbb{Z}
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Objective: Show that this is true for all $P \in X(K)$ for all quadratic $K$.

## The Mordell-Weil Sieve

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\begin{aligned}
& X^{(2)}(\mathbb{Q}) \longrightarrow X(\mathrm{~s} 7, \mathrm{~b} 5)(\mathbb{Q}) \times X(\mathrm{~d} 7)(\mathbb{Q}) \\
& \downarrow \quad{ }^{\mu} \\
& X^{(2)}\left(\mathbb{F}_{p}\right) \xrightarrow[\beta_{p}]{\longrightarrow} X(\mathrm{~s} 7, \mathrm{~b} 5)\left(\mathbb{F}_{p}\right) \times X(\mathrm{~d} 7)\left(\mathbb{F}_{p}\right) \\
& \alpha\left(\left\{P, P^{\sigma}\right\}\right)=\left(\pi_{1}(P)+\pi_{1}\left(P^{\sigma}\right), \pi_{2}(P)+\pi_{2}\left(P^{\sigma}\right)\right)
\end{aligned}
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& \alpha\left(\left\{P, P^{\sigma}\right\}\right)=\left(\pi_{1}(P)+\pi_{1}\left(P^{\sigma}\right), \pi_{2}(P)+\pi_{2}\left(P^{\sigma}\right)\right)
\end{aligned}
$$

Observe $\operatorname{Im}(\alpha) \subseteq \mu^{-1}\left(\operatorname{Im}\left(\beta_{p}\right)\right)$.

## The Mordell-Weil Sieve

$$
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Observe $\operatorname{Im}(\alpha) \subseteq \mu^{-1}\left(\operatorname{Im}\left(\beta_{p}\right)\right)$. Using $11 \leq p \leq 100$ we find

$$
\operatorname{Im}(\alpha) \subseteq \bigcap_{11 \leq p \leq 100} \mu^{-1}\left(\operatorname{Im}\left(\beta_{p}\right)\right)=\{(?, \mathcal{O}),(?, \mathcal{O}),(?, \mathcal{O})\}
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Note $\pi_{2}(P)+\pi_{2}(P)^{\sigma}=\mathcal{O}$.

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Note $\pi_{2}(P)+\pi_{2}(P)^{\sigma}=\mathcal{O}$. So $P$ is modular!!

## Conclusion

Theorem (Freitas-Le Hung-S.)
Let $E$ be an elliptic curve over a real quadratic field $K$. Then $E$ is modular.

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Let $E$ be an elliptic curve over a real quadratic field $K$. Then $E$ is modular.

## Thank You!

