Elliptic Curves over Real Quadratic Fields are Modular

Samir Siksek (Warwick) joint work with Nuno Freitas (Bayreuth) and Bao Le Hung (Harvard)

November 12, 2014

Motivation

Conjecture

Let E be an elliptic curve over a totally real field K. Then E is modular in the following sense: there is a Hilbert eigenform \mathfrak{f} of parallel weight 2 over K such that $L(E, s) = L(\mathfrak{f}, s)$.

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Theorem (Jarvis and Manoharmayum 2004)

Semistable elliptic curves over $\mathbb{Q}(\sqrt{2})$ and $\mathbb{Q}(\sqrt{17})$ are modular.

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$$\overline{\rho}_{E,p} : G_{\mathcal{K}} \to \operatorname{Aut}(E[p]) \cong \operatorname{GL}_2(\mathbb{F}_p)$$

the representation giving the action of G_K on the *p*-torsion of *E*.

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Definition

We say $\overline{\rho}_{E,p}$ is **modular** if there exists a Hilbert cuspidal eigenform \mathfrak{f} over K of parallel weight 2, and a place $\varpi \mid p$ of $\overline{\mathbb{Q}}$ such that

$$\overline{\rho}_{E,p}^{ss} \sim \overline{\rho}_{\mathfrak{f},\varpi}^{ss}.$$

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Fact

 $E \mod ar \implies \overline{\rho}_{E,p} \mod ar$.

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Fact

E modular $\implies \overline{\rho}_{E,p}$ modular. (Modularity lifting is reversing the arrow.)

Breuil and Diamond (2013)—a modularity lifting theorem

Théorème 3.2.2. — Supposons p > 2, $\overline{\rho} : \operatorname{Gal}(\overline{\mathbb{Q}}/F) \to \operatorname{GL}_2(k_E) \mod \operatorname{Iaire}, \overline{\beta}_{\operatorname{Gal}(\overline{\mathbb{Q}}/F(\sqrt{n}))}$ irréductible et, si p = 5, l'image de $\overline{\rho}(\operatorname{Gal}(\overline{\mathbb{Q}}/F(\sqrt{n})))$ dans $\operatorname{PGL}_2(k_E)$ non isomorphe à $\operatorname{PSL}_2(k_E)$. Soit $\psi : \operatorname{Gal}(\overline{\mathbb{Q}}/F) \to \mathbb{E}^{\times}$ un caractère qui relève det $\overline{\rho}$ et tel que $\psi \varepsilon^{-1}$ est d'ordre fini, T un sous-ensemble de l'ensemble des places de F divisant p et les places doit fini de places finise de F contenant les places duivant p et les places où $\overline{\rho}$ ou ψ sont ramifiés. Pour chaque $v \in S$, soit $[r_v, N_e]$ un type de Weil-Deligne en v et pour chaque $v \in T \cup \{v \nmid p, N_v \neq 0\}$, soit $\overline{\mu}_v : \operatorname{Gal}(\overline{F_v}/F_v) \to k_E^{\times}$ un caractère. Supposons que, pour chaque $v \in S$, $\overline{\beta}(\operatorname{Gal}(\overline{F_v}, F_v) = \operatorname{Met}$ un televé $\rho_* : \operatorname{Gal}(\overline{F_v}/F_v) \to \operatorname{GL}_2(E)$ tel que :

- (i) si v|p alors ρ_v est potentiellement semi-stable de poids de Hodge-Tate (0,1) pour tout F_v → Q_p
- (ii) si v|p alors ρ_v est potentiellement ordinaire si et seulement si $v \in T$
- (iii) ρ_v est de type de Weil-Deligne $[r_v, N_v]$ $(v \in S)$

(iv) si v ∈ T ∪ {v ∤ p, N_v ≠ 0} alors ρ_v a une sous-représentation σ_v de dimension 1 telle que σ_v relève µ_v ⇔ et σ_v =⁻¹|_{I_v} est d'ordre fini (v) det ρ_u|_L = ψ|_L, ψ_v ∈ S.).

Alors, quitte à agrandir E, $\overline{\rho}$ possède un relevé ρ : $Gal(\overline{\mathbb{Q}}/F) \rightarrow GL_2(E)$ continu non ramifié en dehors de S et tel que :

- (i) si v|p alors ρ|_{Gal(F_v/F_v)} est potentiellement semi-stable de poids de Hodge-Tate (0, 1) pour tout F_v ↔ Q_p
- (ii) si v|p alors $\rho|_{\text{Gal}(\overline{F_v}/F_v)}$ est potentiellement ordinaire si et seulement si $v \in T$
- (iii) ρ|_{Gal(Fv/Fv)} est de type de Weil-Deligne [r_v, N_v] (v ∈ S)
- (iv) si $v \in T \cup \{v \nmid p, N_v \neq 0\}$ alors $\rho|_{\operatorname{Gal}(\overline{F_v}/F_v)}$ a une sous-représentation σ'_v de dimension 1 telle que σ'_v relève $\overline{\mu}_{\omega}\omega$ et $\sigma'_v \varepsilon^{-1}|_{I_v}$ est d'ordre fini
- (v) det $\rho = \psi$.

De plus, un tel relevé ρ de $\overline{\rho}$ provient d'une forme modulaire de Hilbert de poids $(2, 2, \dots, 2)$.

Modularity Lifting

Theorem (Kisin, Barnet-Lamb–Gee–Geraghty, Breuil–Diamond) Let $p \ge 3$. Write $\overline{\rho} = \overline{\rho}_{E,p}$. Suppose (i) $\overline{\rho}$ is modular, (ii) $\overline{\rho}(G_{\mathcal{K}}) \cap SL_2(\mathbb{F}_p)$ is absolutely irreducible. ("Big Image Condition") Then E is modular.

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Suppose $\overline{\rho}_{E,3}$ is irreducible. Then $\overline{\rho}_{E,3}$ is modular.

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Theorem (Langlands–Tunnell)

Suppose $\overline{\rho}_{E,3}$ is irreducible. Then $\overline{\rho}_{E,3}$ is modular.

Corollary

If E satisfies the Big Image Condition mod 3 then E is modular.

Theorem (Dickson)

Let $p \geq 3$ be a prime. Let H be a subgroup of $GL_2(\mathbb{F}_p)$. Then

(i) either
$$H \supseteq SL_2(\mathbb{F}_p)$$
,

- (ii) or H/scalars $\cong A_4$, S_4 , A_5 ,
- (iii) or H is contained in the Borel subgroup

$$B(p) = \left\{ \begin{pmatrix} a & b \\ 0 & c \end{pmatrix}
ight\},$$

(iv) or H is contained in the normalizer of a split Cartan subgroup

$$C_{s}^{+}(p) = \left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}, \begin{pmatrix} 0 & a \\ b & 0 \end{pmatrix} \right\},\$$

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If E violates the Big Image Condition mod p, then E gives rise to a K-point on $X_0(p)$, $X_{ns}(p)$ or $X_s(p)$.

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The maps $X_0(3) o X(1)$, $X_{
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$$t\mapsto rac{(t+27)(t+243)^3}{t^3}, \qquad t\mapsto t^3, \qquad t\mapsto rac{(t-9)^3(t+3)^3}{t^3}\,.$$

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Let j be the j-invariant of E. If, for all $t \in K$,

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Conclusion

There are infinitely many *j*-invariants $\in K$ for which we cannot yet lift modularity of $\overline{\rho}_{E,3}$.

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E' satisfies Big Image mod 3 \implies E' is modular

- $\implies \overline{\rho}_{E',p} \text{ is modular} \\ \implies \overline{\rho}_{E,p} \text{ is modular}$
- $\implies E \text{ is modular (if Big Image} \\ \text{Condition mod } p \text{ is satisfied)}$

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$$\operatorname{genus}(X_E(p)) = \begin{cases} 0 & p = 5 \\ \geq 3 & p \geq 7 \end{cases}.$$

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If E violates the Big Image Condition mod 3 and mod 5, then E gives rise to a K-point on one of the curves

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Problem: $X_0(3) \times_{X(1)} X_0(5) \cong X_0(15)$ has genus 1, and $X_0(15)(K)$ could be infinite. So there might still be infinitely many non-modular $j \in K$.

Mod. Switching II (Taylor, Ellenberg, Manoharmayum) IDEA: Look for points on $X_E(p)$ over solvable totally real extensions.

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Theorem (Langlands Solvable Base Change)

Let E be an elliptic curve over a totally real field K. Suppose

- L/K is solvable and totally real.
- E/L is modular.

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- $X = X_E(7)$ is a plane quartic curve defined over K.
- X is a twist of the Klein quartic:

$$X(7)$$
 : $x^3y + y^3z + z^3x = 0$.

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• To generate solvable points, take a line $\ell \in \check{\mathbb{P}}^2(K)$ and look at $\ell \cdot X$.

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- To generate solvable points, take a line $\ell \in \check{\mathbb{P}}^2(K)$ and look at $\ell \cdot X$.
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Lemma

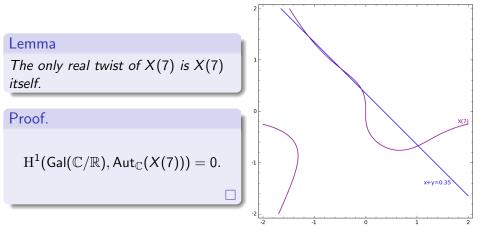
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Proof.

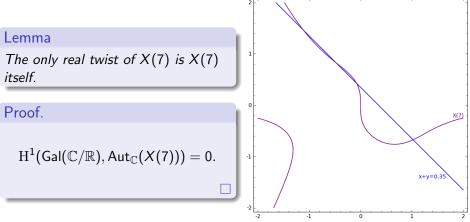
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\mathrm{H}^{1}(\mathrm{Gal}(\mathbb{C}/\mathbb{R}),\mathrm{Aut}_{\mathbb{C}}(X(7)))=0.
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Answer: Yes! For each $\sigma : K \hookrightarrow \mathbb{R}$, there is some non-empty open $U_{\sigma} \subset \check{\mathbb{P}}^{2}(K_{\sigma})$ so that if $\ell \in \check{\mathbb{P}}^{2}(K) \cap \prod_{\sigma} U_{\sigma}$ then $\ell \cdot X$ defines a totally real extension.

Theorem (Manoharmayum, Freitas-Le Hung-S.)

If E/K satisfies the Big Image Condition mod 7 then E is modular.

Corollary

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Theorem (Calegari, Freitas–Le Hung–S.)

There are at most finitely many j-invariants of elliptic curves over K that are non-modular.

Modularity Continued

To prove modularity for all real quadratic fields, it is enough to compute all the non-cuspidal real quadratic points on

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A much finer analysis shows that it enough to do this for the following seven modular curves:

- X(b5, b7) (genus 3);
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- X(s3,s5) (genus 4);
- X(b3, b5, d7) (genus 97);
- X(s3, b5, d7) (genus 153);
- X(b3, b5, e7) (genus 73);
- X(s3, b5, e7) (genus 113).

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If P is a quadratic point on $X_0(35)$, then

$$[P+P^{\sigma}-\infty_{+}-\infty_{-}]\in J_{0}(35)(\mathbb{Q}).$$

Lemma

All quadratic points on $X_0(35)$ have the form

$$P = (x, \pm \sqrt{f(x)}), \qquad f(x) = (x^2 + x - 1)(x^6 - 5x^5 - 9x^3 - 5x - 1)$$

with $x \in \mathbb{Q}$ (except for $\left(\frac{-1 \pm \sqrt{5}}{2}, 0\right)$).

$$P = \left(x, \sqrt{f(x)}\right) = (E, C), \qquad x \in \mathbb{Q}, \qquad K = \mathbb{Q}(\sqrt{f(x)})$$

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$$(E^{\sigma}, C^{\sigma}) = P^{\sigma} = (x, -\sqrt{f(x)}) = \iota(P), \qquad \begin{cases} \sigma : K \to K \text{ conjugation} \\ \iota = \text{hyperelliptic involution} \end{cases}$$

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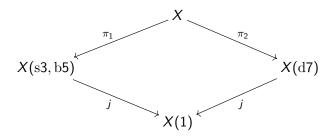
Conclusion: E^{σ} is isogenous to *E*. Therefore *E* is a \mathbb{Q} -curve. Therefore, *E* is modular (by Ribet and Khare–Wintenberger). **Moral:** If you want to prove modularity of quadratic points on a modular curve *X*, use Mordell–Weil information (over \mathbb{Q}) to prove that Galois conjugation is a geometric involution on *X*.

A Big Example Let X = X(s3, b5, d7) (genus 153).

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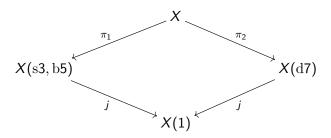
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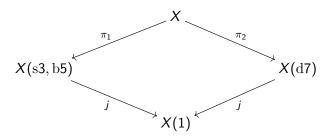
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Representing points on X: Roughly speaking, if \mathbb{F} is a field, then $P \in X(\mathbb{F})$ is a pair (P_1, P_2) where $P_1 \in X(s3, b5)(\mathbb{F})$ and $P_2 \in X(d7)(\mathbb{F})$ with $j(P_1) = j(P_2)$. (Can be made precise.)

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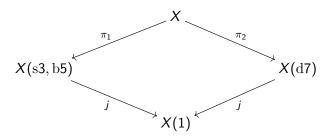
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Moreover,

 $X(s3, b5)(\mathbb{Q}) \cong \mathbb{Z}/4\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}, \qquad X(d7)(\mathbb{Q}) \cong \mathbb{Z}/2\mathbb{Z}.$

 $P \in X(K)$

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Suppose $Q + Q^{\sigma} = \mathcal{O}$. Then $Q^{\sigma} = -Q$.

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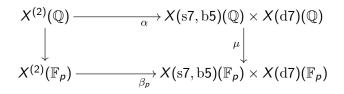
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 $egin{aligned} Q+Q^\sigma &= \mathcal{O} &\implies Q ext{ maps to a point in } X(\mathrm{s7})(\mathbb{Q}) \ &\implies & ext{the point } Q \in X(\mathrm{d7})(K) ext{ is modular} \ &\implies & ext{the point } P \in X(K) ext{ is modular} \end{aligned}$

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 $Q + Q^{\sigma} = \mathcal{O} \implies Q$ maps to a point in $X(s7)(\mathbb{Q})$ \implies the point $Q \in X(d7)(K)$ is modular \implies the point $P \in X(K)$ is modular

Objective: Show that this is true for all $P \in X(K)$ for all quadratic K.



 $\alpha(\{P, P^{\sigma}\}) = (\pi_1(P) + \pi_1(P^{\sigma}), \pi_2(P) + \pi_2(P^{\sigma}))$

$$\begin{array}{c} X^{(2)}(\mathbb{Q}) & \longrightarrow & X(\mathrm{s7, b5})(\mathbb{Q}) \times X(\mathrm{d7})(\mathbb{Q}) \\ \downarrow & & \mu \\ & & \downarrow \\ X^{(2)}(\mathbb{F}_p) & \longrightarrow & J_{\beta_p} \times X(\mathrm{s7, b5})(\mathbb{F}_p) \times X(\mathrm{d7})(\mathbb{F}_p) \end{array}$$

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Observe $\operatorname{Im}(\alpha) \subseteq \mu^{-1}(\operatorname{Im}(\beta_p))$.

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Note $\pi_2(P) + \pi_2(P)^{\sigma} = \mathcal{O}$.

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Conclusion

Theorem (Freitas–Le Hung–S.)

Let E be an elliptic curve over a real quadratic field K. Then E is modular.

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Thank You!