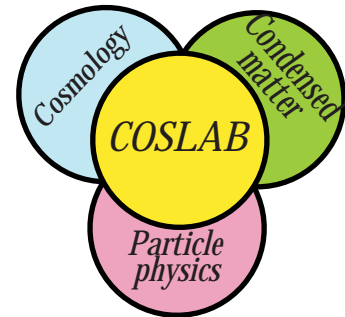


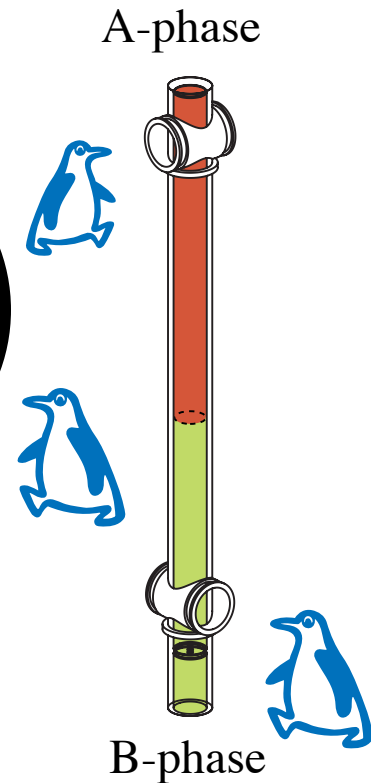
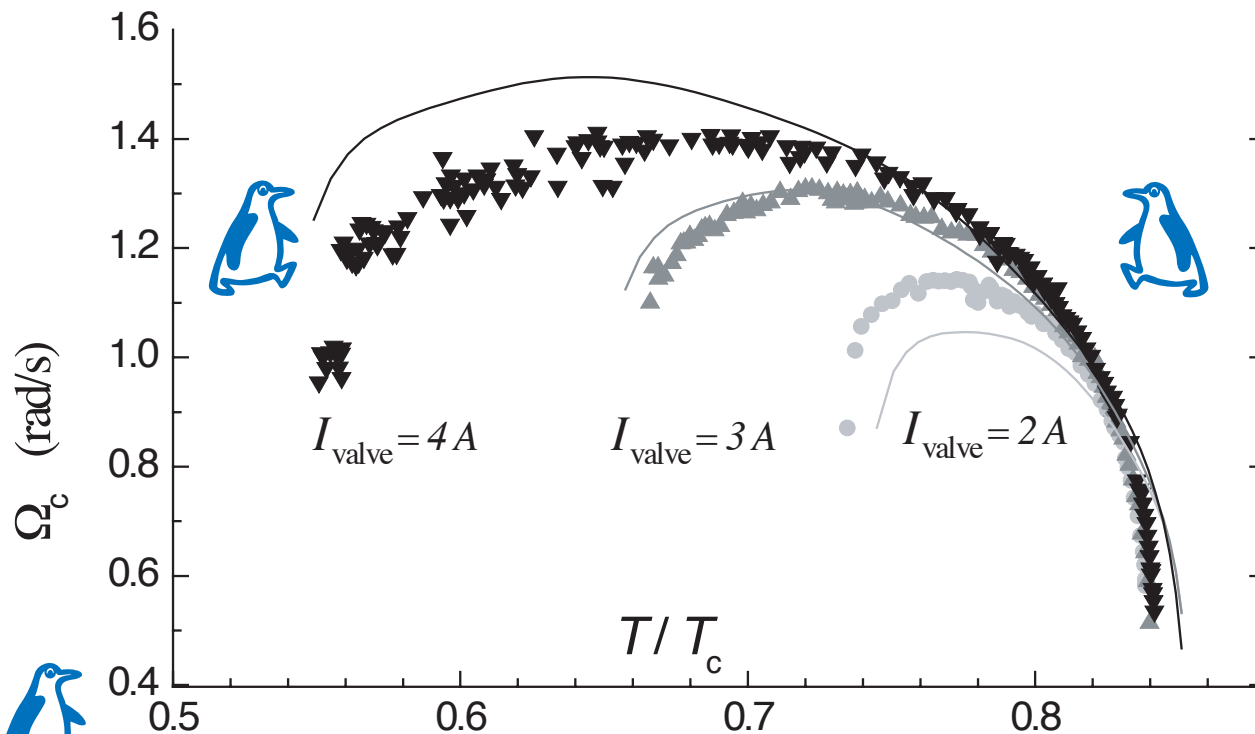
# Black-hole & white-hole horizons for capillary-gravity waves in superfluids

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Helsinki University of Technology & Landau Institute



Warwick  
December 9, 2005



# Effective Lorentzian metric is typical for condensed matter

$$L = (-g)^{1/2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi$$

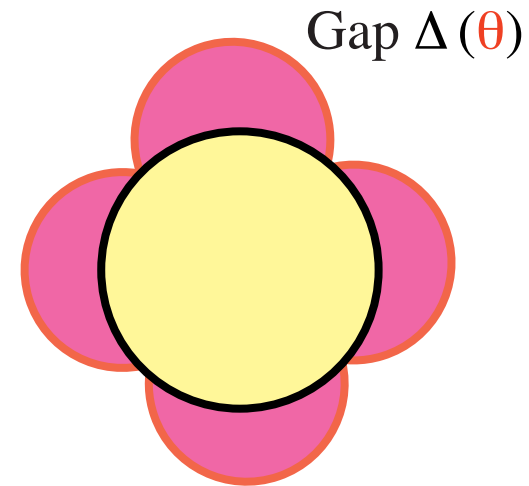
- \* Phonons in moving superfluids & sound waves in moving liquid

*Landau-Khalatnikov two-fluid equations  
are analogs of Einstein equations for gravity and matter*

$$T^{\mu\nu}_{;\mu} = 0$$

- \* Spin waves in inhomogeneous medium
- \* Elasticity theory with dislocations and disclinations
- \* Light in moving dielectric
- \* Quasiparticles in superconductors with nodes
- \* Quasiparticles in  $^3\text{He-A}$  This system is most instructive since gravity appears together with

**chiral fermions, gauge fields, Lorentz invariance  
gauge invariance, the same speed of light for fermions & bosons**



- \* Ripplons on the surface of liquid or interface between liquids  
**best system for simulating event horizon of black hole**

# Acoustic metric in liquids (*Unruh, 1981*) & superfluids

review:  
 Barcelo, Liberati & Visser,  
 Analogue Gravity  
 gr-qc/0505065

Doppler shifted spectrum in moving liquid

$$E = cp + \mathbf{p} \cdot \mathbf{v}$$

$c$  speed of sound



$$E - \mathbf{p} \cdot \mathbf{v} = cp$$



$$-(E - \mathbf{p} \cdot \mathbf{v})^2 + c^2 p^2 = 0$$

$$g^{\mu\nu} p_\mu p_\nu = 0$$

$$p_\nu = (-E, \mathbf{p})$$

$$g^{00} = -1$$

$$g^{0i} = -v^i$$

$$g^{ij} = c^2 \delta^{ij} - v^i v^j$$



inverse metric  $g_{\mu\nu}$  determines effective spacetime  
 in which phonons move along geodesic curves

$$ds^2 = -dt^2 c^2 + (d\mathbf{r} - \mathbf{v}dt)^2$$

reference frame for phonon is dragged  
 by moving liquid



$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$



# Superfluids

speed of sound

Doppler shifted spectrum in moving liquid

$$E = cp + \mathbf{p} \cdot \mathbf{v}$$

acoustic metric

$$ds^2 = - dt^2 c^2 + (d\mathbf{r} - \mathbf{v}dt)^2$$

reference frame for phonon is dragged by moving liquid

Effective metric for phonons propagating in radial superflow  $v(r)$

after time transformation

$$dt = dt - vdr/(c^2-v^2)$$

$$ds^2 = - dt^2 (c^2-v^2) + 2 v dr dt + dr^2 + r^2 d\Omega^2$$

$$\begin{matrix} \uparrow & \uparrow \\ g_{00} & g_{0r} \end{matrix}$$

Schwarzschild metric:  $ds^2 = - dt^2 (c^2-v^2) + dr^2 / (c^2-v^2) + r^2 d\Omega^2$

$$\begin{matrix} \uparrow & \uparrow \\ g_{00} & g_{rr} \end{matrix}$$

$$v^2(r) \text{ Kinetic energy of superflow} = \text{potential of gravitational field} \quad v^2(r) = \frac{2GM}{r}$$

# Acoustic gravity

$c$

$g^{\mu\nu}$

$g_{\mu\nu}$

# Gravity



speed of light

$$g^{\mu\nu} p_\mu p_\nu = 0$$

geometry

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$

Painleve-Gulstrand metric

# Acoustic Black Hole



Liquids & superfluids

acoustic horizon

(Unruh, 1981)

**Painleve-Gulstrand metric**

$$ds^2 = - dt^2 (c^2 - v^2) + 2 v dr dt + dr^2 + r^2 d\Omega^2$$

$\xi_{00}$   $\uparrow$   $\uparrow$   $\xi_{0r}$

**Schwarzschild metric**

$$ds^2 = - dt^2 (c^2 - v^2) + dr^2 / (c^2 - v^2) + r^2 d\Omega^2$$

$\xi_{00}$   $\uparrow$   $\uparrow$   $\xi_{rr}$

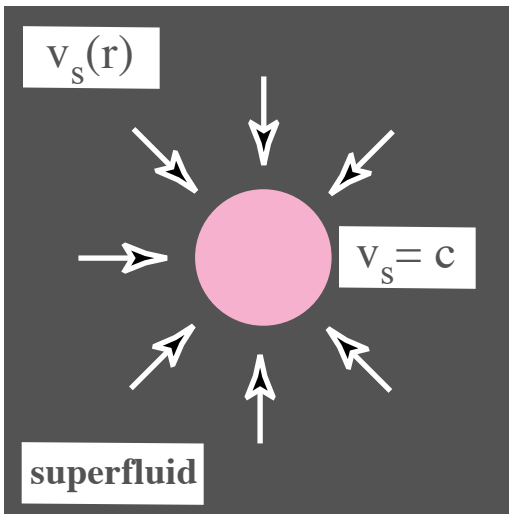
$$v^2(r) = c^2 \frac{r_h}{r}$$

$$v^2(r) = \frac{2GM}{r} = c^2 \frac{r_h}{r}$$

Gravity

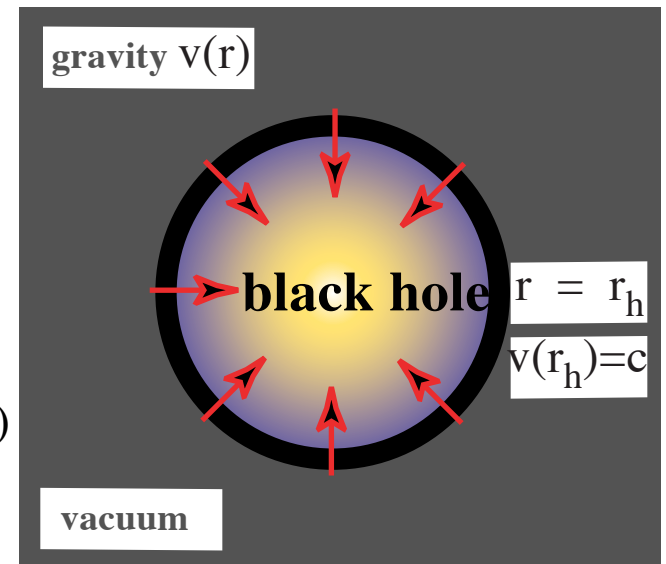


black hole horizon



**Information from interior region cannot be transferred by light or sound**

horizon at  $\xi_{00} = 0$  (or  $v(r_h) = c$ )



# Vacuum resistance to formation of horizon

*Hydrodynamic instability of spherical black hole*

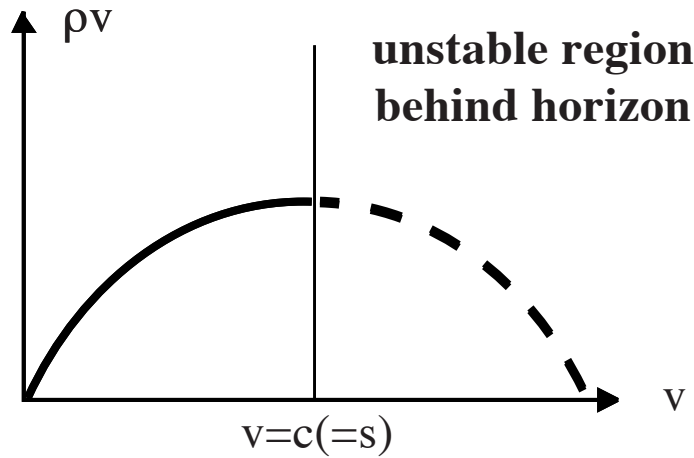
along the stream line of stationary flow:

$$\frac{d(\rho v)}{dv} = \rho(1 - v^2(r)/c^2)$$

continuity equation:

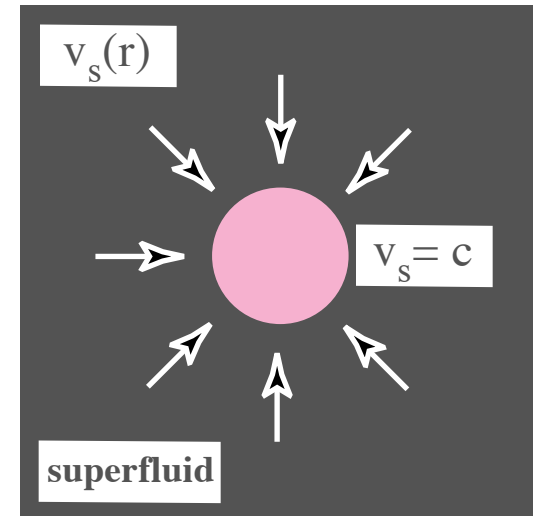
$$\rho v = \text{Const} / r^2$$

horizon cannot be achieved  
because continuity equation  
requires



$$\frac{d(\rho v)}{dv} > 0$$

Such instability is absent  
if speed of "light"  $c < s$  speed of sound  
in Fermi superfluids  $c \ll s$

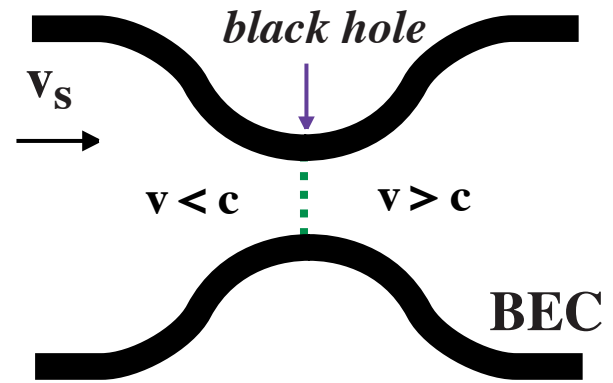


# Analog Black Holes

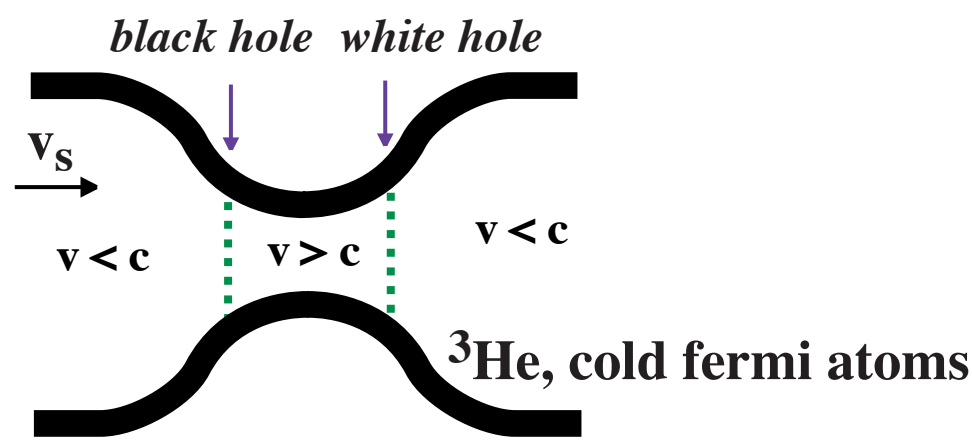
## Painleve-Gulstrand metric

$$ds^2 = - dt^2 (c^2 - v^2) + 2 v dr dt + dr^2 + r^2 d\Omega^2$$

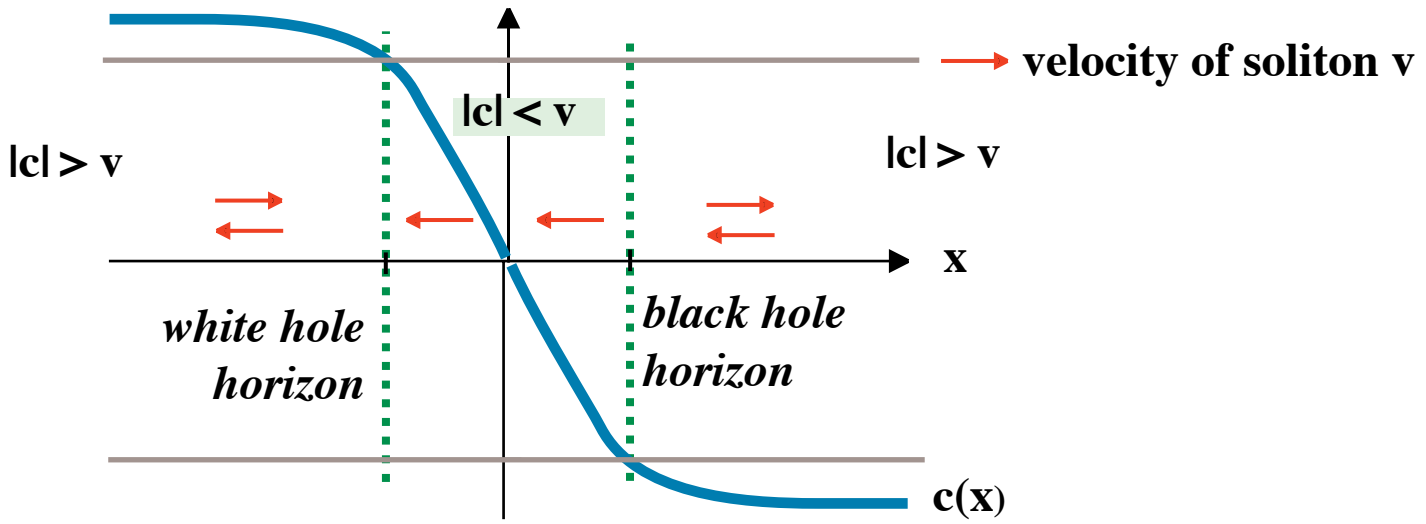
### Acoustic horizon in Laval nozzle

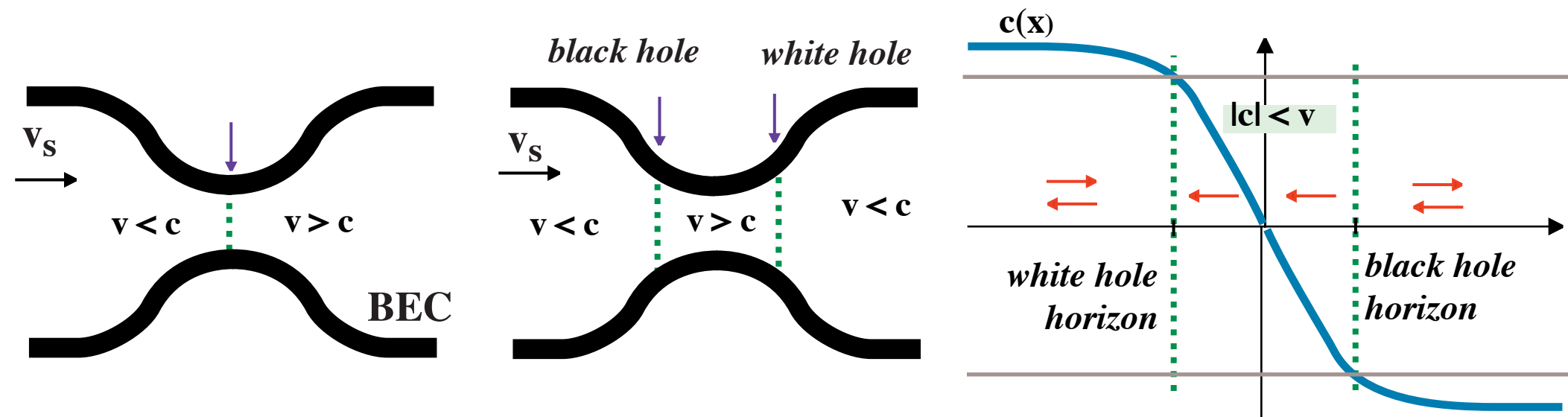


### Horizons for quasiparticles in moving superfluids



### Horizons for quasiparticles in soliton





there are many principle difficulties with above schemes

## Let us try surface waves

Schutzhold & Unruh 2002:  
horizon for gravity waves in shallow water

Helsinki experiments 2002:  
ergoregion instability for surface waves at the interface between superfluids

ENS experiments 2005 (Rolley et al. physics/0508200):  
hydraulic jump in superfluids as white hole (physics/0508215)

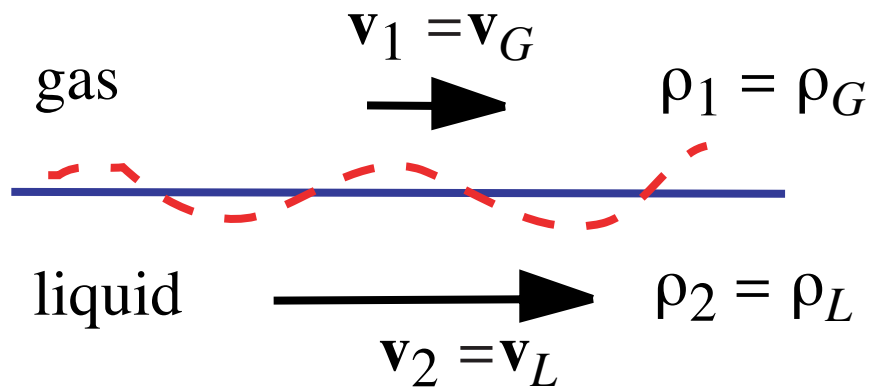


\* **Kelvin-Helmholtz criterion** (dynamic instability of interface under shear flow)

$$\tilde{\rho}(\mathbf{v}_1 - \mathbf{v}_2)^2 = 4 \sqrt{F\sigma}$$

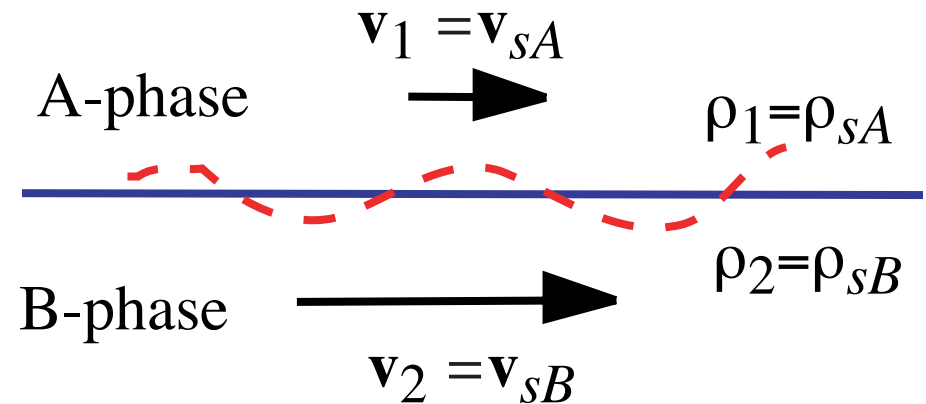
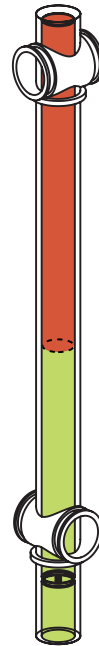
$$k_c = \sqrt{F/\sigma}$$

$$\tilde{\rho} = 2\rho_2\rho_1/(\rho_2+\rho_1)$$



$$F = g(\rho_2 - \rho_1)$$

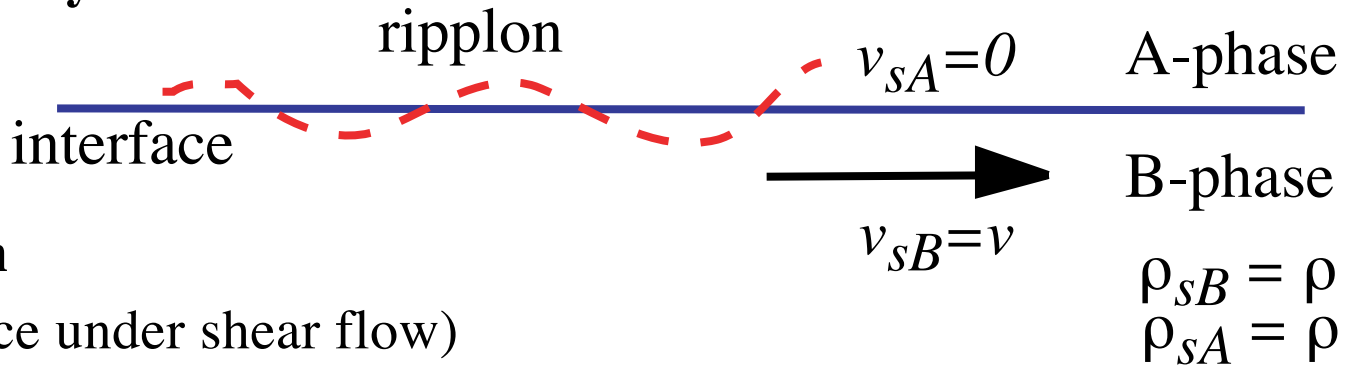
*gravity in liquids*



$$F = \nabla(\chi_B H^2 - \chi_A H^2)$$

*magnetic forces in  $^3\text{He}$*

**3 criteria for interface instability at  $T=0$**



**\* Kelvin-Helmholtz criterion**

(dynamic instability of interface under shear flow)

$$\rho v^2 = 4\sqrt{F\sigma}$$

$$k_c = \sqrt{F/\sigma}$$

**\* Landau criterion**

(excitation of quasiparticles -- ripples = capillary-gravity waves)

$$v = \min_k \frac{\omega(k)}{k}$$

$$\omega(k) = \frac{\sqrt{kF + k^3\sigma}}{\sqrt{2\rho}}$$

**rippion spectrum in deep water**

$$\rho v^2 = \sqrt{F\sigma}$$

$$k_c = \sqrt{F/\sigma}$$

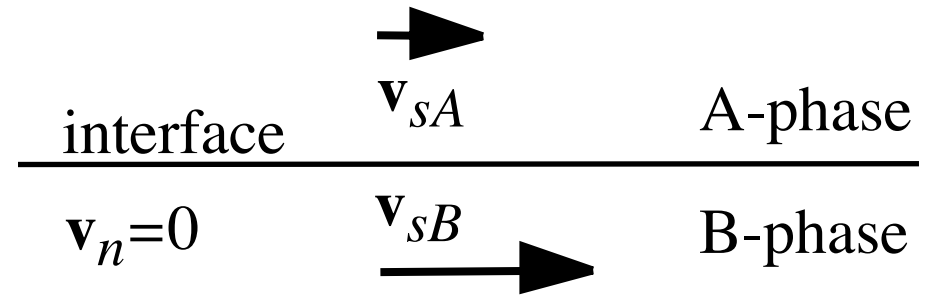
**\* Thermodynamic (ergoregion) instability criterion**

(negative free energy = ergoregion at  $T=0$ )

$$\rho v^2 = 2\sqrt{F\sigma}$$

$$k_c = \sqrt{F/\sigma}$$

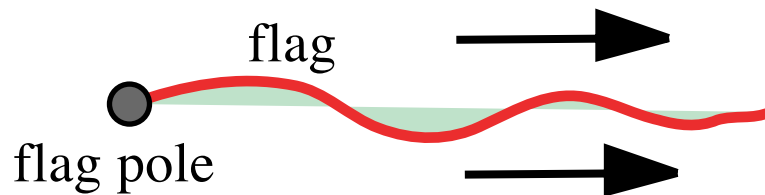
**\* Thermodynamic instability criterion**  
 (negative free energy of perturbations)



$$\rho_{sB}(\mathbf{v}_{sB} - \mathbf{v}_n)^2 + \rho_{sA}(\mathbf{v}_{sA} - \mathbf{v}_n)^2 = 2\sqrt{F\sigma}$$

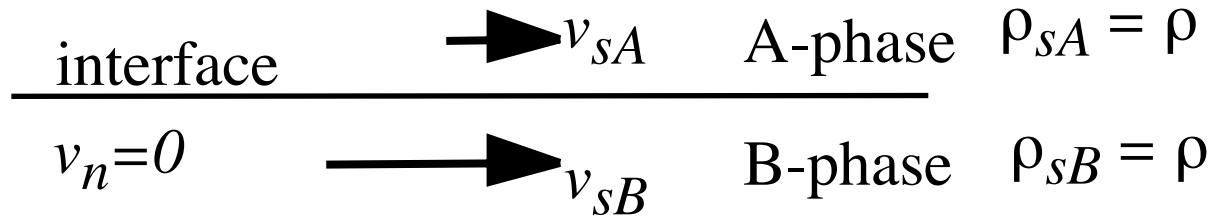
instability is caused by winds of superfluid components with respect to the normal component (or with respect to the container wall at  $T=0$ ).  
 It occurs even if  $\mathbf{v}_{sB} = \mathbf{v}_{sA}$

**flapping of sails and flags (Rayleigh)**



wind velocity with respect to flag pole is the same on both sides of flexible membrane

**Landau criterion (T=0)**



\* **Conventional Landau criterion** (applicable for single superfluid liquid only)

$$\omega(\mathbf{k}, \mathbf{v}_s) = \omega(k) + \mathbf{k} \cdot \mathbf{v}_s < 0 \quad \longrightarrow \quad v = \min \frac{\omega(k)}{k}$$

\* **Generalized Landau criterion** for two superfluid liquids

$$\omega(\mathbf{k}, \mathbf{v}_{sA}, \mathbf{v}_{sB}) < 0$$

**ripplon spectrum**

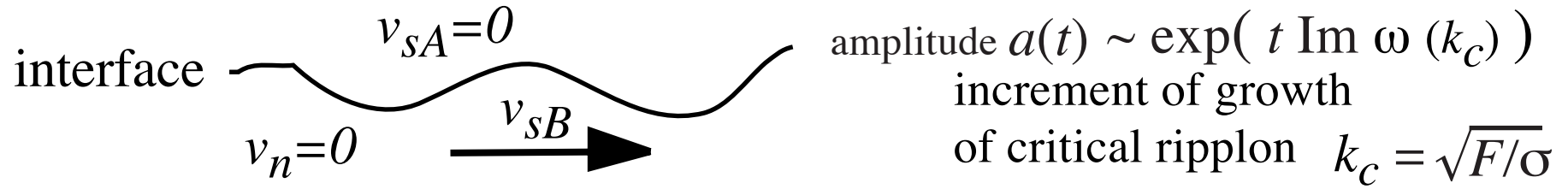
$$\omega(\mathbf{k}, \mathbf{v}_{sA}, \mathbf{v}_{sB}) = \mathbf{k} \cdot (\mathbf{v}_{sA} + \mathbf{v}_{sB}) / 2 + \frac{\sqrt{kF + k^3\sigma - k^2\rho(\mathbf{v}_{sA} - \mathbf{v}_{sB})^2/2}}{\sqrt{2\rho}}$$

$\downarrow$

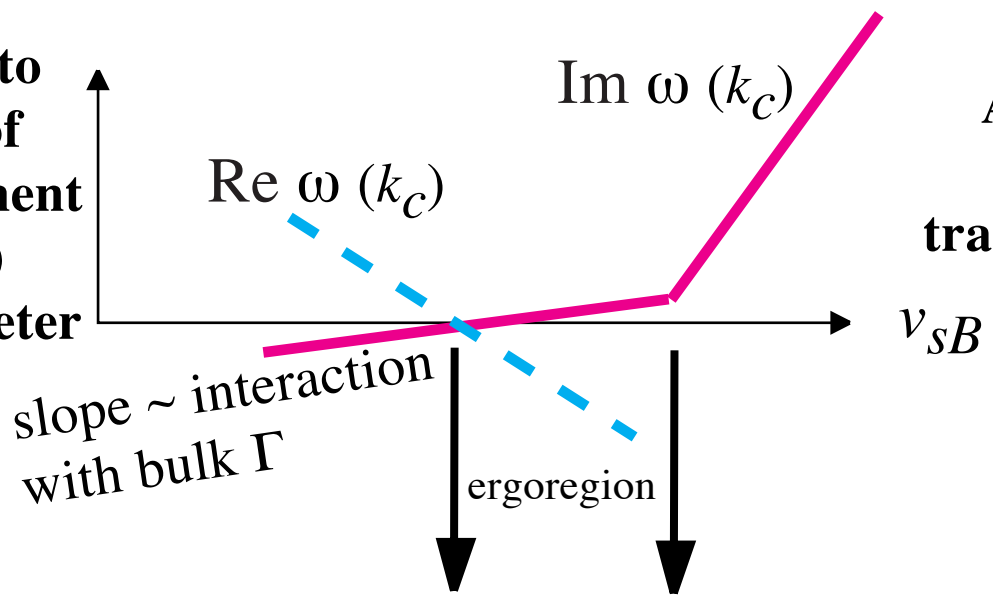
$$\rho(v_{sB} - v_n)^2 + \rho(v_{sA} - v_n)^2 = 2\sqrt{F\sigma}$$

**Coincides with thermodynamic instability criterion**

# Landau-Ergoregion instability vs Kelvin-Helmholtz instability (effect of environment)



**KH analysis taking into account interaction of interface with environment (normal component)**  
 $\Gamma$  – interaction parameter



**Attenuation of ripplon due to environment transforms to amplification in the ergoregion**

**Thermodynamic & Landau ergoregion instability condition**

$$\rho v_{sB}^2 = 2\sqrt{F\sigma}$$

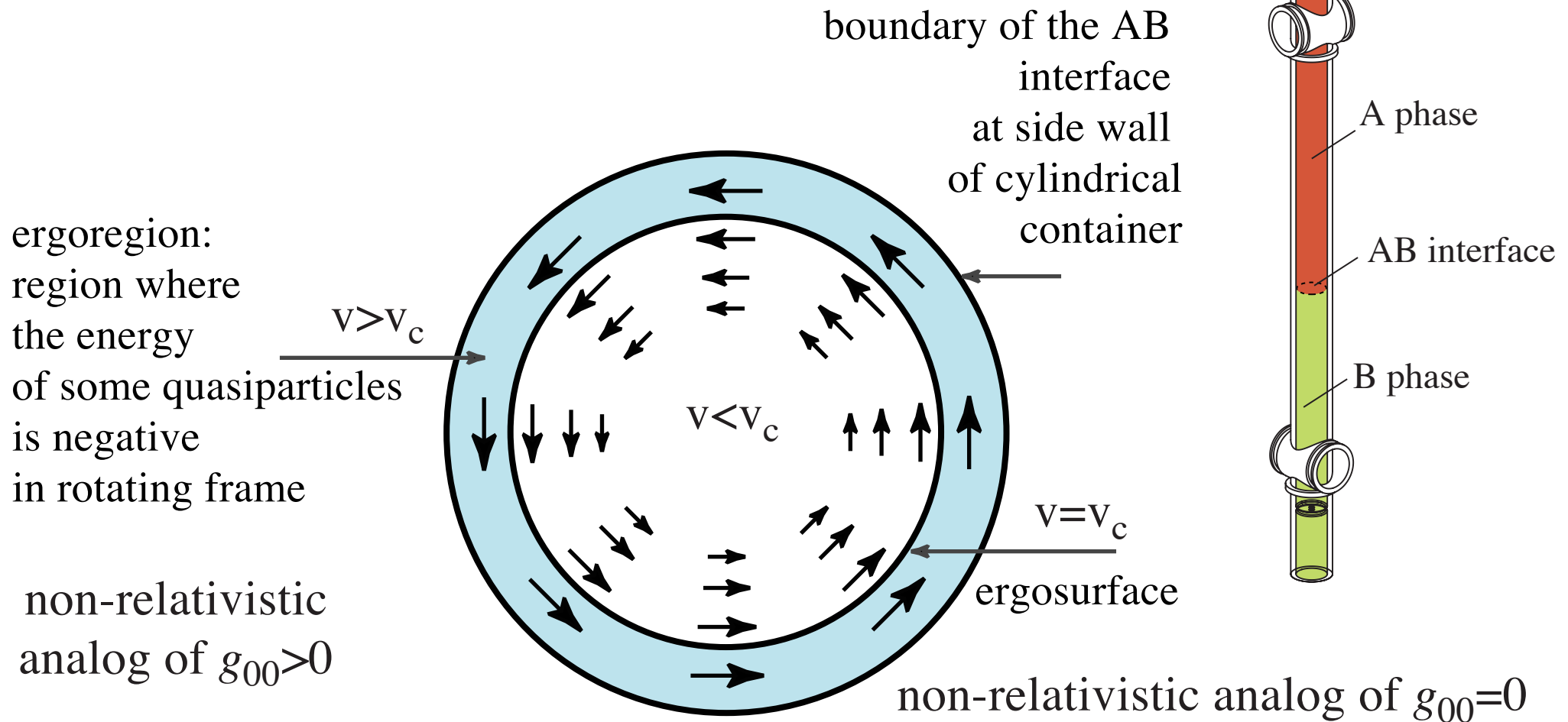
$$\rho v_{sB}^2 = 4\sqrt{F\sigma}$$

**KH instability condition at  $\Gamma=0$**

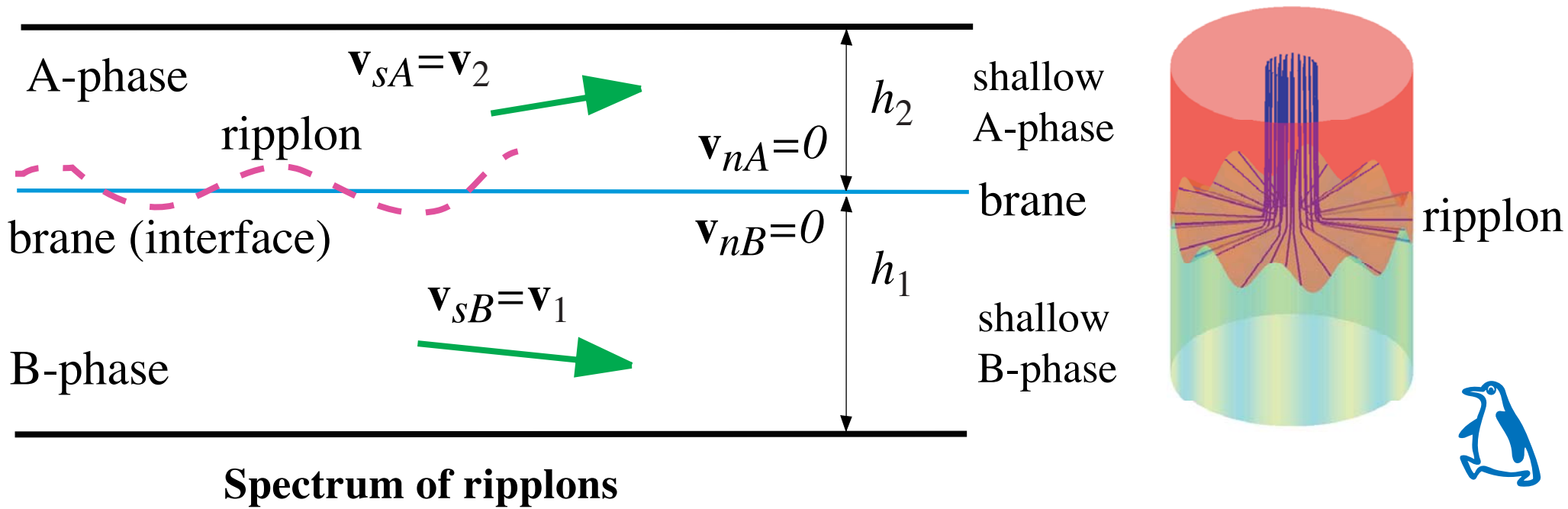
$$\Gamma \sim T^3 \text{ (Kopnin, 1987)}$$

# Ergoregion for ripplons living at the AB-brane in Helsinki experiments

interface between static B-phase and A phase circulating with solid-body velocity  $v=\Omega r$   
(velocity is shown by arrows)



Relativistic riplons -- quasiparticles living on brane between two **shallow** superfluids (future experiments, see "The Universe in a Helium Droplet" Oxford 2003)



$$\alpha_1(\omega - \mathbf{k} \cdot \mathbf{v}_{sA})^2 + \alpha_2(\omega - \mathbf{k} \cdot \mathbf{v}_{sB})^2 = c^2 k^2$$

**Effective metric for riplons**

**Speed of light**

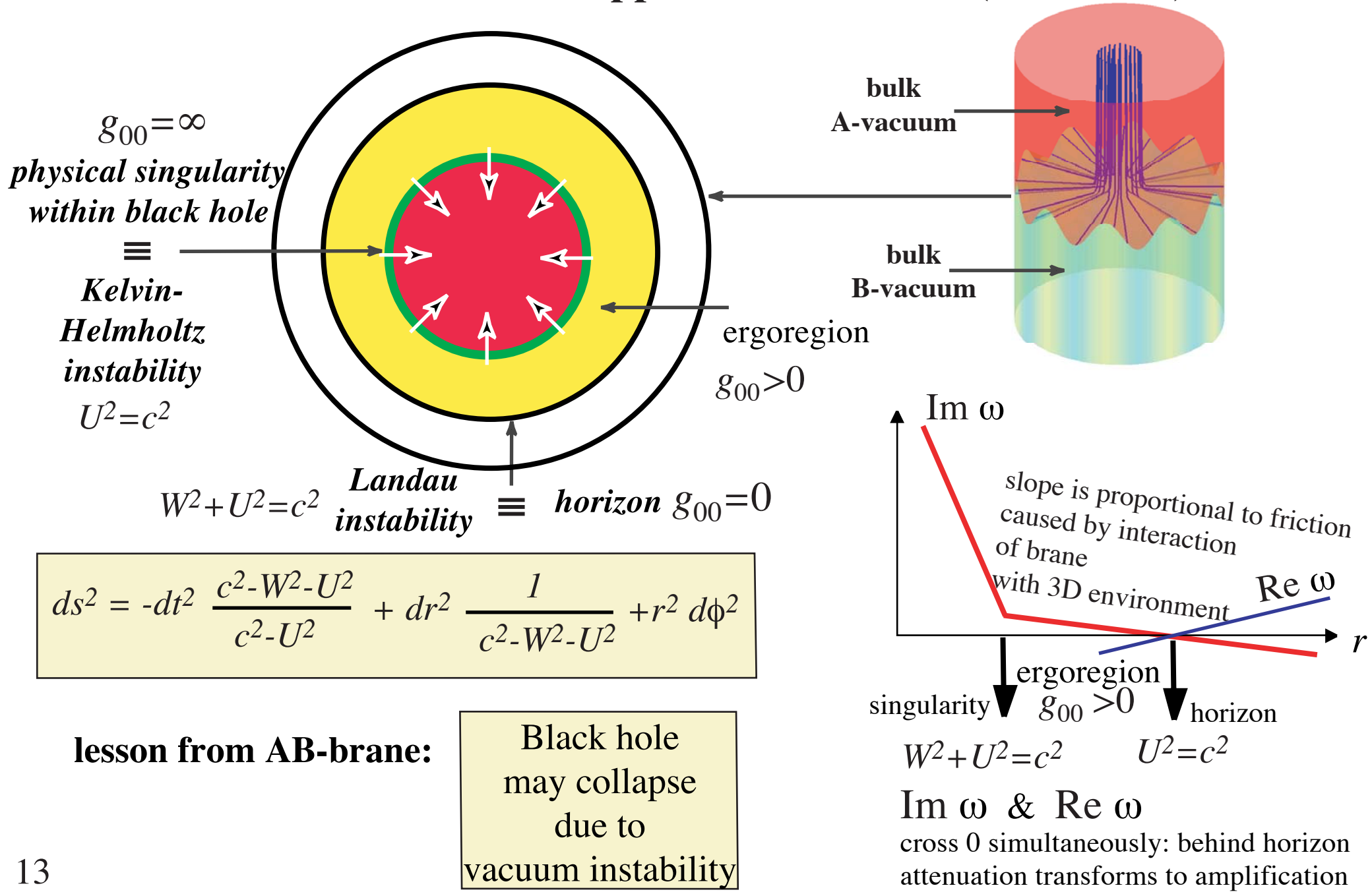
$$c^2 = (F/\rho_s) h_1 h_2 / (h_1 + h_2)$$

$$ds^2 = -dt^2 \frac{c^2 - W^2 - U^2}{c^2 - U^2} + dr^2 \frac{1}{c^2 - W^2 - U^2} + r^2 d\phi^2$$

$$U^2 = \alpha_1 \alpha_2 (\mathbf{v}_1 - \mathbf{v}_2)^2$$

$$\mathbf{W} = \alpha_1 \mathbf{v}_1 + \alpha_2 \mathbf{v}_2$$

# Artificial black hole for ripples at AB-brane (radial flow)



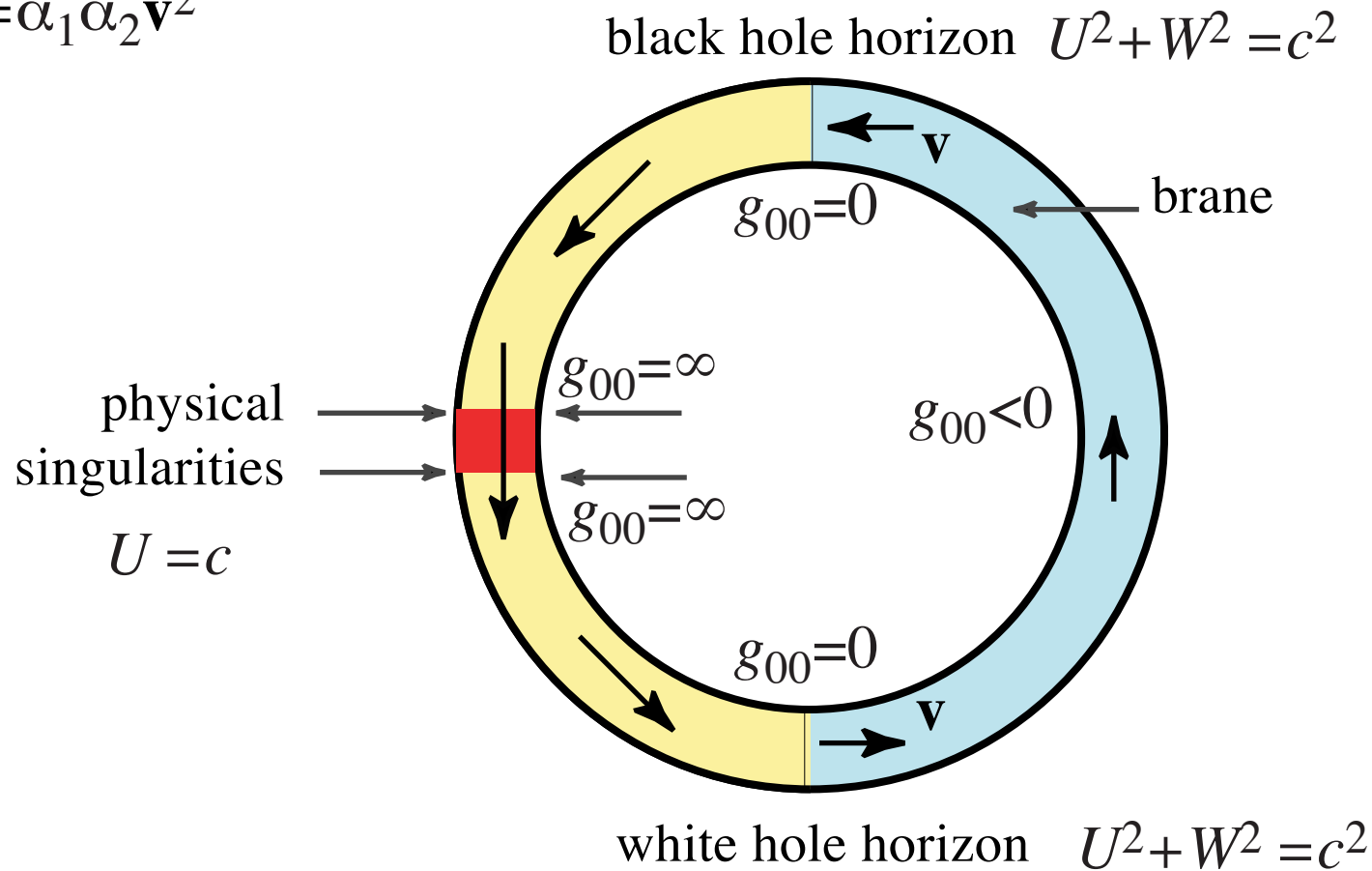


# Artificial black hole for ripplons within AB-brane (azimuthal flow)

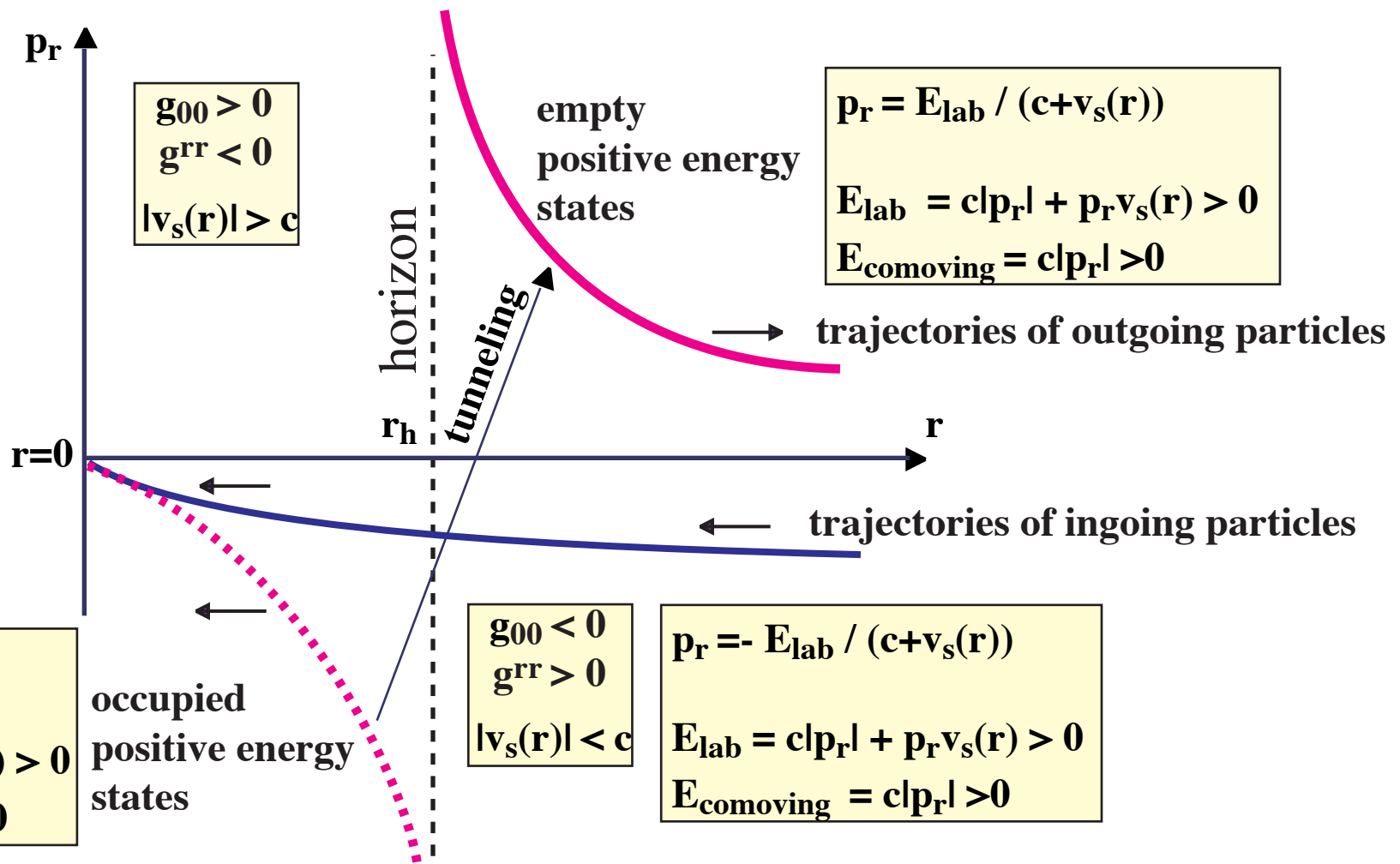
$$ds^2 = -dt^2 \frac{c^2 - W^2 - U^2}{c^2 - U^2} + r^2 d\phi^2 \frac{1}{c^2 - W^2 - U^2} + dr^2$$

$$W^2 = \alpha_1^2 \mathbf{v}^2$$

$$U^2 = \alpha_1 \alpha_2 \mathbf{v}^2$$



# Hawking radiation as tunneling



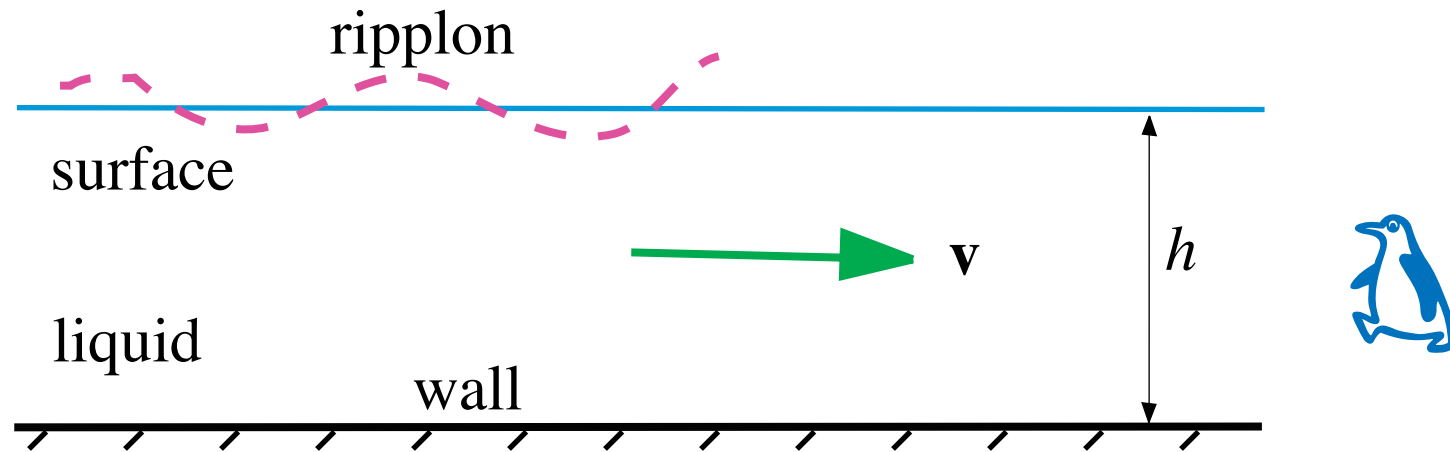
$$W = w e^{-S} = w e^{-E/T_H} \quad S = 2 \operatorname{Im} \int dr p_r(E_{lab}) = E_{lab} / T_H$$

tunneling exponent and Hawking temperature

$$T_H = v' / 2\pi$$

# Hydraulic jump

## 1. Relativistic riplons in shallow water



### Spectrum of riplons

$$(\omega - \mathbf{k} \cdot \mathbf{v})^2 = c^2 k^2 + c^2 k^2 (k^2/k_c^2 - k^2 h^2/3)$$

$$k \ll k_c = \sqrt{F/\sigma}$$

$$kh \ll 1$$

### Effective metric for riplons

$$ds^2 = -dt^2 (1 - v^2/c^2) + dr^2 \frac{1}{c^2 - v^2} + r^2 d\phi^2$$



### Speed of "light"

$$c^2 = (F/\rho_s)h$$

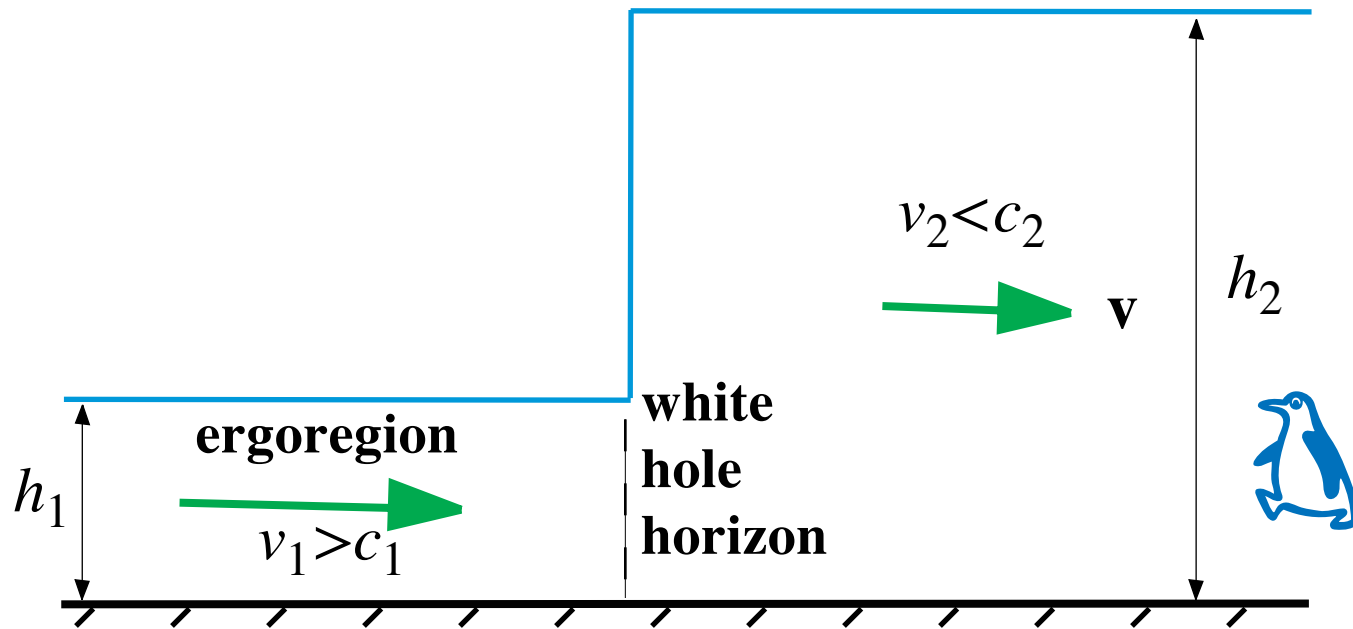
circular hydraulic jump



*PP' 05*

*Courtesy Piotr Pieranski*

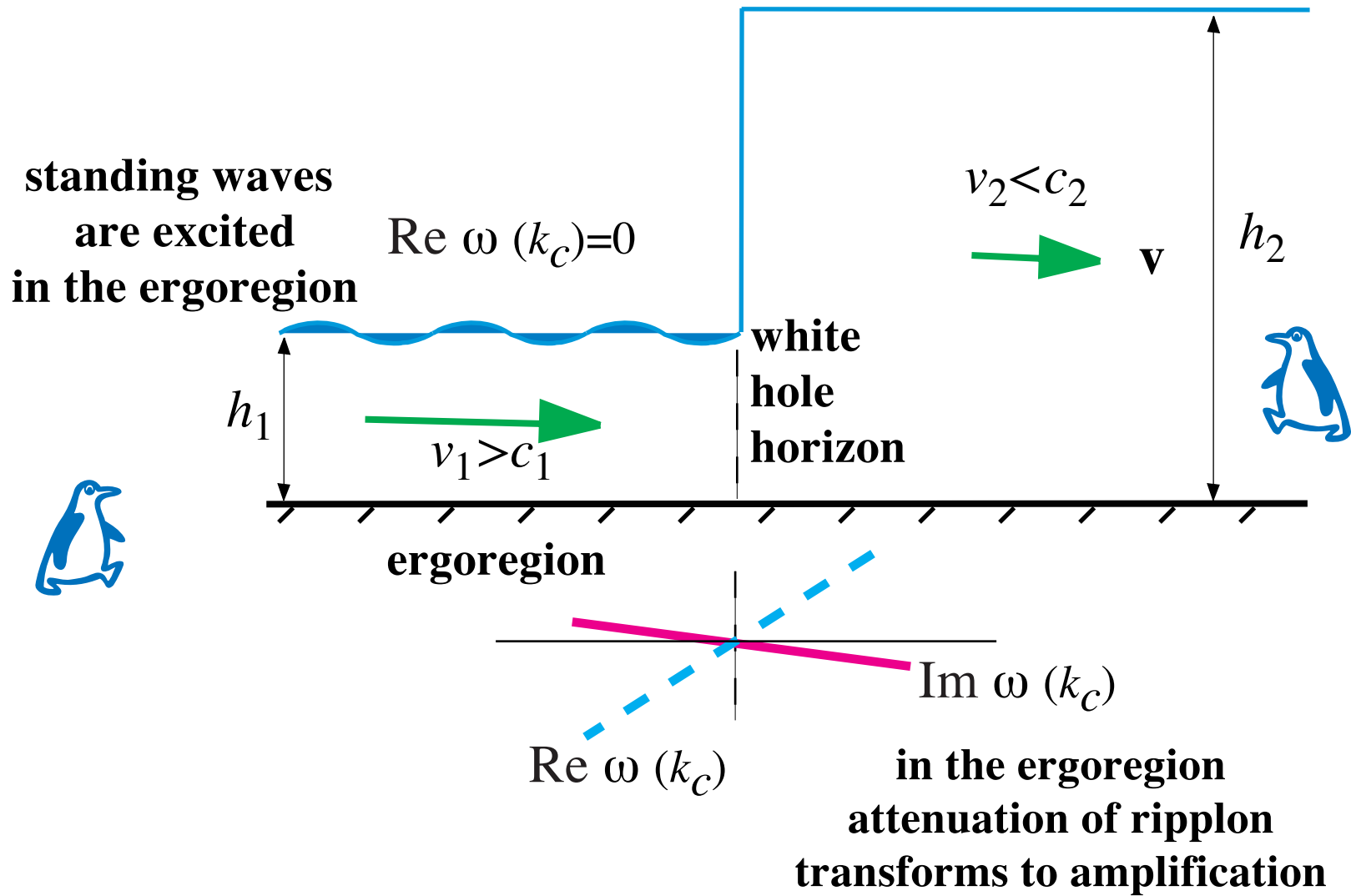
## 2. Hydraulic jump as white hole



$$ds^2 = -dt^2 (1 - v^2/c^2) + dr^2 \frac{1}{c^2 - v^2} + r^2 d\phi^2$$



### 3. Observation of instability in the ergoregion E. Rolley et al. physics/0508200



$$ds^2 = -dt^2 (1 - v^2/c^2) + dr^2 \frac{1}{c^2 - v^2} + r^2 d\phi^2$$

# Conclusion

- \* Ripplons on the surface of liquid or interface between liquids:  
**best system for simulating event horizon of black & white holes**
- \* Thermodynamic instability of interface:  
**analog of vacuum instability in the ergoregion**
- \* Kelvin-Helmholtz instability of interface:  
**analog of black-hole singularity**
- \* Lesson for gravity:  
**vacuum instability in the ergoregion may be the main mechanism of decay of black hole**
- \* Hydraulic jump in superfluids:  
**first realization of instability of relativistic ergoregion**