

Fine interior of a lattice polytope: MMP and MS

Victor Batyrev

Eberhard Karls Universität Tübingen

Warwick Algebraic Geometry Seminar
30 June 2020

What is Fine interior?

$M \cong \mathbb{Z}^d$, $N := \text{Hom}(M, \mathbb{Z})$, $\langle *, * \rangle : M \times N \rightarrow \mathbb{Z}$ pairing
 $M_{\mathbb{R}} := M \otimes \mathbb{R}$, $N_{\mathbb{R}} := N \otimes \mathbb{R}$ are vector spaces over \mathbb{R} .
 $A \subset M$ a finite subset, $P := \text{conv}(A) \subset M_{\mathbb{R}}$ a full-dimensional lattice polytope.

e Consider the piecewise linear function $\text{ord}_P : N_{\mathbb{R}} \rightarrow \mathbb{R}$:

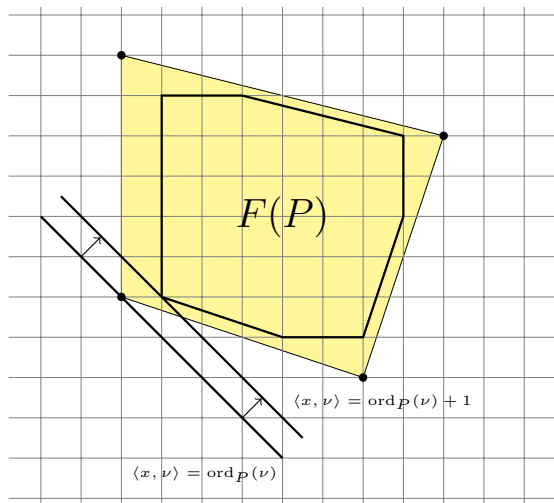
$$\text{ord}_P(y) = \min_{x \in P} \langle x, y \rangle, \quad y \in N_{\mathbb{R}}.$$

Then $P = \{x \in M_{\mathbb{R}} : \langle x, \nu \rangle \geq \text{ord}_P(\nu) \quad \forall \nu \in N \setminus \{0\}\}$.

Definition

$F(P) := \{x \in M_{\mathbb{R}} : \langle x, \nu \rangle \geq \text{ord}_P(\nu) + 1 \quad \forall \nu \in N \setminus \{0\}\}$
is called **Fine interior of P** .

$F(P) = \text{Conv}(P^\circ \cap M)$ for a 2-dimensional lattice polytope



- ▶ Jonathan Fine, *Resolution and completion of algebraic varieties*, Ph.D.-Thesis, University of Warwick, June 1983.
(in [Ph.D.-Thesis, §4] $F(P)$ is called [heart of \$P\$](#) .)

- ▶ Jonathan Fine, *Resolution and completion of algebraic varieties*, Ph.D.-Thesis, University of Warwick, June 1983. (in [Ph.D.-Thesis, §4] $F(P)$ is called [heart of \$P\$](#) .)
- ▶ Miles Reid, *Young person's guide to canonical singularities*, Algebraic geometry, Bowdoin, 1985.

- ▶ V.V. Danilov, *The geometry of toric varieties*, Russian Math. Surveys **33** :2 (1978), 97-154.
- ▶ A.G.Khovanskii, *Newton polyhedra and the genus of complete intersections*, Func. Anal. Appl. **12** (1978), 51-61.

Lattice polytopes P are considered as **Newton polytopes of non-degenerate hypersurfaces** in the algebraic torus

$$\mathbb{T} = \text{Hom}(M, \mathbb{C}^*).$$

Valuations in birational geometry of algebraic varieties:

M is the lattice of monomials, or characters of \mathbb{T}

N is the lattice of valuations of the function field $\mathbb{C}(\mathbb{T})$

What is MMP?

Attempts to find a "good" geometric representatives X in a given birational class of d -dimensional varieties ($d \geq 3$).

Our payment: We have to accept existence mild singularities of "good representatives" X .

Criterion: "Good behaviour" with respect to differential forms of top degree (wrt. canonical class K_X), non-negativity of K_X (if possible).

Discrepancies

X a normal irreducible quasi-projective \mathbb{Q} -Gorenstein algebraic variety. Take a resolution of singularities of X

$$\rho : Y \rightarrow X$$

with the exceptional locus $\bigcup_{i=1}^r D_i$ union of smooth irreducible divisors with only **normal crossings**.

$$I := \{1, \dots, r\}$$

$$K_Y = \rho^* K_X + \sum_{i \in I} a_i D_i,$$

The rational numbers $a_i \in \mathbb{Q}$ ($i \in I$) are called **discrepancies** of divisors D_i .

Definition

Singularities of X are called at worst

- ▶ *terminal* if $a_i > 0, \forall i \in I$;
- ▶ *canonical* if $a_i \geq 0, \forall i \in I$;
- ▶ *log-terminal* if $a_i > -1, \forall i \in I$.

Definition

A normal projective variety X with at worst \mathbb{Q} -factorial terminal singularities is called *minimal model* if the canonical class K_X is a semi-ample \mathbb{Q} -Cartier divisor.

Non-degenerate hypersurfaces

Definition

A Laurent polynomial

$$f(\mathbf{t}) = \sum_{m \in A} a_m \mathbf{t}^m \in \mathbb{C}[M] \cong \mathbb{C}[t_1^{\pm 1}, \dots, t_d^{\pm 1}]$$

with **Newton polytope** $P = \text{conv}(A) \subset M_{\mathbb{R}} := M \otimes \mathbb{R}$ is called *non-degenerate* if for any face $P' \preceq P$ the affine hypersurface

$$Z_{f, P'} := \left\{ \sum_{m \in A \cap P'} a_m \mathbf{t}^m = 0 \right\} \subset \mathbb{T}.$$

is smooth. The **non-degeneracy** of $f(\mathbf{t})$ is a Zariski **open** condition on its coefficients $\{a_m\} \in \mathbb{C}^{|A \cap M|}$.

Theorem of Ishii: Existence of a minimal model

- ▶ Shihoko Ishii, *The minimal model theorem for divisors of toric varieties*, Tohoku Math. J. (1999), 213-226.

Theorem of Ishii

A non-degenerate hypersurface $Z \subset \mathbb{T}$ defined by a Laurent polynomial $f \in \mathbb{C}[M]$ with Newton polytope P has a minimal model if and only if Fine interior of P is not empty, i.e.,

$$\kappa(Z) \geq 0 \Leftrightarrow F(P) \neq \emptyset.$$

Moreover, a minimal model \widehat{Z} (if exists) can be obtained as Zariski closure of Z in some toric embedding $\mathbb{T} \hookrightarrow \widehat{V}$, i.e. in some projective toric variety \widehat{V} .

First algorithm to construct a minimal model (Ishii)

The first algorithm is standard:

- ▶ Take a projective closure of Z in the toric variety defined by the normal fan Σ_P ;
- ▶ Take a regular refinement Σ of the normal Σ_P and obtain a smooth projective model \bar{Z} of Z as Zariski closure in toric variety $V = V(\Sigma)$. The condition $F(P) \neq \emptyset$ imply that the adjoint linear system $K_V + \bar{Z}$ is not empty (due to Khovanskii);
- ▶ Apply toric MMP to pair (V, \bar{Z}) (due to Miles Reid). After finitely many steps we get a toric pair (\hat{V}, \hat{Z}) with nef adjoint divisor. Then \hat{Z} is a minimal model.

Second algorithm to construct a minimal model (Ishii)

The second algorithm is shorter. It is important to use the fact that a minimal model X is always uniquely determined in codimension 1.

Definition

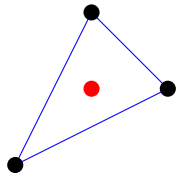
The *support of Fine interior* $F(P)$ is the finite set

$$S_F(P) := \{\nu \in N : \text{ord}_{F(P)}(\nu) = \text{ord}_P(\nu) + 1\}.$$

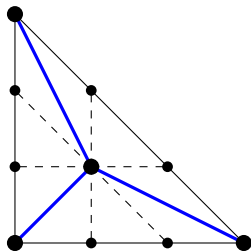
This is the set of *essential valuations* $\nu \in N$ that contribute to $F(P)$

- ▶ Find the set $S_F(P)$ as the set of lattice generators of 1-dimensional cones in the fan $\widehat{\Sigma}$.
- ▶ Construct the simplicial fan $\widehat{\Sigma}$ with $\widehat{\Sigma}[1] = S_F(P)$ as a normal fan to some full-dimensional simple polytope $\square(\varepsilon)$ with given facet normals $S_F(P)$ obtained by "puffing up" the polytope $F(P)$.

Example in dimension 2



$$F(P) \subset P$$



$$P^*, S_F(P)$$

Definition

A d -dimensional lattice polytope $P \subset M_{\mathbb{R}}$ containing $0 \in M$ in its interior is called *reflexive* if the *polar dual* polytope

$$P^* := \{y \in N_{\mathbb{R}} : \langle x, y \rangle \geq -1, \forall x \in P\}$$

is a lattice polytope.

Remark

Let P be a reflexive polytope. Then

- ▶ $F(P) = \{0\}$;
- ▶ $S_F(P) = P^* \cap (N \setminus \{0\})$.

2-dimensional reflexive polytopes

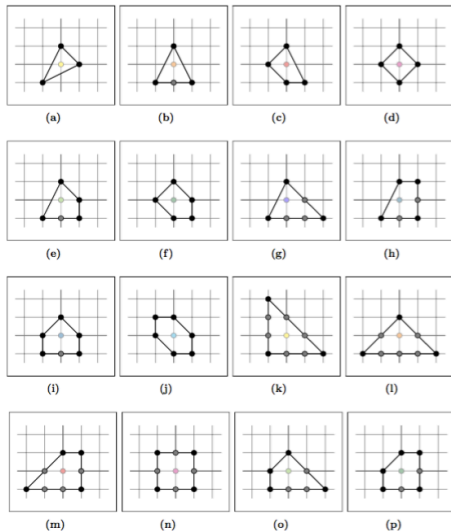
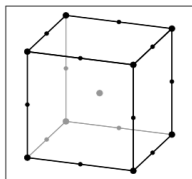


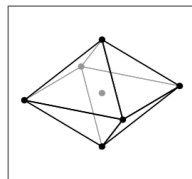
Figure: 2-dimensional reflexive polytopes

Source: Karin Schaller, *Stringy Invariants of Algebraic Varieties and Lattice Polytopes*, Ph.D. thesis, Eberhart Karls Univ. Tübingen, 2019.

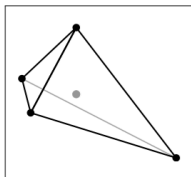
Some Reflexive 3-polytopes



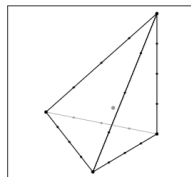
(a) Cube.



(b) Octahedron.



(c) 3-simplex.



(d) Tetrahedron.

Figure: Some Reflexive 3-polytopes.

Source: Karin Schaller, *Stringy Invariants of Algebraic Varieties and Lattice Polytopes*, Ph.D. thesis, Eberhart Karls Univ. Tübingen, 2019.

The combinatorial duality

If P is reflexive, then P^* is also reflexive and

$$(P^*)^* = P.$$

There exists a natural 1-to-1 correspondence between k -dimensional faces $Q \prec P$ and $(d - k - 1)$ -dimensional faces $Q^* \prec P^*$:

$$Q^* := \{y \in P^* : \langle x, y \rangle = -1 \ \forall x \in Q\}.$$

The combinatorial duality $P \leftrightarrow P^*$ perfectly agrees with the prediction of MS for Calabi-Yau hypersurfaces in toric varieties $X \subset V_P$ and $X^* \subset V_{P^*}$.

Minimal models in case of reflexive polytopes (B.1994)

- ▶ Take the Zariski closure \tilde{Z} of Z in the Gorenstein toric Fano variety \tilde{V} defined by the normal fan of P . We call \tilde{Z} *canonical model of Z* ;
- ▶ Take a maximal projective simplicial subdivision $\hat{\Sigma}$ of Σ_P with $\hat{\Sigma}[1] = S_F(P)$. The Zariski closure of Z in the toric variety \hat{V} is a (Calabi-Yau) *minimal model of Z* .

Third algorithm to construct minimal model (B.)

Definition

Let P be a lattice polytope with $F(P) \neq \emptyset$. We define *canonical hull* $C(P)$ of P as

$$C(P) := \{x \in M_{\mathbb{R}} : \langle x, \nu \rangle \geq \text{ord}_P(\nu) \quad \forall \nu \in S_F(P)\}.$$

Remark

$C(P)$ is a rational polytope containing P .

One has $P = C(P)$ if $\dim P = 2$, or if P is reflexive, but in general $C(P)$ is larger as P .

Third algorithm to construct minimal model (B.)

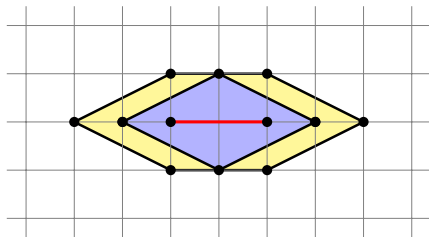
Definition

$$\tilde{P} := C(P) + F(P).$$

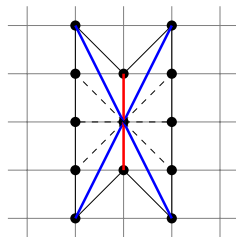
Theorem

The normal fan $\tilde{\Sigma}$ of \tilde{P} is a \mathbb{Q} -Gorenstein toric variety with at worst canonical singularities. One $\tilde{\Sigma}[1] \subset S_F(P)$. Any simplicial subdivision $\hat{\Sigma}$ of $\tilde{\Sigma}$ with $\hat{\Sigma}[1] = S_F(P)$ defines a crepant morphism $\hat{V} \rightarrow \tilde{V}$ of the corresponding toric varieties. The simplicial toric variety \hat{V} has at worst terminal singularities. The Zariski closure \hat{Z} of Z in \hat{V} is a *minimal model* of Z .

Example $\dim F(P) = 1$

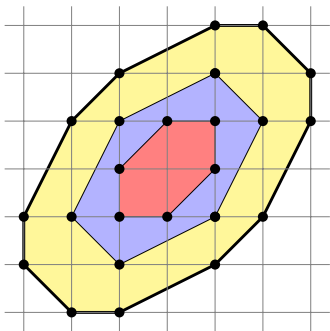


$$F(P) \subset P \subset \tilde{P} = F(P) + P$$

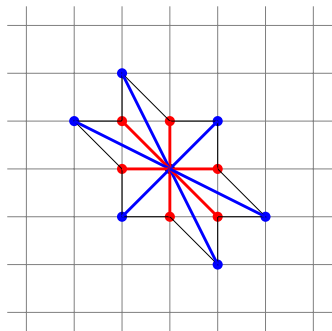


$$S_F(P), \tilde{\Sigma}$$

Example $\dim F(P) = 2$

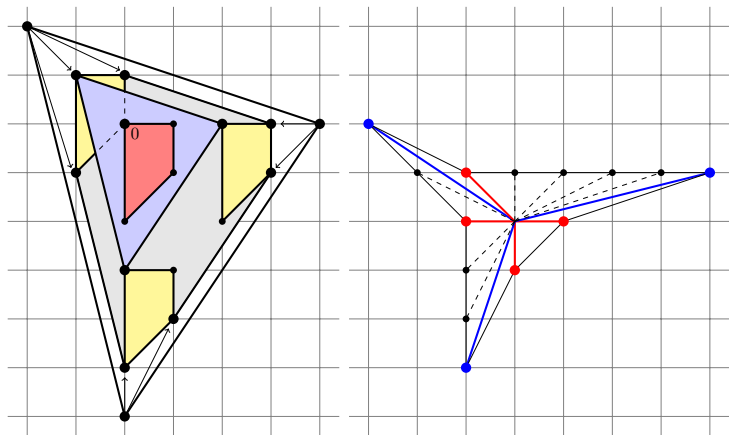


$$F(P) \subset P \subset \tilde{P} = F(P) + P$$



$$S_F(P), \tilde{\Sigma}$$

Idea of proof



Applications

- ▶ There exist a unique *canonical model* \tilde{Z} of any non-degenerate toric hypersurface Z with the Newton polytope P if $F(P) \neq \emptyset$.
- ▶ Kodaira dimension of \hat{Z} equals $\kappa = \min\{d - 1, \dim F(P)\}$.
- ▶ The *litaka fibration* of $\tilde{Z} \rightarrow V_{F(P)}$ is induced by the natural toric morphism $\tilde{V} \rightarrow V_{F(P)}$ (canonical toric Fano fibration).
- ▶ Generic *fibers of the litaka fibrations* are $(d - 1 - \kappa)$ -dimensional canonical non-degenerate toric hypersurfaces of Kodaira dimension 0.

Mirror Symmetry

see [arXiv:2006.15825](https://arxiv.org/abs/2006.15825) (Today)

Thank you !