

# Lecture 9

Recall

## Schwartz-Zippel Lem

$f$  non-zero  $n$ -var deg- $d$ ,  $d < p$ , on  $\mathbb{F}_p^n$

$\Rightarrow f$  has  $\leq d \cdot p^{n-1}$  roots.

• testing  $f = g$ ? randomised alg.

• testing PM.  $G$  has<sup>NO</sup><sub>1</sub> PM  $\Leftrightarrow \det(\text{Edmonds mat})$   
is 0-polyn.

Def:  $K \subseteq \mathbb{F}_p^n$  Kakeya set if it has a line in every direction.

Thm [Dvir 09]  $\forall K \subseteq \mathbb{F}_p^n$  Kakeya

$$\Rightarrow |K| \geq \binom{p+n-1}{n}$$

Rmk: Think of  $n$  fixed,  $p \rightarrow \infty$ ,  $\binom{p+n-1}{n} \sim \frac{p^n}{n!}$

In contrast to the Euclidean case, Kakeya set in finite field is dense.

• Let's start w/ giving a lower bd on the dim. of low-deg multivar. polyn on  $\mathbb{F}_p^n$ .

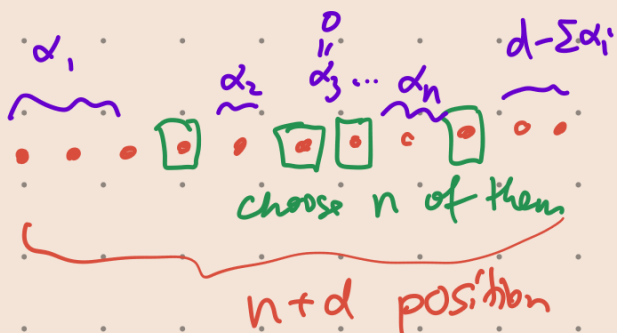
Lem 1:  $\forall A \subseteq \mathbb{F}_p^n$  w/  $|A| < \binom{d+n}{d}$

$\Rightarrow \exists$  non-zero deg- $d$  polyn  $f$  on  $\mathbb{F}_p^n$  vanishing on  $A$ .

Pf: • n-var. deg-d  $f$  = linear combination of

$$f(x_1, \dots, x_n) = \sum_{\substack{\alpha_1 + \dots + \alpha_n \leq d \\ \alpha_i \geq 0}} c_\alpha x_1^{\alpha_1} \dots x_n^{\alpha_n}, \quad \text{deg} \leq d \text{ monomials in } x_1, \dots, x_n.$$

• # such monomials  $= \binom{d+n}{d}$  = # choices of deg  $\alpha$



= # ways to put  $d$  identical balls into  $n+1$  distinct boxes

• treat each  $c_\alpha$  as unknowns  $\Rightarrow$  linear sys. w/  $\# \text{ unknowns} > \# \text{ constr.}$   
 each  $a \in A$  as constraints

$\Rightarrow \exists$  non-zero sol<sup>n</sup>.

Lem 2 If a non-zero deg- $d$   $\wedge$   $d < p$  polyn  $f$  vanishes

on a Kakeya set  $K$ , then its deg- $d$  part  $f_d$  is a non-zero polyn vanishing everywhere on  $\mathbb{F}_p^n$ .

In other words, if  $\wedge$  polyn. of deg  $< p$  vanishes on  $K$ , then it must be 0-polyn.

Pf: •  $\forall$  direction  $z \in \mathbb{F}_p^n$ ,  $z \neq 0$ ,  $K$  contains a line  $L = \{a + tz : t \in \mathbb{F}_p\}$  for some  $a \in \mathbb{F}_p^n$ .

• Recall that the restriction of  $f$  on  $L$

$f_L$  is deg- $d$  polyn. w/ leading coeff.  $f_d(z)$

As  $f$  vanishes on  $K$ ,  $f_L$  vanishes on  $L$ .

but  $\deg f_L = d < p = |L|$

$\Rightarrow f_L$  is 0-polyn.  $\Rightarrow f_d(z) = 0$

• Obviously  $f_d(0) = 0 \Rightarrow f_d$  vanishes on  $\mathbb{F}_p^n$ . 

Pf (Dvir's bound)

• Take  $K$  Kakeya, supp.  $|K| < \binom{n+p-1}{n}$

• Lem 1  $\Rightarrow \exists$  non-zero polyn  $f$   $\deg \leq (p-1)$

vanishing on  $K$ .  $\Leftrightarrow$  Lem 2. 

### § 3.1 tight bound.

• Dvir: lower bdd  $\geq \frac{1}{n!} \cdot p^n$

• Saraf-Sudan (Dvir-Kopparty) Upp bdd:  $\exists$  Kakeya set of size  $2^{-n+1} \cdot p^n + O_n(p^{n-1})$

• Dvir-Kopparty-Saraf-Sudan: lower bd  $\geq (2 - o(1))^{-n} \cdot p^n$

• Bukh-Chao  $\geq (2 - \frac{1}{p})^{-n+1} \cdot p^n$

They do so by considering a larger vector sp. of polyn. and subsp. that vanishes on a Kakeya to high order.

Dvir: Consider vect. sp. of  $\deg < p$  polyn. and show that subsp. vanishing on  $K$  must be trivial.

$$U = \left\{ \sum_{\substack{\alpha_1 + \dots + \alpha_n < p \\ \alpha_i \geq 0}} c_\alpha x^\alpha : c_\alpha \in \mathbb{F}_p \right\}$$

$\forall f \in U$  vanishes on  $K$   
 $f = 0$ -polyn

$U' \leq U$  vanishes on  $K \xrightarrow{\text{Lem 2}} \underline{U' \text{ is trivial!}}$

$|K| \geq \text{codim } U' = \dim U = \binom{n+p-1}{n}$  ◻

Bukh-Chow  $\dim n=3$   $K$  Kakaya in  $\mathbb{F}_p^3 \Rightarrow |K| \geq \frac{1}{4}(p^3 + p^2)$

$$A = \left\{ (\alpha_1, \alpha_2, \alpha_3) \in \mathbb{Z}_{\geq 0}^3 : \begin{array}{l} \alpha_1 + \alpha_2 + \alpha_3 < 2p \\ \alpha_1, \alpha_2 < p \end{array} \right\}$$

$$V = \left\{ \sum_{\alpha \in A} c_\alpha x^\alpha : c_\alpha \in \mathbb{F}_p \right\}$$

Rmk: Considering  $V$  has some similarity to Green's twist on corner-free set.

Def: A poly  $f$  on  $\mathbb{F}_p^3$  vanishes at  $z \in \mathbb{F}_p^3$  to order 2

iff  $f(z) = 0$  &  $\nabla f(z) = 0$  { 
$$\begin{array}{l} \frac{\partial f}{\partial x_1}(z) = 0 \\ \frac{\partial f}{\partial x_2}(z) = 0 \\ \frac{\partial f}{\partial x_3}(z) = 0 \end{array}$$

Lem 3 (c.f. Lem 2)

$\forall f \in V$ , if  $f$  vanishes to order 2 on a Kakaya set  $K \Rightarrow f$  is 0-polyn.

Pf [B-C] • Let  $V' \leq V$  subsp. vanishing to order 2 on  $K$

$$\Rightarrow \text{codim } V' \leq 4|K|$$

- Lem 3  $\Rightarrow V'$  trivial
- $4|K| \geq \text{codim } V' = \dim V = |A|$

$$= \sum_{\alpha_1, \alpha_2=0}^{p-1} (2p - \alpha_1 - \alpha_2) = p^3 + p^2 \quad \square$$

Pf (Lem 3). Supp.  $f$  non-zero  $\in V$  deg  $d$  vanishing on  $K$  to order 2 ( $d < 2p$ )

$\Rightarrow f_d$  is non-zero.

- $\forall z \neq 0$  take a line  $L = \{a + tz; t \in \mathbb{F}_p\} \subseteq K$

- Consider  $f_L$  •  $f_L$  vanishes to order 2 on  $L$

but  $\deg f_L = d < 2p = 2|L|$

$\Rightarrow f_L$  is 0-polyn.

$\Rightarrow$  so is its leading coeff.  $f_d(z) = 0$

$\Rightarrow f_d$  vanishes on  $\mathbb{F}_p^3$ .

WTS  $f_d = 0$ -polyn.

- Let  $g(x_1, x_2) = f_d(x_1, x_2, 1)$

$\Rightarrow g$  vanishes on  $\mathbb{F}_p^2$

- Think of  $g$  as a polyn in  $x_1$  of deg  $< p$

$$g(x_1, x_2) = \sum_{i=0}^{p-1} x_1^i \cdot g_i(x_2) \quad (\text{as } \alpha_1 < p)$$

$\leftarrow$  deg  $< p$  as  $\alpha_2 < p$

•  $g$  vanishes on  $\mathbb{F}_p^2 \Rightarrow g_i$  vanishes on  $\mathbb{F}_p$

but  $\deg g_i < p \Rightarrow g_i = 0$ -polyn.

$\Rightarrow g = 0$ -polyn.

•  $f_d$  homogeneous,  $f_d(x_1, x_2, 1) = g(x_1, x_2)$

$\Rightarrow f_d$  is 0-polyn. 