

$$R = \{a \in K : \text{val}(a) \geq 0\}$$

$$m = \{a \in K : \text{val}(a) > 0\}$$

$$K = R/m$$

For  $w \in \Gamma^n$   $\text{in}_w(f) = \sum_{\substack{\text{val}(a_i) \\ \text{trop}(f)(w) \\ K[x_1, \dots, x_n]}} c_i x^i$

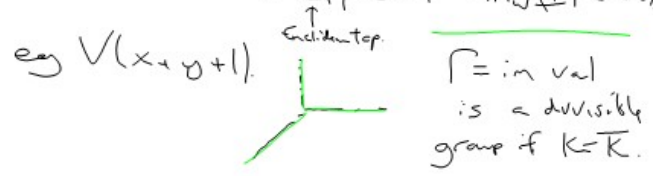
$$\text{in}_w(I) = \langle \text{in}_w(f) : f \in I \rangle$$

Fundamental Theorem

$$X \subseteq (K^*)^n \quad K = \bar{K}, \text{ nontrivial val}$$

$$\text{trop}(X) = \text{cl}(\text{val}(X(K)))$$

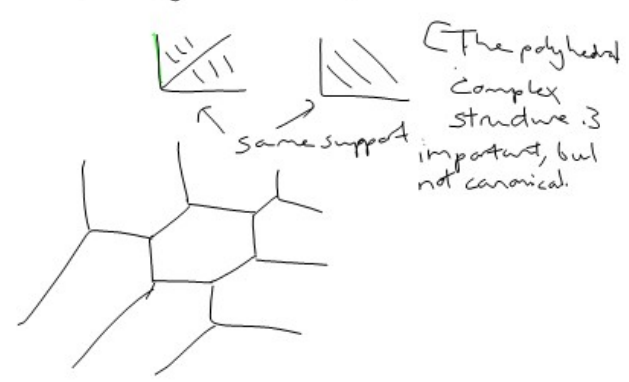
$$= \text{cl}(\{w \in \Gamma^n : \text{in}_w(I) \neq \langle 1 \rangle\})$$



$$X(K) = \{(y_1, \dots, y_n) : y_i \in K^*, f(y) = 0 \forall f \in I\}$$

Structure Theorem  $K = \bar{K}$

Let  $X \subseteq (K^*)^n$  be an irreducible d-dim variety. Then  $\text{trop}(X)$  is the support of a pure d-dim weighted balanced polyhedral complex that is connected through codim 1.



Fundamental theorem  $\text{val}(K^*) \neq \{0\}$

Easy case:  
①  $\text{trop}(X) = \text{cl}(\{w \in \Gamma^n : \text{in}_w(I) \neq \langle 1 \rangle\})$

Pf Let  $w \in \text{trop}(X) \cap \Gamma^n \subseteq \Gamma^n$   
Then  $w \in \text{trop}(V(f)) \forall f \in I$   
so the minimum in  $\text{trop}(f)(w)$  is achieved at least twice  $\min(\text{val}(a_i) + w \cdot i)$ .

This means that  $\text{in}_w(f) = \sum_{\substack{\text{val}(a_i) \\ \text{trop}(f)(w)}} c_i x^i$  is not a monomial

If  $\text{in}_w(I) = \langle 1 \rangle$ , then there exists  $f \in I$  with  $\text{in}_w(f) = 1$   
Thus  $\text{in}_w(I) \neq \langle 1 \rangle$ . This shows  $\subseteq$ .

Suppose  $w \in \Gamma^n$  has  $w \notin \text{trop}(X)$ .  
Then  $\exists f \in I$  with  $w \notin \text{trop}(V(f))$   
so the minimum in  $\text{trop}(f)(w)$  is achieved once, so  $\text{in}_w(f)$  is a monomial  
So  $\text{in}_w(I) = \langle 1 \rangle$ .

②  $\text{cl val}(X(K)) \subseteq \text{trop}(X)$ .

Pf Fix  $y \in X$  &  $w = (\text{val}(y_i), \dots, \text{val}(y_n))$

Since  $y \in X$ ,  $f(y) = 0 \forall f \in I$ .

So  $\sum c_u y^u = 0$  for  $f = \sum c_u x^u$ .

Aside: $\text{val}: K \rightarrow \mathbb{R} \cup \infty$ $\text{val}(0) = \infty$ $\text{val}(a) = \text{val}(a + -a)$ $\geq \min(\text{val}(a), \text{val}(-a))$ $\text{val}(ab) = \text{val}(a) + \text{val}(b)$ if $\text{val}(a) \neq \text{val}(b)$ .	$\text{val}(\sum c_u y^u) = \infty$ $\forall$ $\min(\text{val}(c_u y^u))$ $= \min(\text{val}(c_u) + w \cdot u)$ $= \text{trop}(f)(w) < \infty$
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Thus if the minimum were achieved once we would have  $=$  & thus a contradiction

So the minimum in  $\text{trop}(f)(w)$  is achieved at least twice, so  $w \in \text{trop}(V(f))$

So  $w \in \bigcap_{f \in I} \text{trop}(V(f)) = \text{trop}(X)$ . □

This leaves the hard direction:

$$\text{trop}(X) \subseteq \text{cl}(\text{val}(X(K)))$$

We will actually show:

Prop Let  $X = V(I)$  be an irreducible variety in  $(K^*)^n$ . Fix  $w \in \mathbb{R}^n$  with  $\text{in}_w(I) \neq \langle 1 \rangle$  &  $\alpha \in (K^*)^n$  with  $\alpha \in V(\text{in}_w(I))$ . Then  $\exists y \in X$  with  $\text{val}(y) = w$ , &  $\overline{F}y = \alpha$   
 i.e. if  $K = \mathbb{C}[[t]]$   $y = at + h.o.t.$

### Croftner complex

Defn The homogenization of a polynomial  $f \in K[x_1, \dots, x_n]$

$$\text{is } \tilde{f} = \sum_{|u| \leq d} c_u x^u x_0^{d-|u|}$$

for  $d = \max |u|$   
with  $c_u \neq 0$

e.g.  $f = x_1 x_2 + x_3^3 + 5$   
 $\tilde{f} = x_0 x_1 x_2 + x_3^3 + 5 x_0^3$

For an ideal  $I \subseteq K[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$  we denote by  $I_{\text{aff}}$  the ideal  $I \cap K[x_1, \dots, x_n]$

& by  $I_{\text{proj}} = \langle \tilde{f} : f \in I_{\text{aff}} \rangle$

Note:  $V(I_{\text{aff}}) \subseteq \mathbb{A}^n$  is the closure of  $V(I) \subseteq (K^*)^n \subseteq \mathbb{A}^n$  in the Zariski topology

$V(I_{\text{proj}}) \subseteq \mathbb{P}^n$  is the projective closure of  $V(I) \subseteq (K^*)^n \subseteq \mathbb{A}^n \subseteq \mathbb{P}^n$

Lemma Let  $I \subseteq K[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$ .

Then  $\text{in}_w(I) = \text{in}_{(0,w)}(I_{\text{proj}})|_{x_0=1}$

Pf:  $\text{in}_w(f) = \text{in}_{(0,w)}(\tilde{f})|_{x_0=1}$  (over  $K[x_1, \dots, x_n]$ )  
 $f = \sum c_u x^u$

Point:  $\text{trop}(V(I)) = d(w \in \mathbb{R}^n)$

$\text{in}_{(0,w)}(I_{\text{prj}})$   
does not contain a  
monomial

Q: How does  $\text{in}_w(I)$  change as  $w$  varies?

eg  $f = 8x^2 + 5xy + 12y^2 + 7x - y + 2 \in \mathbb{Q}[x^{\pm 1}, y^{\pm 1}]$   
2-adic val.

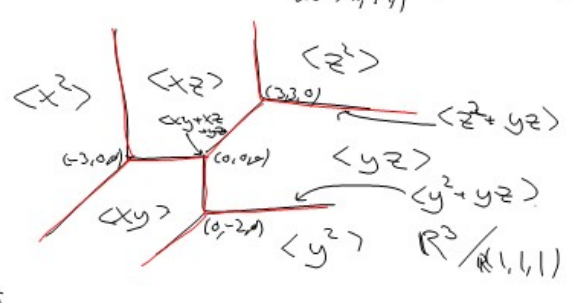
$\bar{f} = 8x^2 + 5xy + 12y^2 + 7xt - yz + 2z^2 \in \mathbb{Q}[x, y, z]$

$w = (0, 0, 0)$

$\text{in}_w(\bar{f}) = xy + xz + yz$

$w = (2, 3, 2) \text{ in}_w(\bar{f}) = \dots$

(Note: When  $f$  is homogeneous,  $(1, \dots, 1) \cdot y = \deg(f)$  for all  $x^y$  in  $f$ , so  $\text{in}_w(f) = \text{in}_{(w+\lambda(1, \dots, 1))}(f)$  for all  $\lambda$ .)



Theorem

Let  $I_{\text{prj}}$  be a homogeneous ideal finite in  $K[x_1, \dots, x_n]$ . Then there is a polyhedral complex  $\Sigma \subseteq \mathbb{R}^{n+1}$  for which if  $\sigma \in \Sigma$  then  $\text{in}_w(I)$  is constant for all  $w$  in the relative interior of  $\sigma$  (interior in its affine span).

The polyhedral complex  $\Sigma$  is called the Gröbner complex of  $I$ .

It generalizes the Gröbner fan of usual Gröbner bases.

(A polyhedral cone is a polyhedron of the form  $\{x \in \mathbb{R}^n : AX \leq 0\}$



A polyhedral fan is a polyhedral complex where all polyhedra are cones.

Cor: There are only finitely many initial ideals as  $w$  varies.

Cor: Let  $X \subseteq (K^*)^n$  be a variety

Then  $\text{trop}(X)$  is the support of a polyhedral complex



**Pf** By the lemma  $w \in \text{trop}(X) \cap P^n$   
 if and only if  $in_{(c,w)}(I_{\text{proj}})$  does  
 not contain a monomial.  
 So  $\text{trop}(X) =$  subcomplex of  $\text{Gröbner}$   
 complex consisting of  
 $\sigma$  for which  $in_{\sigma}(I_{\text{proj}})$   
 does not contain a monomial  
 for  $w \in \text{relint}(\sigma)$ .

Sketch of Pf [for details, see  
 survey article  
 on webpage]

- ① Show that the Hilbert functions  
 of  $I$  &  $in_w(I)$  agree  
 i.e.  $\dim_K \left( \frac{K[x_1, \dots, x_n]}{I} \right)_d = \dim_K \left( \frac{K[x_1, \dots, x_n]}{in_w(I)} \right)_d$
- ② Show  $\forall w \in P^n, \forall \epsilon \in \mathbb{Q}^n$   
 $\exists \epsilon > 0$  s.t.  
 $in_v(in_w(I)) = in_{w+\epsilon v}(I)$
- ③ Every ideal has a monomial  
 initial ideal.
- ④ There are only a finite number  
 of monomial initial ideals of  $I$   
 This gives a bound on the  
 degrees of generators of initial ideals.
- ⑤ Construct a polynomial  
 $F = \sum a_u x^u$   
 with the Gröbner complex the  
 regions of linearity of  $\text{trop}(F)$ .  
 $F = \prod_{i=1}^D F_i$   $\text{trop}(F_i)$  is linear

on regions  $\sigma \Rightarrow$   
 $w, w' \in \sigma$   
 $in_w(I)_d = in_{w'}(I)_d$

Aside:

Q: Given  $I, w \in P^n$ , what is  
 the polyhedron in the Gröbner  
 complex corresponding to  $w$ ?  
 A: Choose  $v \in \mathbb{Q}^n$  for which  
 $in_v(in_w(I))$  is monomial,  
 & let  $g_1, \dots, g_s$  be a reduced GB  
 for  $I$  w.r.t  $w + \epsilon v$   
 i.e.  $g_i = x^{u_i} + \sum c_{iu} x^u$   
 $x^{u_i} \nmid x^u$  for  $w + \epsilon v$   
 The polyhedron is given by  
 $w \cdot u_i \leq \text{val}(c_{iu}) + w \cdot u$   
 $\uparrow$   
 $\mathbb{R}$ -rational.  
 The polyhedron for  $w$  is a face  
 of this.